PREVALENCE AND CHARACTERIZATION OF INTESTINAL AND EXTRA-INTESTINAL ESCHERICHIA COLI

Thesis Submitted For the Award of the Degree of DOCTOR OF PHILOSOPHY

In

Microbiology By Gulshan Kumar (Registration No. 41500159

Supervised by Dr. Gaurav Kumar Associate Professor Lovely Professional University Phagwara (Punjab) Co-Supervised by Dr. Yashwant Kumar Assistant Director Central Research Institute Kasauli (HP)



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DECLARATION

I hereby declare that this thesis titled **Prevalence and Characterization of Intestinal and Extra-intestinal** *Escherichia coli* is an authentic record of my work carried out at Lovely Professional University and submitted for the award of Degree of Philosophy (Ph. D.) in Microbiology under the guidance of Dr. Gaurav Kumar has not been submitted either in part or full to any degree/diploma awarding Institute/University.

Gue 30th Dec. 2022

Gulshan Kumar

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CERTIFICATE

This is to certify that this Ph. D. research work titled **Prevalence and Characterization of Intestinal and Extra-intestinal** *Escherichia coli* was carried out and written by Gulshan Kumar (Registration No. 41500159) under my guidance and has been read and approved by the undersigned as adequate in scope and quality for the award of Doctor of Philosophy Degree in Microbiology. To the best of my knowledge, no part of this thesis was ever submitted either in part or full to any degree/diploma awarding University/Institution.

Laboratory Experimentations involved in this Ph. D. work were carried out by the candidate himself at Central Research Institute, Kasauli under our supervision.

Sa

Dr. Gaurav Kumar

Supervisor Dated: 30.12.2022

Dr. Yashwant Kumar Co-Supervisor

Dated: 30/12/2022

ABSTRACT

Escherichia coli (E. coli) is the most ubiquitous bacterium and can be easily isolated from animal, human, food, or environmental sources. Usually, E. coli remains as commensal in the gastrointestinal tract of the animal and humans. In the gastrointestinal tract, it helps avoid colonization of the gut with pathogenic microorganisms and in the absorption of vitamin K. However, pathogenic strains of E. coli are also the commonest agents of hospital-acquired and community infections. Pathogenic E. coli can infect different host sites and can lead to different disease presentations. E. coli infections range from self-limiting diarrhea to severe childhood diarrhea, Urinary tract infections of different severity, septicemia, sepsis, and neonatal meningitis. Pathogenic E. coli are also very diverse in terms of epidemiological markers, pathogenesis mechanisms, virulence factors, and drug resistance profiles. E. coli is the commonest cause of high mortality, morbidity, and disease burden worldwide. The situation in the developing world including India is more serious due to dense populations, poor socioeconomic conditions, poor hygiene levels, and the unavailability of well-developed healthcare infrastructure. This state is further grieved by emerging drug-resistant strains against pathogenic E. coli. Multiple drug-resistant (MDR) E. coli strains with resistance to newer and even reserved emergency antimicrobials are reported worldwide. This makes the treatment of drugresistant E. coli infections complicated. Keeping this in consideration World Health Organization (WHO) has placed Extended-spectrum β -lactamase (ESBL) expressing and carbapenems-resistant Enterobacteriaceae (Klebsiella and E. coli) under "critical pathogens"- the highest priority level, in its list of antibiotic-resistant priority pathogens, Similarly Centre for Disease Control and Prevention (CDC) in its latest antimicrobial resistance report-2019 have kept it under "Urgent Threat" category which is the highest level concern for human health. E. coli has also been recognized as a pathogen of public interest in India's National Action Plan on Anti-Microbial Resistance (NAP-AMR). Data on the prevalence of pathogens, their virulence characteristics, and local antimicrobial profiles play a crucial role in deciding appropriate intervention and control measures.

This study was designed to study the prevalence and characteristics of pathogenic intestinal (human diarrheal isolates) and extra-intestinal (human UPEC isolates) E. coli in India. This was planned by studying pathogenic E. coli isolates from different geographical locations of the country for their prevalent serotypes, Diarrhea-genic E. coli (DEC) pathotypes, virulence characteristics, and resistance profiles. To achieve these objectives, E. coli human isolates referred to National Salmonella and Escherichia Center, Central Research Institute, Kasauli from different geographical locations of India were taken for the study. A total of 783 isolates referred as suspected to be E. coli were initially evaluated by morphological, cultural/growth, and biochemical characterization using standard microbiological techniques i.e. Gram staining, microscopy, and utilization of different biochemical. Out of 783 isolates 534 isolates were identified as E. coli which gave results consistent with E. coli in biotyping analysis (Gram-negative bacillus, lactose fermenting, Indole producing, Methyl red test positive, Voges Prausker test negative Citrate utilizing, Nitrate reducing, Urease test negative, Catalase test positive, oxidase test negative and Ortho-nitrophenyl-β-D-galactopyranoside (ONPG) test positive). These biochemically confirmed 534 pathogenic E. coli isolates were considered for the rest of the study.

All biochemically identified *E. coli* isolates were further subjected to serotyping using specific anti "O" *E. coli* antisera (antisera against somatic cell wall antigen "O"). Pathogenic *E. coli* in circulation in India were found to be very diverse in serotyping. Thirty-six different "O" serotypes were identified among the study samples. The distribution of serotypes in the different geographical areas was not uniform. A large percentage of the isolates were un-typeable by serotyping indicating probable newer serotypes associated with pathogenic *E. coli*. The ten most frequent "O" serogroups detected were O8 (46 isolates; 8.6%), O11 (36 isolates; 6.7%), O22 (33 isolates; 6.2%), O88 (33 isolates; 6.2%), O126 (28 isolates; 5.2%), O83 (25 isolates; 4.7%), O141 (23 isolates; 4.3%), O149 (22 isolates; 4.1%) and O7 (21 isolates; 3.9%). Serotype O157 associated with STEC/EHEC infections was detected in a very low percentage (7 isolates; 1.3%) in this study.

Serotypes associated with most severe *E. coli* infections and big six serotypes usually associated with foodborne outbreaks i.e. O145, O121, O111, O103, O45, and O26 were not detected in this study.

To study the prevalent entero-pathotypes all 302 intestinal isolates were characterized genotypically. Four pathotype-specific genetic markers (i.e. Enteropathogenic *E. coli* (EPEC) - eae and bfpA genes, Enterotoxigenic *E. coli* (ETEC) - elt and est genes, Enterohemorrhagic *E. coli* (EHEC) - hlyA gene and Enteroaggregative *E. coli* (EAEC) - CVD432 gene) were detected using Diarrheagenic *E. coli* multiplex PCR detection kit. Among intestinal isolates atypical Enteropathogenic *E. coli* (aEPEC; eae gene) and heat-stable Enterotoxigenic *E. coli* (ETEC; est gene) were found to be the most prevalent pathotypes throughout the country [105 (34.8%) and 110 (36.4%) respectively]. EAEC (CVD432 gene) and EHEC (hlyA gene) pathotypes were detected in very low percentages of isolates [25 (8.3%) and 9 (3%) respectively]. All the Enterohemorrhagic *E. coli* (EHEC) isolates detected were non-O157 serotypes.

Colonization is the foremost and the most critical step in *E. coli* infections. The prevalence of virulence factors assisting directly or indirectly the colonization of the *E. coli* (Cell surface hydrophobicity, biofilm, siderophores, colicinogeny, gelatin hydrolysis) was evaluated in study isolates by phenotypic characterization. Cell surface hydrophobicity (CSH) was detected by a salt aggregation test. A very large number of isolates (369; 69.1%) in this study were found to be hydrophobic. The Ability of test isolates to form biofilm was detected using a standard tissue culture plate assay. Two simple techniques viz. the tube method and Congo red agar assay were also used to detect biofilms and results were compared with the results of the TCP method. Both the simple methods were found to be having reasonably good test characteristics in terms of accuracy, specificity, sensitivity, and predictive values when compared with the standard tissue culture plate method. Biofilm-forming ability was detected in a very large number of isolates by all three methods [298(55.8%), 286(53.6%), and 315 (59%) respectively]. Both intestinal and extra-intestinal isolates were found to produce biofilm. Biofilm-forming ability was detected in samples from

all geographical locations. The ability of pathogenic *E. coli* to sequester iron through siderophore release was studied on Chrome Azurol S agar (CAS). Siderophore type i.e. catechol or hydroxamate-type was detected using chemical assays (Arnow's and Csaky assay respectively). Siderophores were observed as the important epidemiological and virulence trait of pathogenic *E. coli* from all over the country. Siderophores expression was detected in a very large percentage (303; 56.7%) of the study isolates expressing one or other type of siderophore. Both hydroxamate (189; 34.3%) and catechol (67; 12.5%) type siderophores were detected among the study isolates. Colicinogenity was detected using the agar overlay method in a comparatively less percentage of isolates (108; 20.2%). Gelatin hydrolysis was detected only in 4.5% (24) of the isolates, indicating less importance of these two virulence characteristics in *E. coli* pathogenesis. Thus Cell surface hydrophobicity, Biofilm formation, and siderophore release were observed as the crucial characteristics of colonization-associated virulence factors.

Co-expression of virulence factors was observed as a common characteristic of *E. coli*. A very large number of isolates (357; 66.9%) expressed more than one type of virulence characteristic evaluated in the present study. Virulence characteristics were expressed in various combinations. The most frequent co-expressed combination was Cell Surface Hydrophobicity, Biofilm, and Siderophore being co-expressed by 114 (21.3%) of the isolates.

The antimicrobial resistance profile of the study samples was evaluated by the Kirby Bauer Disc Diffusion method using 20 different antibiotics representing eight antimicrobial categories. A very high rate of antimicrobial resistance to commonly used antimicrobials including third and fourth-generation cephalosporins and carbapenems was observed among isolates from all geographical locations of the country. Ampicillin with a resistance rate of 72.1% (385) and amikacin with a resistance rate of 8.4% (45) were the most resistant and susceptible antimicrobials respectively. Resistance to all classes of antimicrobials was observed with maximum resistance to the cephalosporin class of drugs (404; 75.7%) and minimum to the furantoin class (58; 10.9%). Isolates resistant to more than two classes of drugs were marked as resistant to multiple antimicrobials. Overall 73.5% of the isolates were resistant to multiple drugs (MDR) with a rate of 84.8% among intestinal and 64.25% among extra-intestinal isolates respectively.

All the strains were also tested by phenotypic double disc diffusion test for ESBL activity using ceftazidime and ceftazidime-clavulanic acid discs and cefotaxime and cefotaxime-clavulanic acid discs. Isolates were considered ESBL producers when extended-spectrum β -lactamase activity was detected in either of the drug combination discs. ESBL expressing pathogenic *E. coli* in this study was 37.3% (199) of the total isolates. ESBL with a rate of 39.7% (120) among intestinal and 34.1% (79) among extra-intestinal isolates was detected. Results obtained in this study indicate that pathogenic *E. coli* with very high drug resistance and a high rate of MDR and ESBL-producing strains are in circulation in India in all geographical locations of the country.

Biofilms in addition to helping bacterial attachment to various surfaces leading to persistent infections are also known to increase drug resistance due to various mechanisms. However, high resistance rates to antimicrobials were detected in *E. coli* isolates irrespective of biofilm formation in the present study. Detection of a high percentage of MDR, ESBL-producing, and carbapenems-resistant isolates in this study from all geographical locations of the country is a matter of urgent and serious concern.

The findings of this study highlight the prevalence and diversity of pathogenic *E. coli* in circulation in India in terms of epidemiological markers i.e. serotypes, Diarrheagenic *E. coli* pathotypes, and virulence factors. It also highlights the importance of colonization aiding virulence factors i.e. Cell surface hydrophobicity, biofilms, and siderophore release in the pathogenesis of *E. coli* infections and as important intervention targets. This study also reflects the grieved situation of the severe level of antimicrobial resistance in *E. coli* strains in India. More such studies with wider scope from all over the country are required routinely to generate up-to-date epidemiological data to understand the epidemiology of *E. coli*

infections in a better way and to plan effective intervention strategies to contain *E. coli* infections at national, state, and district levels and to formulate effective stewardship plans at local levels for better treatment of drug-resistant infections.

ACKNOWLEDGMENT

First and foremost I would like to thank the almighty God for giving me the courage to think to pursue a doctoral degree at age of late forty and to help complete this research work.

No words can express my gratitude to my mentor and supervisor Dr. Gaurav Kumar, Associate Professor, Lovely Professional University, Phagwara, Punjab, for his tireless efforts in guiding me at every step during this research work and shaping this thesis.

I am thankful from the deepest corner of my heart to my Co-supervisor Dr. Yashwant Kumar, Central Research Institute, Kasauli, for his valuable time and devotion to this research work and his valuable technical and scientific inputs to complete the work.

I will fail in my duty if I don't recognize the help extended by Dr. Ajay Kumar Tahlan, former Director, Central Research Institute, Kasauli for granting permission to pursue this degree and permit me to use laboratory facilities at Central Research Institute, Kasauli.

I also thankfully acknowledge the help extended by all staff members of the National Salmonella and Escherichia Center (Mr. Dev Kumar, Mr. Madan Attri, Mr. Yoginder Singh, and Mr. Bhagwan Dass) throughout the experimentation work.

I am thankful to Dr. Navin Gupta, NCDC, New Delhi, and all the scientists and professionals who submitted isolates to National Salmonella and Escherichia Center. Lastly, I am thankful to my family members for sparing me to devote my family time to accomplish this work

Grupp GULSHAN KUMAR

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Dated: 30/12/2022

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CHAPTER-1

INTRODUCTION

1. INTRODUCTION

Escherichia coli (E. coli) is the most widely studied microorganism in the world as most of the strains are non-pathogenic commensals of the gastrointestinal tract of humans and animals. However, pathogenic *E. coli* strains are also known that can cause infections that vary from self-limiting diarrhea to very severe clinical presentations like hemorrhagic uremic syndrome, hemolytic colitis, or even neonatal meningitis (Makvana and Kirlov, 2015). *E. coli* infections account for 2, 00,000 deaths worldwide affecting mainly young children of age less than 5 years (Havelaar, 2015). It is the most important and most common causative agent of Urinary tract Infections worldwide (Saroswka *et al.*, 2019). *E. coli* infections are very common all over the world affecting both developed as well as developing countries (Torres, 2017; Foster *et al.*, 2015; Frank *et al.*, 2011). Developing countries are affected more severely due to poor hygienic conditions and the non-availability of safe drinking water (Airol *et al.*, 2011; Marmot, 2006). In India, *E. coli* infections are very common and it accounts for an important infectious agent in the total disease burden (Chandra *et al.*, 2012; Lanjewar *et al.*, 2010).

Broadly, pathogenic *E. coli* are categorized as intestinal (primarily infecting the gastrointestinal tract leading to diarrhea) and extra-intestinal pathogenic *E. coli*; (infecting sites other than the gastrointestinal tract causing urinary tract infections (UTI), meningitis, septicemia, etc.). The intestinal pathogenic *E. coli* (IPEC) commonly known as Diarrheagenic *E. coli* (DEC) are categorized into different categories (DEC pathotypes) which vary in their pathogenicity mechanism, disease severity, and biological and virulence profiles. These pathotypes are Enterotoxigenic *E. coli* (ETEC), Enteroinvasive *E. coli* (EIEC), Enteroaggregative *E. coli* (EAEC), Shiga toxin-producing *E. coli* (STEC), Enteropathogenic *E. coli* (EPEC), Diffusely adherent *E. coli* (DAEC) and Adherent invasive *E. coli* (AIEC). Similarly, extraintestinal pathogenic *E. coli* (SPEC), and neonatal meningitis (referred to as NMEC), based on the site of infection involved (i.e. UTIs, systemic infections and meningitis in neonates respectively) and avian pathogenic *E. coli* (APEC) based on the host involved. *E. coli* diarrhea and UTI are the most common disease presentations of *Escherichia coli* infections (Saroswka *et al.*, 2019; George and Manges, 2010).

E. coli is also commonly associated with a wide variety of community and hospital-acquired infections, affecting immune-compromised patients and those with pre-existing conditions (Pitout, 2012). Human and animal feces constitute the commonest source of Diarrheagenic *E. coli* strains which transmits directly by hand-to-mouth transmission or by contaminated water and food (Chekabab *et al.*, 2013; Qadri *et al.*, 2005).

Yearly, intestinal and extra-intestinal E. coli strains account for 2 million deaths worldwide, (Russo and Johnson, 2003). Even in this era of modernized medical facilities where the fatality rate due to infections has dramatically reduced, diarrheal diseases continue to be a health hazard worldwide, more importantly in developing countries, where an estimated 2.5 million infant deaths are account for infections per year (Kosek et al., 2003; Avendano et al., 1993). Diarrheagenic E. coli pathotypes (DEPs) are the most important cause of pediatric bacterial diarrhea in developing countries, with some responsible for traveler's diarrhea. These strains are also emerging as an important cause of diarrhea in industrialized countries (Cohen et al., 2005; Qadri et al., 2005; Robbins-Browne et al., 2004; Nataro and Kaper, 1998). In the mid-1950s, it was epidemiologically incriminated throughout the world as an important cause of infantile diarrhea (Ewing et al., 1956). The prevalence of Diarrheagenic E. coli is different in different parts of the world due to socioeconomical and geographical conditions. In developing nations with good socioeconomic conditions, Enterohemorrhagic E. coli is the most prevalent DEC pathotype, usually associated with food poisoning. However, in developing countries with poor hygienic conditions, the most frequently reported DEC strains are Enterotoxigenic, Enteropathogenic, or Enteroinvessive E. coli mainly transmitted through the fecal-oral route through contaminated water (Platts-Millus, 2018; Guerrant et al., 1990).

Accurate diagnosis of *E. coli* infections is challenging due to the difficulty in differentiating pathogenic and commensal isolates. Various biological and virulence markers are associated with pathogenic E. coli which serves as the criteria for diagnosis and epidemiological characterization of these strains. Pathogenic E. coli were differentiated using serotyping assay till the methods for the identification of virulence factors were developed. Serotyping scheme for the determination of E. coli serotype was proposed by Kauffman in 1944 (Kauffman, 1944), this scheme is used to date in modified form. Three surface antigens that are targeted in *E. coli* serotyping are K (capsular), H (flagellar), and O (somatic) antigen (Lior, 1996). More than 180 types of O-antigens 90 K and 56 H antigens have been reported (Stenutz et al., 2006). The presence of the K antigen results in the masking of the "O" antigen thus making it non-detectable, this requires the K antigen to be destroyed before testing for "O" antigens on the strains. Earlier some other antigens including some fimbrial antigens were also designated as K antigens as they conferred the K phenotype, later the K antigen designation was assigned to only acidic polysaccharides while proteinaceous fimbrial antigens were assigned F designations (Lior, 1996; Orskov and Orskov, 1992).

A specific combination of O, K, and H antigens defines a serotype of an isolate while a single antigenic notation is referred to as a serogroup. The association of serogroups and serotypes with the clinical syndrome has been well documented (Levine, 1987), however, serogroup or serotype themselves are not directly responsible for virulence. Rather, they serve as detectable virulence markers correlating with virulent *Escherichia coli* clones (Whittam *et al.*, 1993).

A broad range of virulence-associated factors is possessed by pathogenic *E. coli* strains that include toxins, adhesions, lipo-polysaccharides, capsular polysaccharides, proteases, and invasins. These virulence factors are known to be encoded by genes in pathogenic islands or these may be encoded by genes in mobile DNA islands. These acknowledged virulence factors though may or may not be directly involved in pathogenesis but are considered to be contributing to bacterial fitness (e.g. adhesins, bacteriocins, proteases, and iron-uptake systems) by increasing these strain's adaptability to the host environment, competitiveness with other normal flora and capability to colonize the human body and dissemination (Pitout *et al.*, 2012; Dobrindt and Hacker, 2008).

Pathogenesis of E. coli is attributed to 1) toxins including exo-toxins (Heat labile, heat-stable toxin, Shiga Toxin, Cytotoxic necrotizing factor) and endo-toxins (lipopolysaccharides), 2) cell attachment and effacement mechanisms, etc. 3) aided by colonization, multiplication and dissemination factors like Biofilm formation, 4) nutrient and metal ion chelators, 5) cell surface hydrophobicity, 6) bacteriocins and enzymes. E. coli is primarily involved in intestinal and urinary tract infections and to the onset of infection in these sites, the most important and primary virulence characteristic is adherence and colonization of the gastrointestinal and urinary tract. Biofilm formation is one such important characteristic that helps bacterial colonization and survival in the host environment and helps resist various environmental and immune resistances experienced by pathogens during infections aiding the infectious agent (Flemming et al. 2016). Another important factor that indirectly contributes to virulence is the iron-chelating molecule siderophore; which helps iron sequestration in free iron stress conditions in the host environment and thus colonization of the bacterium in the host system (Holden and Batchman, 2015). Cell surface hydrophobicity also aids in the attachment of the bacterial cells to various surfaces and surface colonization. Colicins and enzymes like gelatinase contribute to colonization, surviving host immune defenses, and competition with the normal flora of the host (Krasowska and Sigler, 2014).

The ability of *Escherichia coli* to infect different sites, expression of different virulence factors leading to a variety of disease presentations, and expression of a large number of serogroups, make *E. coli* the most diverse infectious bacterial agent. The diversity of pathogenic *E. coli* in terms of its pathogenicity, biomarkers, and virulence markers makes the management of *E. coli* infections challenging. Studies of the prevalence of pathogenic *E. coli* and its characterization in terms of biological and virulence factors importantly contribute to a better

understanding of its epidemiology and better management of this pathogenic microorganism.

The treatment of illness caused by this bacterium usually does not require antimicrobial therapy for self-limiting diarrhea. However, antimicrobial therapy may be necessarily required in severe diarrhea to effectively reduce the duration of illness and prevent traveler's diarrhea (Nataro and Kaper, 1998). Antimicrobial therapy is also required for UTIs and systemic infections. The emergence of high-level antimicrobial-resistant E. coli associated with diarrhea and UTIs has been reported worldwide (Abadi et al., 2019). Pathogenic E. coli possessing diverse virulence factors and emerging multidrug-resistant strains are continuously posing a challenge to the management and control of infections caused by this ubiquitous infectious agent. Multiple drug-resistant E. coli strains have been widely reported in various studies in India (Malik, 2021; Natrajan et al., 2018; Sudershan et al., 2014). Some of the studies even reported the migration of drug-resistant E. coli strains from the Indian subcontinent to the rest of the world (Castanheira et al., 2011a; Leverstein-Van Hall. al.. 2010). Many drugs like trimethoprim-sulfamethoxazole, et fluoroquinolones, β -lactams drugs, and aminoglycosides are often used to treat patients infected with community-based or nosocomial E. coli infections and many of these and other agents are known to be effective against E. coli (Pitout, 2012; Pitout, 2010). However, due to emerging drug-resistant E. coli strains the management of E. coli infections is complicated (Sudershan et al., 2014; Shephard and Potiger, 2013; Akpaka et al., 2010; Pitout and Laupland 2008). The situation is further grieved by the emergence of strains resistant to third and fourth-generation cephalosporins, carbapenems, and (extended beta-lactamase) ESBL⁻producing E. coli strains. This leaves with very limited available treatment thus causing a public health concern as antibiotic resistance results in delayed appropriate therapy and an increase in morbidity and mortality (Tumbarello, et al., 2007; Schwaber and Carmeli, 2007). In India E. coli strains with increasing trends of resistance to commonly prescribed antimicrobials such as β-lactams including third and fourth-generation cephalosporins, carbapenems, and the emergence of ESBL-producing E. coli are reported routinely. Due to such extensive drug resistance World Health Organization

kept *E. coli* on the list of "critical" bacteria against which new drugs are needed urgently (WHO, 2017). The Center for disease control and prevention (CDC) has also placed carbapenem-resistant Enterobacteriaceae which consists of *Klebsiella* and *E. coli* under the "serious threat" category, the top priority pathogens in its latest report on antimicrobial resistance threats (CDC, 2019) *E. coli* has also been included as a critical pathogen in Indian priority pathogen list by WHO and Department of Biotechnology (DBT) and as important microorganisms that required be closely monitored for its antimicrobial resistance (WHO-DBT, 2019).

In *E. coli*, the production of the β -lactamase enzymes, that hydrolyzes and inactivates β -lactam, is known as the most important factor responsible for β -lactam drug resistance (Jacoby, 2009).

Multidrug-resistant infectious diarrhea among children in developing countries has been recognized as one of the important public health problems and is a research priority of the WHO diarrheal disease control program (Vargas *et al.*, 1999). Multidrug resistance (MDR) and extended-spectrum β -lactamases (ESBL)-producing strains are increasingly reported in humans and animals (San *et al.*, 2021; Karaiskos and Giamarellou, 2014). Multiple drug resistance among extraintestinal infectious *E. coli* is also a challenge for the treatment of these infections, especially in nosocomial infections (Poolman and Wacker, 2016). Antimicrobial abuse is considered a main responsible factor that increases the selection pressure for resistant strains and decreases their effectiveness (Laxminarayan *et al.*, 2013). Therefore, screening *E. coli* isolates for their susceptibility to common antibiotics is of very importance in public health. Antibiotic susceptibility testing plays a useful role in the outbreak setting. It also helps in understanding local trends in antimicrobial resistance patterns (O'Connor *et al.*, 2018; McKellar *et al.*, 2004).

Though *Escherichia coli* infections are routinely reported from all over the country, however epidemiological data on the prevalence of various pathotypes of *Escherichia coli* in India is lacking. The main reason for available limited

epidemiological data may be attributed to unreported or under-reported diarrheal and UTI infections in developing countries as most of the patients approach health care practitioners only for severe infections and use antibiotics or alternate therapy without medical prescription. Epidemiological data on infectious organisms can only be generated on isolation and characterization of the causative agents but this facility is not usually available in rural and small-town healthcare facilities. The lack of facilities for proper investigation especially in rural areas is another reason for the limited availability of epidemiological data. Comprehensive data on antimicrobial resistance profiles of pathogenic E. coli is also limited which results in ineffective empirical therapy and treatment failures. However, for formulating effective treatment and control strategies for better management of widespread E. coli infections there is a need for generating and regularly updating epidemiological data on the prevalence, virulence profiles, and drug susceptibility profiles of infectious E. coli strains. Due to the lack of sufficient reliable data on the prevalence of various pathogenic E. coli isolates in different parts of the country and the prevalence of drug-resistant E. coli strains, the management of infections is very challenging especially when socioeconomic conditions in the country are below satisfaction.

Keeping these facts in view the present study was designed to characterize pathogenic intestinal and extra-intestinal *E. coli* strains in terms of the prevalence of various serotypes among intestinal and extra-intestinal *E. coli* isolates, its virulence markers, and drug susceptibility profiles in various geographical locations in India.

CHAPTER-2

<u>REVIEW OF</u> <u>LITERATURE</u>

2. REVIEW OF LITERATURE

2.1. Infectious Diseases

Infectious diseases are a major share of the total disease burden worldwide. Though a declining trend in disease burden due to infectious diseases in adults and children has been observed over the last twenty years, however, six of the top ten causes of global disease burden in children are still attributed to infectious diseases (Murray et al. 2020). The problem is severe in low and middle-income countries that have limited resources to provide adequate control and medical care facilities. India being the second-most densely populated country in the world also faces challenges in containing infections due to insufficient healthcare facilities for its huge population. However due to continuous commitment from the Government of India to developing healthcare infrastructure it has witnessed a decreasing trend in the number of deaths due to communicable diseases including infections. Disease burden data from different states and union territories of the country from 1990 to 2016 indicates the declining ratio of deaths from communicable to non-communicable diseases in the country. In 2016 deaths due to communicable diseases was 27.5% % while it was 61.8% due to non-communicable diseases and 10.7% due to injuries; however, among the age group 0-14 years, communicable diseases remain the major cause of 80.8% of deaths in India than 12.0% due to non-communicable diseases and 7.2% because of injuries (Dandona et al., 2017). It is very difficult to eliminate all deaths due to infections, especially in the current scenario of emerging drug resistance and the emergence of newer pathogenic microbial variants. The recent COVID-19 pandemic is one of the examples of microbial potential to affect human civilization both in terms of the healthcare system and economy. Human civilization has seen such massive destructions in many instances in past also when microorganisms have shown their might leading to pandemics, epidemics, or local outbreaks (Bloom and Cadarette; 2019). Both community-acquired and hospital-acquired infections account for a major share of the total disease burden. Among the infectious disease, the majority share is avoidable infections leading to diarrhea, UTI, neonatal infections,

sepsis, lower respiratory infections, etc. mostly involving antimicrobial-resistant infectious organisms. *E. coli* is a leading cause of infections worldwide which were responsible for 9, 29,000 AMR-attributable deaths and 3.57 million AMR-associated deaths globally in 2019 with five other agents i.e. *K. pneumonia, S. aureus, A. baumannii, S, pneumonia,* and *M. tuberculosis* (Murray *et al.,* 2020). This microorganism exists as a commensal in the human and animal gastrointestinal tract and at the same time strains that acquire virulence properties can cause a variety of infections ranging from self-limiting diarrhea to severe infections like hemorrhagic colitis (HC), hemolytic uremic syndrome (HUS), neonatal meningitis, UTI and septicemia.

2.2. Escherichia coli

E. coli was actually, isolated initially by Theodor Escherich from the feces of healthy individuals in 1885 and was named *Bacterium coli commune* for its isolation from the colon (Escherich, 1885). The organism is known to typically colonize the infant gastrointestinal (GI) tract after birth within a few hours, and, afterward, survive commensally with the host (Drasar and Hill, 1974). About 10^6 to 10^9 colony-forming units per gram of stool are reported to be present in normal human stool (Tenaillon, 2010). Animals and humans are susceptible to infection by the adapted pathogenic E. coli strains. The ability of E. coli to become pathogenic to animals and humans is largely considered to be attributed to the flexible gene pool by gaining and losing genetic pathogenic markers (Croxen and Finlay, 2010). Adaptive strains of E. coli bear the potential to cause diseases ranging from urinary tract infections in humans, enteric infections, and systemic infections (that include bacteremia, osteomyelitis, nosocomial pneumonia, cellulitis, infectious arthritis, and cholecystitis) to serious infections like neonatal meningitis (Kim, 2012; Foxman, 2010; Kaper et al., 2004). Pathogenic E. coli strains can be differentiated from non-pathogenic strains by detecting phenotypic virulence characteristics or virulence marker genes. During earlier periods typing of E. coli isolates using standard antisera against different surface antigens (serotyping) was the predominantly used technique to detect virulent E. coli strains. Though newer phenotypic and genetic techniques have replaced

serotyping for detecting pathogenic *E. coli* strains, however, it remains one of the most widely used epidemiological criteria for characterizing *E. coli* and screening pathogenic *E. coli*. Serotyping is an important technique to detect the most virulent hemorrhagic *E. coli* involved in most of the outbreaks in the western world including both O157 and non-O157 serotypes.

E. coli is very diverse in terms of its epidemiological markers, expression of virulence properties, site of infection, clinical presentations, and hosts involved. Based on the site of infection by adaptive pathogenic *E. coli* strains these are broadly classified as Intestinal and extra-intestinal pathogenic *E. coli*. Intestinal *E. coli* causes gastroenteritis leading to diarrhea of various severity and many other associated complications including HUS and HC. Different entero-pathotypes of intestinal *E. coli* or Diarrheagenic *E. coli* (DEC) are known which vary in pathogenesis and virulence mechanisms. Extra-intestinal *E. coli* can cause infections at sites other than the intestine i.e. bacteremia, infections of the urinary tract and neonatal meningitis, etc. *E. coli* leading to avian infections is also placed under the extra-intestinal category.

E. coli has gained the focus of healthcare planners worldwide due to the emergence of very high drug resistance levels throughout the world more so in low and lower-middle-income countries. Due to the diverse nature of this ubiquitous bacterial pathogen identification of the pathotypes, characterization in terms of prevalent virulence characteristics, and local antimicrobial susceptibility profiles are the important prerequisites for planning effective treatment and control strategies against this pathogen.

2.2.1. Intestinal pathogenic *E. coli* (IPEC)

Intestinal pathogenic *E. coli* possess virulence traits that differentiate these from commensal strains. These pathogenic strains can cause gastro-enteritis of varying severity ranging from self-limiting diarrhea to invasive *E. coli* (EIEC), hemorrhagic uremic syndrome to uremic colitis. Based on virulence factors, disease-causing

mechanism, and disease severity, Intestinal pathogenic *E. coli* are further categorized into the following pathotypes: enterohaemorrhagic *E. coli* (EHEC) [also referred to as Shiga-toxin producing *E. coli* (STEC)], enteropathogenic *E. coli* (EPEC), enteroaggregative *E. coli* (EAEC), Adherent Invasive *E. coli* (AIEC), diffusely adherent *E. coli* (DAEC) and enterotoxigenic *E. coli* (ETEC), (Croxen *et al.*, 2013; Nataro and Kaper, 1998).

2.2.1.1. Enteropathogenic *E. coli* (EPEC)

Some E. coli strains have the property to form characteristic lesions on intestinal epithelial cell surfaces, these strains are designated as belonging to EPEC and are collectively referred to as attaching and effacing pathogens (Jerse et al., 1990; Andrade et al., 1989). Two types of EPEC are known i.e. typical EPEC (tEPEC) and atypical (aEPEC) which are distinguished by the presence of E. coli adherence factor (EAF) plasmid. Typical EPEC strains carry two adherence factor genes (eae and bfpA) and produce localized adherence (LA). While, atypical EPEC strains carry only one gene (eae) (Trabulsi et al., 2002). These strains produce localized-like (LAL) adherence, diffuse adherence (DA), or aggregative adherence (AA) patterns. The localized-like adherence pattern of aEPEC strains is known to be associated with various E. coli adhesins including the common pilus (Scaletsky, et al., 2010). Only humans have recognized reservoirs of tEPEC strains which include symptomatic children and asymptomatic adults and children. The most likely source of tEPEC known is the asymptomatic adults and it is transmitted through the fecal-oral route (Nataro and Kaper, 1998; Levine and Edelman, 1984). Phenotypic and genotypic variations between tEPEC and aEPEC strains have been shown and these strains are also known to differ in their antimicrobial resistance patterns and mechanisms (Scaletsky et al., 2010; Muller et al., 2009; Blanco et al., 2006).

Earlier detection of EPEC was based on serogroups; however, currently, EPEC strains are detected by detecting the presence of specific virulence genes, which are characterized by using molecular techniques. These virulence genes are present in serogroups other than those associated with classical EPEC serogroups and were earlier classified as non-EPEC strains (Hernandes et al., 2009; Ochoa et al., 2008).

2.2.1.2. Enterohaemorrhagic *E. coli* (EHEC) [Shiga-toxin producing *E. coli* (STEC)]

The ability of E. coli strains to produce cytotoxins of at least one of the Shiga toxin family is the main virulence characteristic of the STEC pathogroup of E. coli (Melton-Celsa, 2014). A variety of infections ranging from very mild and almost unapparent diarrhea to the most severe serious hemorrhagic colitis (HC) are caused by EHEC/STEC (Majowicz et al., 2014). Salvadori and Bertoni (2013) reported EHEC strains producing Shiga-like toxins (Stx) and mediating dysregulation of membrane ion channels in the epithelial membrane of the intestine. They reported that this results in the loss of a massive amount of water and ions from the body. This toxin was also considered to act as a modulator of cell transduction and immune modulation which results in a pro-inflammatory and pro-apoptotic sequel. For sequels of the hemolytic uremic syndrome, endothelial lesions in organs more frequently involving the microvasculature of the kidney are considered the responsible factor. In HUS cases the most affected organs are the gastrointestinal (GI) tract and kidney and in a few cases, involvement of pancreatic, central nervous system (CNS), skeletal, and myocardial systems have also been shown. Verocytotoxin is considered to play a role in changing the state of endothelial cells to a pro-coagulant state from the normal anti-coagulant due to microvascular cell injury. Shiga toxin-producing or Vero toxinproducing E. coli (VTEC) is known to possess genes designated as Shiga toxin 1 or 2, which are known to be typically acquired by a bacteriophage (Farfan and Torres, 2012). Most STEC strains to cause human infections can attach to enterocytes tightly and can reorganize the underlying cell structure through the intimin gene (Donnenberg et al., 1993). Though large plasmids are present in STEC/EHEC isolates associated with infections, however no equivalent of the per locus has been found in these strains (Gomez-Duarte and Kaper, 1995). The STEC plasmid encodes a toxin called enterohemorrhagic E. coli hemolysin (hlyA) (EHEC hemolysin) (Schmidt *et al.*, 1995). Genes for hlyA are present in most isolates of EHEC serotypes

(Sandhu *et al.*, 1996), and therefore detection of the hlyA gene has been proposed as a genetic marker for EHEC detection (Beutin *et al.*, 1995). The standard method for Stx phenotypic detection is the demonstration of the cytotoxicity effect of bacterial culture supernatants on eukaryotic cells (Karmali *et al.*, 1983). Numerous molecular assays for STEC/EHEC diagnosis have been developed based on the detection of Stx1, Stx2, and hlyA genes in laboratory culture or directly from stool samples. Serogroup O157:H7 frequently associated with EHEC has a characteristic property that most of these serogroups fail to ferment sorbitol within 24 hours. This property is thus usually used as a preliminary method for screening this serogroup (Center for Disease Control and Prevention 2012; Hunt, 2010; Pawlowski *et al.*, 2009; Leotta *et al.*, 2005).

2.2.1.3. Enterotoxigenic *E. coli* (ETEC)

ETEC is a predominant agent of infectious traveler's diarrhea and is endemic in many developing countries with significantly higher mortality rates among children (Isidean et al., 2011). ETEC strains produce characteristic adherence factors called colonization factors (CFs)) for intestinal epithelium cell adherence and at least one of the two enterotoxins: heat-labile; LT and heat stable; ST (Levine, 1987). ETEC is an important causative agent of childhood diarrhea in developing countries and travelers visiting these countries (Gomes et al., 2016). The genes encoding for these enterotoxins and adherence factors are reported to be carried on plasmids (Isidean et al., 2011). E. coli LTs are oligomeric toxins in nature that are closely related in structure, protein sequence, enzymatic activity, receptor identity, and activity in animal cell culture to enterotoxin produced by Vibrio cholerae (cholera toxin-CT). However, it differs from CT in toxin processing and secretion and responses from helper T-lymphocyte (Dickinson and Clements, 1995; Sixma et al., 1993). Two types of LT toxins are known one LT (LT-I) is generally produced by human isolates and is closely related to cholera toxin, while another LT (LT-II) is mainly released by nonhuman isolates (Qadri et al., 2005). Initially, Heat stable toxin, ST was detected by rabbit ligated ileal loop assay (Evans et al., 1973), however, due to a lack of standardization and the costs involved, this assay was replaced by the suckling mouse

assay (Gianella, 1976). Several immunoassays including a radioimmunoassay (RIA) and enzyme-linked immunosorbent assay (ELISA) have been developed for the detection of ST, (Cryan, 1990; Giannella *et al.*, 1981). Traditionally the ETEC was detected using bioassay for the detection of LT cytotoxicity on cell culture. Molecular techniques for the detection of ETEC through the detection of LT and ST-encoding genes using DNA probes were developed as early as 1982 (Moseley *et al.*, 1982).

2.2.1.4. Enteroinvasive *E. coli* (EIEC)

EIEC is mainly associated with bacillary dysentery in humans, more importantly in low-income countries (Gomes et al., 2016; Croxen et al., 2013). Chromosomal and plasmid-borne genes conferred invasion of human colonic mucosa is the characteristic pathogenesis of EIEC infections (Sansonetti et al., 1982; Harris et al., 1982). The model of EIEC pathogenesis involves five steps firstly it penetrates the epithelial cells then it lyses the endocytic vacuole and multiplies intracellularly followed by directional movement through the cytoplasm, and finally spreads to adjacent epithelial cells. In severe infections, these events elicit a strong inflammatory reaction leading to ulceration. The disease symptoms of EIEC infection include mild watery diarrhea, malaise, fever, and anorexia followed by dehydration, bloody and mucous stools, and associated complications (Van den Beld and Reubsaet, 2012). Infections of EIEC and Shigella spp are quite similar in clinical presentation and many phenotypic properties and virulence characteristics of these bacteria are closely related (Lan et al., 2004; Small and Falkow, 1988; Formal and Hornick, 1978). EIEC grows well in culture routinely used microbiological medium for isolation of Enterobacteriaceae. EIEC strains may exhibit the property of late lactose fermentation and may require serotyping complementing routine biochemical utilization tests for differentiation (Levine et al., 1987). Sereny guinea pig eye test is routinely used for demonstrating EIEC invasive capacity which can also be evaluated using tissue culture assays. EIEC trains are confirmed using DNA probes or PCR targeting genes linked with virulence (Pawlowski et al., 2009).

2.2.1.5. Enteroaggregative *E. coli* (EAEC)

Enteroaggregative *E. coli* is a pathotype associated with infections leading to acute and chronic diarrhea among populations of both developed and developing countries (Nataro *et al.*, 2006). In EAEC endemic areas it causes persistent diarrhea in children and patients having HIV infections (Mathewson *et al.*, 1998; Wanke *et al.*, 1991). This pathotype has also been shown to be associated with incidences of traveler's diarrhea (Okhuysen and Dupont, 2010).

Pathogenesis of EAEC follows a three-stage model involving colonization of intestinal mucosa with the formation of mucoid biofilm, expression of different enterotoxins and cytotoxins release, and inflammation of intestinal mucosa (Croxen and Finlay, 2010; Navarro-Garcia and Elias, 2011; Kaur et al., 2010; Harrington et al., 2006; Bouzari et al., 1994). Assistance from various adhesins is important for successful colonization and biofilm formation in the first stage of pathogenesis. Biofilm formation at EAEC-embedded sites is favored by excessive secretion of mucus on the intestinal mucosal (Hebbelstrup et al., 2014). Production of EAEC toxins leads to cytotoxic effects on the intestinal mucosa in the subsequent steps. The cytotoxic effects include induced microvillus vesiculation, widened cryptopenings, and increased epithelial cell extrusion (Hicks et al., 1996). Different pathogenicity islands like she pathogenicity island of Shigella which carry enterotoxin and mucinase genes or Yersinia high-pathogenicity island which carries the yersiniabactin siderophore gene have been identified in the EAEC group of strains (Weintraub, 2007; Henderson et al., 1999). However, all EAEC strains do not contain these genes, and genes carried may not be specific for the EAEC category which makes it difficult to develop an alternative method to the phenotypic HEp-2 cell adherence assay. Baudry et al. (1990) reported a 1kb cryptic diagnostic probe "CVD432" also known as the aggregative probe (AA) for diagnosing EAEC. This probe was obtained from the aggregative plasmid of strain 17-2 (Harrington et al., 2006) However this probe was found to perform variably in different locations (Nataro and Kaper, 1998). Bouzari et al., 2001 found that only 46.9% reacted with the CVD432 probe out of the total 98 EAEC confirmed by HeLa cell assay. PCR method using this probe has been

used in several studies for the detection of EAEC infections in diarrhea patients (Jafari *et al.*, 2009; Jafari *et al.*, 2008). Due to a great degree of heterogeneity among EAEC isolates, laboratory diagnosis of EAEC is a challenging task (Pawlowski *et al.*, 2009) however, the most reliable method is the detection of gene "CVD432" by PCR for EAEC confirmation (Aslani *et al.*, 2011; Baudry *et al.*, 1990).

2.2.1.6. Diffusely adherent *E. coli* (DAEC)

The *E. coli* strains, that adhere to HEp-2 cells but the adherence pattern is not similar to typical EPEC-like micro-colonies formation, are referred to as "diffusely adherent E. coli" (Arenas-Hernandez et al., 2012). Diffuse adherence has been described to be mediated by surface fimbriae in this strain (Bilge et al., 1989 and 1993). The DAEC is associated with diarrhea only in children which are older than infants (Gunzberg et al., 1993; Baqui et al., 1992; Giron et al., 1991). Symptoms associated with DAEC infections are watery diarrhea without blood or fecal leukocytes (Poitrineau et al., 1995). Based on the expression or non-expression of Afa/Dr adhesins, pathogenic DAEC strains were earlier subdivided into two subclasses. Strains expressing Afa/Dr are known to be associated with infections of the urinary tract, complications during pregnancy, and childhood diarrhea in the age group 18 months to 5 years. However, these strains have also been found in the asymptomatic intestinal microbiota of children and adults (Nataro and Kaper; 1998Servin, 2005). DAEC strains are identified by observing Diffusely Adherence patterns in the HEp-2 cells adherence experiments (Schmidt et al., 1994). DNA probes have been developed for the detection of DAEC and some of which are found to cross-react with a subset of EAEC probes (Snelling et al., 2009).

2.2.1.7. Adherent Invasive E. coli (AIEC)

Crohn's Disease (CD) affects the small bowel and causes inflammatory bowel disease (IBD) which may lead to cancer. AIEC has been associated with Crohn's Disease (CD) as its causative agent. Although it is suggested that several other factors like genetics, intestinal microbiota, and environmental factors also play an important role

in CD (Dam et al., 2013). Over 30% of patients with CD are shown to be having a connection with adhesive and invasive E. coli (Croxen et al., 2013). Serogroups O6 and O22 are more prevalent serogroups associated with AIEC strains. They cluster with the B2 phylogenetic group and are closely related to ExPEC, however, AIEC can be differentiated from ExPEC based on adhesion, invasion, and intracellular traits which are usually absent in ExPEC strains. AIEC adheres and invades epithelial cells further replicating in the epithelial cells. Apart from epithelium invasion, AIEC can invade underlying lymphoid tissue and lamina propria which can result in infecting and replicating inside macrophages leading to macrophage death. High levels of TNF- α are produced due to replication occurring in infected macrophages. This causes inflammation of the intestine and the formation of granuloma in patients with CD (Croxen et al., 2013). Pathogenesis of AIEC is thought to be achieved through various virulence factors that include FimH, OmpR, and VAT (Vacuolating autotransporter toxin). FimH binds to the host receptors which can be glycosylated and non-glycosylated (Sokurenko et al., 1997). Using FimH, AIEC adheres to carcinoembryonic antigen-related cell adhesion molecule-6 (CEACAM-6) receptor that is excessively expressed in patients with CD (Cespedes et al., 2017).

2.2.1.8. Epidemiology of Intestinal Pathogenic E. coli

During the 1940s and 1950s, Neter *et al.* (1995) described numerous *E. coli* strains which were epidemiologically related to infantile diarrhea and used the term Enteropathogenic *E. coli* (EPEC), for describing these strains. A number of case-control studies from different countries were shown to be indicating a strong correlation between EPEC strains and infantile diarrhea in comparison to strains from healthy infants (Levine and Edelman, 1984). Lanata *et al.* (2002) reviewed 266 different studies on *E. coli* DEC pathotypes published from 1990 to 2002 and reported that EPEC were the most important pathogens, with 8.8% median prevalence among community patients, 9.1% among outpatient, and 15.6% among the inpatient. Depending on the differences in study populations, geographic regions, age distributions, periods, method of detection used (adherence patterns, presence of the

eae gene, and serotyping), and the socioeconomic class involved in the study, the prevalence of EPEC infections varies (Ochoa *et al.*, 2008; Maranhao *et al.*, 2008).

Whereas EPEC is mainly associated with infantile diarrhea EHEC/STEC is associated with infections of all age groups leading to infections of various severities from self-limiting diarrhea to HUS and UC. Two key epidemiological studies were important in the recognition of EHEC as a distinct class of pathogenic *E. coli*. Riley *et al.* (1983) detected a rare *E. coli* serotype, O157:H7 from stool cultures of hemorrhagic colitis patients, who presented with gastrointestinal illness having severe crampy abdominal pain, watery diarrhea followed by grossly bloody diarrhea, with low fever or without fever, from two outbreaks which were associated with eating of undercooked hamburgers from a fast-food restaurant chain. Sporadic cases with clinical presentation of hemolytic uremic syndrome (HUS) due to infection with *E. coli* strains producing cytotoxins were reported by Karmali *et al.* (1983).

The most commonly associated serotype with EHEC/STEC infections is serotype O157:H7 in developed countries, however, this serotype is sporadically isolated from human infections in India and other developing countries (Sehgal et al., 2008). Since the association of the E. coli O157:H7 serotype with hemorrhagic colitis in the 1980s, it has been reported to cause many outbreaks and sporadic cases with severe disease presentations. This serotype, therefore, becomes the prototype of the EHEC group of bacteria (Kaper and O'Brien, 2014). Some non-O157 serogroups including O145, O121, O111, O103, O45, and O26, are also frequently found to be associated with EHEC/STEC human outbreaks mainly transmitted through contaminated food (Kaper and O'Brien, 2014; Gould et al., 2013). A large number of STEC mainly E. coli O104:H4 outbreaks have been encountered in recent years in Europe including the spring 2011 outbreak when approximately 4000 people were infected with E. coli O104:H4 serotype in Central Europe, mainly Germany, which lead to about 900 cases of HUS. It was found that this pathogen harbor virulence traits of two DEC pathotypes simultaneously i.e. EHEC and EAEC (Jhandyala, 2013; Bilinski et al 2012; Karch et al. 2012). Higher rates of complications are associated with serotype O157 infections i.e. HUS and HC than those by the non-O157

serotypes (Page and Liles, 2013; Ferens and Hovde, 2011). Epidemic and sporadic ETEC infections have been reported increasingly reported throughout the world (Karmali, 1989). Another highly virulent serotype of EHEC O26:H11/H– is also emerging as the common EHEC outbreak-associated strain in Europe (Vasser *et al.*, 2021; Bielaszewska *et al.*, 2013). The presence of EHEC/STEC strains in the gastrointestinal tract of a wide variety of animals suggests that these infections can have a zoonotic character (Gonzalez *et al.*, 2016). Another concern in EHEC/STEC infections is the occurrence and survival of EHEC/STEC strain in the environment as this pathotype is known to survive in the water, manure, and soil (Lascowski et. al., 2013).

DEC pathotype ETEC is associated with two important clinical syndromes 1) childhood diarrhea especially in developing countries and 2) diarrhea in travelers visiting developing nations. Several factors are involved in the epidemiologic pattern of ETEC disease (i) for establishing a successful infection relatively high infectious dose is required (ii) a protective mucosal immunity develops in those infected or exposed to ETEC and (iii) shedding of pathogenic ETEC organisms in the stool of otherwise asymptomatic and immune individuals is common. It is determined through epidemiologic investigations that contaminated water and food are the two most common vehicles of ETEC infection, thus the implementation of proper sanitization is the most crucial and effective strategy to contain ETEC infection (Nataro and Kaper, 1998; DuPont *et al.*, 1971). ETEC traveler's diarrhea usually occurs in first-time travelers from developed countries to developing nations from contaminated food and water mostly during warm and wet months (Arduino and DuPont, 1993).

The first recognition of ETEC strains as causative agents of diarrhea was after its isolation from newborn piglet lethal diarrheal cases (Nataro and Kaper, 1998). The importance of two enterotoxin types of ETEC in disease mechanisms was also elucidated in piglets. Fluid secretion by human childhood diarrheal ETEC isolates in ligated rabbit intestinal loops was the first description of ETEC in humans (Taylor *et al.*, 1961). Later on, ETEC was shown to cause diarrhea in adults also (DuPont *et al.*, 1971).

EIEC strain was first reported during the 1940s and was initially designated as "para colon bacillus", however later this strain was identified as *E. coli* serotype O124. Other *E. coli* isolates from bacillary dysentery, with the ability to cause keratoconjunctivitis in guinea pigs during experimentations, were identified during the 1950s and 1960s and were initially designated as *Shigella manolovi*, *S. sofia*, *Shigella* strain 13, and *S. metadysenteriae*, which were later re-designated as EIEC (Ewing, 1986; Marier *et al.* 1973; Manolov, 1959; Ewing and Gravatti, 1947). The biochemical characterization of EIEC strains was first carried out in 1967 (Sakazaki *et al.*, 1967).

Enteroinvasive *E. coli*-infections are known to spread through the fecal-oral route mainly sourced to humans as the reservoirs including the infected asymptomatic patients, and animal reservoirs of EIEC are not known. EIEC infections are reported worldwide; however poor hygienic conditions in low-income countries contribute to the easy spreading of EIEC infections (Beutin *et al.*, 1997; Chatterjee and Sanyal, 1984). EIEC is known as an important diarrheal agent in many countries of Latin America and Asia (Levine *et al.*, 1993; Chatterjee and Sanyal, 1984;). EIEC infections in developing countries have been mainly described among travelers returning from countries with a high incidence of EIEC infections (Wanger *et al.*, 1988). Pathogenic EIEC strains are found to be associated with limited serotypes and some of these are found to be identical to *Shigella* spp. "O" antigens include O167, O144, O143, O124, O121, O112, and O28 (Tozzoli and Scheutz, 2014; Cheasty and Rowe, 1983). The two commonest transmission vehicles for EIEC infections are contaminated food and water usually sourced from human reservoirs (Tozzoli and Scheutz, 2014).

Studies related to the demonstration of EPEC adherence patterns to Hep-2 cells lead to the discovery of EAEC and diffusely adherent *E. coli* (DAEC) pathotypes of DEC. Two types of diarrheal *E. coli* isolates with the ability to adhere to Hep-2 cell but with phenotypic presentation different from EPEC pathotypes i.e. diffuse pattern was detected and categorized as aggregative and true diffuse

adherence phenotypes (Nataro *et al.*, 1987; Nataro *et al.*, 1985; Scaletsky *et al.*, 1984; Cravioto *et al.*, 1979). During an outbreak with EAEC/STEC hybrid O104:H4 strain in Germany in 2011 which encountered more than 5000 cases and about 50 deaths, the attention of medical scientists was drawn to EAEC (Boisen *et al.*, 2015). In a study from 1985 to 2006 of different hospital-based, sporadic, and outbreaks cases in the United Kingdom no known serotype could be associated with a large number (43) of EAEC isolates from a total of 143 EAEC strains (Jenkins *et al.*, 2006).

The main clinical presentations in DAEC infections are watery diarrhea and recurring urinary tract infections, this pathotype is responsible for infections in both developed and developing nations (Servin, 2005). DAEC outbreaks are caused by contaminated water, contact with infected animals, and mainly contaminated food. Consumption of contaminated undercooked ground beef is the main vehicle of DAEC infections (O'Sullivan *et al.*, 2007).

Various studies on the prevalence of DEC pathotypes have been reported throughout the world showing regional variations. The prevalence of ETEC was found to be very low in different studies in high-income countries in comparison to low and middle-income countries. In the mid-Anatolia region of Turkey among children 0-5 years of age ETEC the prevalence was found to be low (4.5%) by Iseri et al (2011) and in a similar study reported earlier in Turkey by Ozerol et al (2005), the prevalence of ETEC was found to be 10% among children of 0-5 years. However, an ETEC prevalence study conducted by Mohammadzadeh et al. (2015) in Tehran, Iran found that ETEC is a very common aetiological agent of DEC in diarrheal patients when they evaluated 140 diarrheal stool samples collected during April - September 2013, and detected only lt gene in 5 (3.6%) isolates, both lt and st genes co-amplified in 3 (2.1%) isolates and only st gene in 1 (0.7%) of the isolate. A four-year study conducted by Gonzalez et al. (2013) in Bolivia from January 2007 to December 2010 among children less than 60 months of age revealed that the most prevalent DEC pathotypes in diarrheal children were EAEC, ETEC, and EPEC with incidence rates of 11.2 %, 6.6 % and 5.8% respectively which peaked during winters seasons of Bolivia (April-September), while EIEC and EHEC were detected in only 1% of the

samples. A 3-year (Dec 1, 2007, and March 3, 2011), prospective study on 9439 children (0-59 months old) with moderate-to-severe diarrhea was conducted by Kotloff et al. (2013) in developing countries (South Asia and sub-Saharan Africa) to understand etiology of diarrhea. Heat-stable toxin-producing ETEC (ST-ETEC strains; expressing ST alone or co-expression ST with heat-labile enterotoxin) was found to be one of the most prevalent diarrhea etiological agents along with rotavirus, Cryptosporidium, and Shigella in this study. ST-ETEC and aEPEC were detected with association to the highest risk of case deaths with a hazard ratio of 1.9; 0.99-3.5 and 2.6; 1.6-4.1 respectively in 0-11 months old children. In six-year surveillance during the year 2011-2016 conducted in Brazil with 5047 clinical E. coli samples, 683 DEC were detected. The most frequently detected DEC pathotypes were EPEC, EAEC, and ETEC with prevalence rates of 52.6%, 32.5%, and 6.3% respectively. EIEC and STEC were detected with incidence rates of 4.4% and 4.2% respectively (Ori et al., 2019). A study was conducted in Thi Qar city from Oct 2013 - to Oct 2014 on 200 diarrheal children (< 12 years) by Al-Dulaimi et al. (2015). DEC was detected in 44.8% of samples with incidence rates of 64.73%, 19.5%, 10.5%, and 5.27% of EAEC, ETEC, EPEC, and EHEC DEC pathotypes respectively. Newitt et al. (2016) also reported two outbreaks of EIEC gastrointestinal infections in the United Kingdom in 2014, these outbreaks involved over 100 infection cases. In one episode the EIEC infections were transmitted through contaminated salad vegetables and, in a second outbreak, the EIEC serotype O96:H19 could be isolated from vegetable samples and some patients. Nataro et al. (2006) conducted a study to determine the etiology of diarrheal illness in Baltimore, Maryland, and New Haven, Connecticut. They targeted molecular markers for enteroaggregative E. coli (EAEC), enterotoxigenic E. coli, enteropathogenic E. coli, Shiga toxin-producing E. coli, and cyto-detaching E. coli. DEC was detected in 77 (9.4%) of 823 cases and EAEC was the most prevalent cause of diarrhea. Saka et al. (2019) studied the distribution of DEC pathotypes in 248 diarrheal children <5 years of age and 33 healthy controls out of a total of 455 cases (400 patients and 55 controls) in Nigeria from April-November 2017. They detected DEC pathotypes in 73.8% of the isolates (183/248) with EAEC (36.3%), ETEC (17.3%), and EPEC (6.0%) identified as the commonest DEC pathotypes in this study. A study on children with acute diarrhea in South Africa from

March 2015-May 2017 detected DAEC (41%), EHEC (17%), EIEC (10%), and EPEC (17%) as the most prevalent DEC pathotypes out of 106 confirmed E. coli isolates (Omolajaiye et al., 2020). Eybpoosh et al. (2021) examined 1305 stool samples from diarrheal patients from different age groups and detected E. coli in 979 samples in Iran from 15 provinces from 2013 to 2014. They detected DEC pathotypes in 659 of the samples more frequently during summer than winter. STEC (35.4%), ETEC (14.0%), and EPEC (13.1%) with incidence rates were identified as the most prevalent pathotypes. EAEC (4.3%) and EIEC (0.3%) were not found to be so prevalent in Iran in this study. DEC Detection rates (73%) among children under five years of age were very high than in adults. Zhou et al. (2021) surveyed acute diarrheal patients of all age groups in China from 2009 to 2018 and detected DEC in 6119 (6.68%) of the 91651 patients. They detected DEC more among women (6.97%) than male (6.46%) patients and maximum in patients of the age group 18-59 years (7.88%). EAEC (28.51%), ETEC (24.07%), and EPEC (25.36%) were the commonest DEC pathotypes overall. In children <5 years of age EAEC (2.07%), EPEC (1.81%), and EHEC (0.31%) were detected as the most prevalent pathotypes while among age groups 18-59 ETEC (2.36%) was the most prevalent agent. Jarquin et al. (2022) evaluated the DEC burden among hospitalized patients (647) and those who visited clinics (2304) with acute diarrhea at Rosa Santa in Guatemala from 2008 to 2009 and from 2014 to 2015. E. coli the prevalence rate was detected as 17.9%. DEC pathotypes were detected in 19% of hospitalized and 21% of clinic patient samples with E. coli detection. DEC hospitalization and clinic visit incidences in the age group <5 were 648 and 29.3 per 10,000 persons while in the age group > 5 years it was 36.8 and 0.4 per 10,000 respectively in hospitalized and clinic-visiting patients. ETEC, EPEC, and STEC were the most commonly isolated pathotypes in hospitalized (8.2%, 6.8%, and 0.6% respectively) and clinic visiting (12%, 6%, and 0.6% respectively) patients.

India is very diverse geographically and different studies in different parts of the country have shown different pathotypes being involved in gastrointestinal DEC infections. In another study from Mangalore, Karnataka, between June 2002 to June 2004 on 115 diarrheal stool samples. DEC was detected using PCR in 17.4% (20) of the samples. Among DEC aEPEC (12 isolates), EAEC (4 isolates), and STEC (4 isolates) were detected. No ETEC was detected in this study (Shetty et al., 2012). In a study conducted at the Postgraduate Institute of Medical Sciences Chandigarh (North India) on hospital-acquired diarrhea among children with ages ranging from 02 months to 14 years during the period January 2008-June 2009, DEC was found to be the most predominant causative agent (47%) while C. defficle toxin (9%) and rotavirus (8%) were less prevalent. Among DEC isolates ETEC (22%), EAEC (18%), and EPEC (7%) were isolated in this study using multiplex PCR (Chandra et al., 2012). In a study conducted in Kolkatta, Western India on 648 hospitalized diarrheal patients, EPEC was found to be responsible for 3.2% of diarrheal infections among children less than 5 years of age (Nair et al., 2010). In active surveillance on diarrheal etiology in Kolkotta from 2008 to 2011 in 3826 stool samples, DEC was detected in 11.8% of the samples. EAEC was detected most frequently (5.7%) followed by ETEC (4.2%) and EPEC (1.8%). Different pathotypes were found to be associated with different age groups i.e. EAEC and EPEC (>2 years of age), ETEC (>2 to 5 and >5 to 14 years), and EAEC (>14 to 30 and >30 to 50 years) [Dutta et al., 2013]. Suganya et al. (2016) studied the prevalence of DEC pathotypes among DEC samples from children from Tamil Nadu using multiplex PCR with primers for EPEC (eae and bfpA), ETEC (elt and Stla), DAEC (CVD432), EHEC (hlyA) and EAEC (ial). They could detect only ETEC pathotypes in one sample out of a total of 75 DEC isolated. A study conducted by Mandal et al. (2017) in Bihar on 633 diarrheal children patients (0-60 months of age) detected 191 (30.2%) DEC using multiplex PCR with 69.1% of DEC being EAEC, 8.4% EPEC, 1.6% EIEC and 2.6% EHEC and 7.8% mixed pathotypes. In a study conducted on 1394 stool samples from diarrheal children of age < 5 years in Andaman and Nicobar from 2013 to 2016, DEC has detected in a total of 95 (6.82%) samples. Among the DEC 70.1% were EAEC, 19.6% were EPEC and 10.3% were ETEC. Of the EPEC 63.2% were atypical EPEC (Raghvan et al., 2017). A total of 334 E. coli collected during 2013-2015 from children up to 5 years of age were analyzed for DEC pathotypes EPEC and EIEC detection in Mizoram, North-East India. Incidence rates among diarrheal patients of EPEC and EIEC in this study were detected as 11.38% and 1.8% respectively (Chellapandi et al., 2017). A study conducted at Bhubaneswar (Odisha) on 130 diarrheal children (<5 years of age)

between June 2015-April 2016 by Shrivastava et al (2017) detected DEC in 30.07% of the diarrheal samples with EPEC (21.53%) with the majority of cases, and other pathotypes detected less frequently were STEC, EAEC, 0157, and EHEC with incidence percentages of 10.76%, 6.90%, 4.61%, and 0.77% respectively. A study conducted by Surya et al. (2014) on 75 stool samples collected from diarrheal children of age < 15 years in Tamil Nadu detected 17 (23%) DEC isolates. EPEC (12%) was detected as the most prevalent pathotype followed by EAEC and STEC with incidences of 5.33% and 4% respectively. ETEC strains were not at all isolated from the study population in this study. Singh et al. (2019) detected DEC pathotypes in 198 (66%) of the samples by microbiological culture methods and 170 (56.6%) samples using the polymerase chain reaction technique respectively of the total 300 samples studied in Karnataka. Among 198 DEC isolates eae, stx, east, elt, est, ipaH, and eagg genes were detected in percentages of 59.5%, 27.7%, 27.2%, 12.6%, 10.6%, 5.5%, and 1.5% respectively. Based on the detection of virulence genes, EPEC (33.8%) was detected as the most frequently isolated pathotype followed by STEC (23.2%), ETEC (13.6%), EIEC (5.5%), and EAEC (0.05%). DEC with a combination of virulence traits from different pathotypes was also detected with different combinations including EAST1EC combination pathotype strains, STEC/ETEC, and STEC/EAEC strain with incidence rates of 4.5%, 3.5%, and 1.0% respectively. Mohanty et al. (2021) detected DEC pathotypes in 7.4% of diarrheal children out of 350 cases in Bhubaneswar Odisha, during October 2014-September 2016 [12 (46.2%) in 0-1year age; 11 (42.3%) in 1-5years age and 3 (11.5%) in 5-14 years age group]. ETEC (53.8%) and EPEC (38.5) were the most prevalent pathotypes while EHEC was also detected in 2 (7.7%) samples. However, EAEC, EIEC, and DAEC were not detected in this study. The rate of DEC isolation was more during the rainy (69.2%) and summer seasons (23.1%) than in winter (7.7%). Prasad et al. (2022) reported DEC pathotypes in 39 out of 170 acute diarrheal patients and 3 of the 47 control cases of age <18 years in Meghalaya, North-east India during one year (January-December 2015).EAEC (38%) and aEPEC (28.5%) were detected as the most prevalent pathotypes in the study. DEC pathotypes tEPEC (16.6%), ETEC (11.9%), and EIEC (4,7%) were also detected in this study.

2.2.2. Extra-intestinal pathogenic *E. coli* (ExPEC)

Extra-intestinal pathogenic *E. coli* (ExPEC) are categorized into different pathotypes i.e. uropathogenic *E. coli* (UPEC), avian pathogenic *E. coli* (APEC), and neonatal meningitis (NMEC). Extra-intestinal pathogenic *E. coli* (ExPEC) is associated with complications like lower urinary tract infections (UTIs), systemic infections, and also neonatal meningitis (referred to as NMEC) (Kim, 2012; Pitout, 2012; Kohler and Dobrindt, 2011; George and Manges, 2010). Though ExPEC is mostly involved in infections of the urinary tract, it can enter and cause infections of any sterile body site extraintestinal and may cause infections leading to myositis, meningitis, osteomyelitis, or epididymal-orchitis. In the USA annually approximately 40000 deaths are attributed to systemic inflammatory response syndrome (SIRS) after bloodstream infections, mainly by *E. coli* (Russo and Johnson 2000 and 2003).

2.2.2.1. Uropathogenic E. coli

UTI refers to the number of bacteria present in the urine which can be >150/ml and symptomatic UTIs can be divided according to the severity caused Upper UTIs are called pyelonephritis (infection in the kidney) or lower UTIs are called cystitis (bacterial infection in the bladder) (Parvez and Rahman, 2018; Terlizzi*et al.*, 2017). UPEC pathogenesis includes UPEC colonization in the periurethral, urethral and vaginal areas, penetration into the lumen of the bladder, *E. coli* planktonic cell growth in urine, its adherence to the surface, and interaction with the defense mechanism of the epithelium of the bladder leading to biofilm formation and further invasion and replication in bladder cells also causing damage to the kidney with increased risk of bacteremia/septicemia (Terlizzi*et al.*, 2017).

A wide range of virulence factors assists in the initiation of the pathogenesis of UPEC such as flagella, pili, non-pilus adhesins, curli, polysaccharide capsule, LPS, OMPs, outer-membrane vesicles, TonB-dependent iron-uptake receptors including siderophore receptors, and secretion systems (Terlizzi*et al.*, 2017). Both types of virulence factors viz. bacterial cell surface and secreted virulence factors are important in the pathogenesis of the UPEC. Type 1 and P fimbriae belong to bacterial cell surfaces which help in adhesion and tissue invasion in the host cell. Flagellum, capsular lipopolysaccharide, and outer membrane proteins also belong to the bacterial cell surface virulence factors. Hemolysin and siderophores belong to the secreted virulence factors which help bacterial colonization (Shah *et al.*, 2019).

PAIs are the regions on bacterial chromosomes where virulence factors accumulate. Different sets of PAIs have been found associated with three different UPEC strains (*E. coli* 536, *E. coli* J96, and *E. coli* CFT073). In strain 536, PAI's I-IV encodes a variety of virulence factors such as P fimbriae, P-related fimbriae, and the yersiniabactin siderophore system. While in strains IJ96 and IIJ96 PAIs have been identified to encode P fimbriae, P-related fimbriae, and α -hemolysin. On the other hand strains, ICFT073 and IICFT073 PAI were identified to encode P fimbriae, and aerobactin (Sarowska *et al.*, 2019).

2.2.2.2. Neonatal meningitis E. coli

The neonatal meningitis subtype of ExPEC is a major causative agent of neonatal bacterial meningitis (NBM) which causes disease in newborns <1 month of age (Stoll *et al.*, 2011; Johnson and Russo, 2002). Neonatal meningitis *E. coli* has a mortality rate ranging from 17 to 38%. According to studies, NMEC isolates can be differentiated from other pathotypes based on the presence of certain serotypes (O7:K1, O18:K1:H7, and O83:K1) and some virulence factors like S fimbriae, K1 capsule, and invasion of brain endothelium (ibeA). This strain belongs to phylogenetic group B2, the source of other extraintestinal pathogens (Johnson and Russo, 2002).

It is difficult to distinguish the characteristics of NMEC strains from commensal strains due to phenotypic and genotypic heterogeneity (Sarowska *et al.*, 2019). In pregnant women, mostly pathogenic bacteria colonize the vagina, cervix, or rectum. During later stages of pregnancy, these bacteria via crossing the amniotic membrane reach the fetus or infect the baby during childbirth ((Watt *et al.*, 2003;

Romero *et al.*, 1989). Pathogenesis of NMEC involves three sequential steps first transfer of NMEC from the urinary tract, uretro, or intestine lumen to the bloodstream, and its intravascular survival and multiplication occur, secondly NMEC transfers through the blood-brain barrier and lastly it invades the arachnoidal space (Kim 2012; Bonacorsi and Bingen 2005).

NMEC virulence factors are encoded on plasmids that are not found in any of the UPEC strains (Sarowska *et al.*, 2019). About 80% of the meningitis cases caused due to *E. coli* belong to capsular serotype K1 antigen; mainly serotypes O7:K1 and O18:K1 (Moulin-Schouleur *et al.* 2006; Achtman *et al.* 1983). Various virulence factors are known to aid NMEC infections and include adhesins like p-fimbriae, S-fimbriae, type-1 fimbriae; outer membrane proteins: K1 capsular antigen, and Ompa protein; invasions like ibeA, asl, cnf1 gene; siderophores like iroN, fyuA, and iucC/iutA genes and various others like Iss protein, colV, gimB, traT, cvaC genes (Sarowska *et al.*, 2019; Vila *et al.*, 2016).

The virulence genes of the NMEC plasmid core include several operons like aerobactin (iutA/iucABCD), sit (sitABCD), and salmochelin operon (iroBCDEN). These are the three major iron uptake systems that are associated with ExPEC virulence. Virulent NMEC human isolates are strongly connected with the iss gene which is also found in the genome of the large virulence plasmid of NMEC. The transfer of *E. coli* through the ammonitic membrane is due to a virulence factor IbeA; the gene for IbeA is coded on the PAI GimA. This functions by providing invasive properties for the blood-brain barrier which is a carbon-regulated process (Sarowska *et al.*, 2019).

2.2.2.3. Avian pathogenic E. coli

In poultry, APEC strains cause avian colibacillosis starting in the respiratory tract. APEC causes invasion of the respiratory tract which causes inflammation of the air sacs leading to septicemia and infection (Wasinski, 2019). The strain shows similarities with human ExPEC strains and virulence genes are also similar to the virulence factors identified in human ExPEC (Moulin-Schouleur*et al.*, 2007). APEC strain's virulence factors include type I fimbriae (FimC), temperature-sensitive hemagglutinin (Tsh), colicins (CvaC), and hemolysins (HlyE), increased serum survival protein (iss), and siderophores (IucC, SitA). Genes like ompTandhlyF also contribute to virulence (Sarowska *et al.*, 2019).

2.2.2.4. Epidemiology of ExPEC

UPEC is considered the primary cause of community-acquired Urinary Tract Infections, it is estimated that among women more than 18 years of age about 20% suffer from a UTI during their lifetime (Foxman; 2010). In community-onset UTIs cases 70-95% are attributed to UPEC and among nosocomial UTIs, approximately 50 % are attributed to UPEC. It is one of the most common causes of uncomplicated and complicated UTIs (Shah *et al.*, 2019; Nicolle 2013 and 2014). 75-95% of the *E. coli* strains are responsible for uncomplicated UTIs and 40-50% contribute to complicated cases UTIs are more prevalent in 81% of women aged from 16 to 35 years of age and in men aged more than 60 years the cases of UTI increases due to enlarged prostate (Sarowska *et al.*, 2019). The human intestinal tract is believed to be the primary reservoir of UPEC and it acts as opportunistic pathogens employing a battery of virulence factors for colonization and infecting the urinary tract in an ascending pattern. The Source of community-acquired UTI outbreaks had been traced to UPECcontaminated food. However, UPEC isolates have also been shown to be spread through sexual activities (Foxman, 2010; George and Manges, 2010).

UPEC infections are the most common among ExPEC infections. It is estimated that over 80% of UTIs are attributed to UPEC as the causative agent worldwide (Sarowska *et al.*, 2019). ExPEC burden of UTIs in the USA is estimated at 85-95% of UTI cases and 25-35% of catheter-associated UTIs (Poolman and Walker, 2016).

E. coli (73.5%) was detected as the most common etiological agent among the 200 community-acquired UTI patients in a study conducted in Tuzla, Bosnia from

January 2006-December 2007 by Piljic et al. (2010). A study on pregnant women attending different clinical institutions from January 2012 to December 2012 in Cambodia was re-evaluated by Ruiz-Rodriguez et al. (2021). It was observed from the medical records that the prevalence of UTI was 14.5%. E. coli (75.53%) and Klebsiella (17.02%) were detected most commonly as causative agents while other bacteria with low incidence rates detected were Staphylococcus (2.13%), Enterococcus (2.13%), Streptococcus and Serratia (1.06%). Another similar study from Cambodia conducted in 2013-to 2015, detected a UTI prevalence of 29% among pregnant women with E. coli and Klebsiella as the most prevalent etiological agents with incidence rates of 57.7% and 11.4% respectively (Sanin-Ramirez et al., 2019). A ten-year global prevalence study of Infections in Urology (GPIU) covering the years 2004-2013 and data from 17 Asian countries revealed that the UTI prevalence rate was 9.8% among hospitalized patients. E. coli with an incidence rate of 38.7% was detected as the predominant cause of hospital-associated UTIs from the database of GPIU during this period (Choe et al., 2018). In a one-year study conducted from September 2017 to August 2018 in Nepal on urine samples collected from 1142 suspected UTI patients, 184 were found to be infected with microbes. Of the confirmed UTI cases E. coli (57%) was detected as the most common causative agent. Male and female percentages among the UPEC-infected patients were 47% and 53% respectively (Shah et al., 2019). Out of 504 healthcare-associated infections detected in a survey in 2009-2010 in ten geographically diverse states of the USA, E. coli was detected as the causative agent in 47 (9.3%) of the infections sharing a different percentage of infection burdens of healthcare-associated infections being the commonest agent of UTI i.e. pneumonia (3; 2.7%), surgical site infections (14;12.7%), gastrointestinal infection (1;1.2%), UTI (18;27.7%), bloodstream infections (5; 10%) (Magill et al., 2014). In a case-control study conducted in Iran UPEC was detected in 138 out of 702 urine samples evaluated. UPEC isolated in the study were compared with 30 commensal E. coli isolates for virulence factors and it was observed that virulence factor scores of the UPEC were more than twice higher than in commensals (Rezatofighi et al., 2021).

UTI is also very common in India and most of the cases are attributed to UPEC infections. UTI cases with UPEC as the causative agent are routinely reported from different parts of the country. A study from February- to September 2014 in Patna, Bihar found E. coli and Pseudomonas aeruginosa was the most common causative agents of UTIs. Out of the total 700 samples analyzed only 200 showed significant bacteriuria. E. coli was isolated from 100 (50%) of the culture-positive samples. Other etiological agents isolated in this study were P. aeruginosa (12%), S. aureus (9%), C. koseri (8%), Enterococcus spp (6%), Klebsiella pneumonia (4%), Klebsiella oxytoca (4%), S. aureues –SCV (4%), S. saprohyticus (2%) and Moraxella catarrhalis (1%) (Bhattacharyya et al., 2015). UPEC was isolated with highest the prevalence from 510 culture-positive urine samples out of 1054 urine samples from patients during 18 month (January 2013- June 2014) study conducted in Odisha. E. coli (109), Enterococcus fecalis (82), Staphylococcus aureus (65), Enterococcus aerogenes (64), Klebsiella oxytoca (50), Klebsiella pneumoniae (45), Clostridium freundi (41), Pseudomonas aeruginosa (32) and Proteus vulgaris (22) were isolated from the culture-positive samples in this study (Mishra et al., 2016). Ghosh and Mukherjee (2016) reported the detection of UPEC in 59 (39.86%) of the 148 urine cultures evaluated and collected from patients suffering from UTI in Kolkata, West Bengal. A study was conducted on 3772 urine samples from in-patients from Ural Kerala from May 2016 to April 2017 to evaluate etiology and antimicrobial resistance patterns. Significant bacteriuria was observed in 1265 samples with E. coli (48.9%) as the most common etiological agent. Other isolates identified in this study were Enterococcus sp., Klebsiella spp, Pseudomonas and other non-fermenters, and Staphylococcus sp. with percentages of 14%, 14%, 11%, and 7% respectively (Sukumaran and Kumar, 2017). Das et al. (2018) reported the detection of E. coli in 76.6% of the 47 culture-positive samples out of 205 mid-stream urine samples from women in Haryana, North India. E. coli was mainly isolated from urine samples of younger women (61.11%) in the age group 21-40 years. In a study at Ankola Maharashtra on 351, urine samples from suspected UTI patients E. coli was detected as the most prevalent isolate with a frequency of 75%. Other bacterial agents isolated in this study include P. aeruginosa (8%), P. mirabilis (6%), K. pneumoniae (4%), S. aureus (4%), and E. faecalis (3%) (Paralikar et al., 2019). Urine samples from a rural

population in the Solan district of Himachal Pradesh were analyzed during a two-year retrospective study (2014-2015) to evaluate the antimicrobial profile of uropathogens (Mehrishi et al., 2019). A total of 1878 urine samples from suspected UTI patients were analyzed, 184 of the samples indicated significant bacteriuria E. coli (59.78%) was isolated as the most prevalent etiological agent followed by Klebsiella pneumonia (9.78%) and Enterococcus spp (8.69%) other bacteria were isolated with low rates. A comparative study for the prevalence and antimicrobial resistance pattern in suspected UTI cases of children was carried out in New Delhi, North India to compare scenarios in 2009 and 2014. Significant colony counts were observed in 340 (16.15%) of 2140 samples during 2009, while it was observed in 407 (18.39%) out of 2212 samples in 2014. E. coli was the predominant cause of UTI during both periods (57.1% and 55.5% respectively) with increasing trends of antimicrobial resistance over the period (Patwardhan et al., 2017). Kulkarni et al. (2017) isolated 395 E. coli from 1000 suspected UTI patients attending a hospital in Karnataka, India from 2012 to 2015. Of these 395 E. coli, 170 isolates were resistant to multiple drugs. E. coli and Klebsiella pneumonia were also detected as the commonest causative agents of UTI in pregnant women attending their first antenatal visit in October 2015-September 2016 at Hyderabad, South India. Bacterial growth was observed in 133 out of 1841 urine samples, E. coli (79/133), K. pneumonia (29/133), Sphigmomonas (3/133), Enterobacter (1/133), and Citrobacter (1/133) were isolated from these samples (Kammili et al., 2020).

Infections on sites other than gastrointestinal and urinary tracts are not so common however *E. coli* remains a leading agent of bacteremia worldwide mostly sourced from UTIs (Jackson *et al.*, 2005). Overall incidence rates in the USA adult population are estimated at 30-50 cases per 100,000 adults (Laupland and Church, 2014; Williamson *et al.*, 2013). Postoperative drug-resistant *E. coli* infection is another major cause of *E. coli* bacteremia. The incidence of *E. coli* bacteremia increased by about 70% from 1999 to 2011 in the United Kingdom due to drug-resistant *E. coli* strains (Schlackow *et al.*, 2012). Neonatal bacterial sepsis is one of the important causes of neonatal mortality and morbidity throughout the world. The incidence of neonatal sepsis is 1/1000 and 4/1000 among normal-term and preterm

neonates respectively in underweight neonates it increases to 300/1000 (Stoll et al., 2011). Prophylactic antimicrobial administration to pregnant women during the last antenatal visits to control group B streptococcus neonatal sepsis reduced its incidence rate but increased incidence rates of E. coli-associated neonatal sepsis. Lopez Sastre et al. (2005) reported a decrease in group B streptococcus cases after intra-amniotic prophylaxis (IAP) however an increase in *E. coli* cases from 0.17/1000 to 0.38/1000. Schrag and Stoll (2006) also reported post prophylaxis decrease in cases of group B streptococcus from 1.7/1000 to 0.34/1000 but an increase in E. coli cases from 3.2 per thousand to 6.8 per thousand. Van den Hoogen et al. (2010) reported a postprophylaxis decrease in cases of group B streptococcus from 1.8/1000 to 0.7/1000 but an increase in E. coli cases from 1.0/1000 to 0.3/1000. Escherichia coli is the second most important agent of neonatal meningitis with a mortality rate of 20%-29% and high morbidity among neonates. The neonatal meningitis incidence rate in developed countries is about 0.1 per 1000 live births. About 90% of neonatal meningitis cases occur in neonates below 28 days of age, the rest 10% of cases are reported among children in the age groups of 1 and 3 months (Vila et al., 2016; Okike et al., 2014; Bonacorsi and Bingen 2005; Unhanand et al. 1993).

Studies on NMEC prevalence are very few. In one of the studies, of the 444 bacterial neonatal meningitis cases reported from pediatric wards in France from 2001 to 2007, group B streptococci (59%) and *E. coli* (28%) were found to be the most prevalent bacterial agents (Gaschignard *et al.*, 2011). In a systemic review of neonatal meningitis in developing countries, Furyk *et al.* (2011) observed that mortality rates of neonatal meningitis in developed countries, with the difference in the common etiological agents in developed and developing countries except for *E. coli*, which is a common agent of neonatal meningitis in developed as well as in developing countries. In a study from North-east India from January 2013- to January 2015, Devi *et al.*, (2017) evaluated 303 cerebrospinal fluid (CSF) samples suspected of neonatal meningitis with the detection of pathogens in 67 of the samples. Bacterial agents were detected in 58 samples, gram-negative bacteria being more frequent (32) than grampositive bacteria (26). *A. baumannii* (18%), *Klebsiella* (12%), *Pseudomonas* (9%), *N.*

meningitidis (3%), and *E. coli*, *Sneathia*, *Cronobacter sakazakii*, and *Roseomonas cervicalis* (1.5% each) were detected among the gram-negative bacteria. *Enterococcus spp.* (15%) was the most commonly detected gram-positive bacteria, *E. coli* K1 was found to be the most common etiological agent of neonatal meningitis with a mortality rate of 10-15% in Britain in a study conducted by Alkeskas *et al.* (2015). Johansson Gudjonsdottir *et al.* (2019) from Sweden reported the highest neonatal meningitis mortality by *Klebsiella* (33%) and *E. coli* (11%). A retrospective study conducted in eastern China by Liu *et al.* (2021) over three different time zones (2001-2006, 2007-2012, 2013-2020) detected *E. coli* neonatal meningitis incidence rates during these time zones as 0.12, 0.26, and 0.23per thousand live births respectively.

Studies from India have reported different common etiological agents of neonatal sepsis and meningitis. In a study *Klebsiella* (33/58) and *E. coli* (11/58) were found to be the most common bacterial agents of neonatal septicemia in a district hospital in West Bengal (Viswanathan *et al.*, 2012). *Pseudomonas* (33.2%), *Klebsiella* (31.4%), *Acinetobacter* (14.4%), *Staphylococcus a*ureus (9.2%), *E. coli* (4.4%), *Enterobacter* (2.2%), *Citrobacter* (3.1%) and *Enterococci* (2.2%) were detected as the causative agents of neonatal sepsis in a study conducted during January 1998- December 2004 at Manipal, South India (Bhat *et al.*, 2011).

A multidrug-resistant ExPEC strain, *E. coli* O25b: H4/ST131 (sequence type 131) is widely distributed in Europe mainly affecting Italy and Spain. This strain causes a broad spectrum of diseases including UTIs (Rogers *et al.*, 2011; Lopez-Cerero *et al.*, 2014). The occurrence of this pathogenic phenotype is also reported in India and these strains were also found to harbor drug-resistant genes CTX-M-15 (Hussain *et al.*, 2012).

2.3. Virulence Factors of E. coli

The ability of microorganisms to infect and cause disease is referred to as virulence. Microbial-associated factors that assist these to colonize the host at the cellular level are called virulence factors. Virulence factors are of different natures and include secretory, membrane-associated, or cytosolic effects. The membrane-associated virulence factors facilitate adhesion and evasion by microbes of the host cells. Secretory factors are microbial armory that helps them counter host innate and adaptive immune responses, while the cytosolic factors help to undergo quick adaptive—metabolic, physiological, and morphological shifts (Sharma 2017). Usually, virulence factors are encoded on pathogenicity islands (PAIs), plasmids, or other mobile genetic elements. There are a large number of microbial virulence factors such as toxins, enzymes, exopolysaccharides cell wall structures (LPS, capsules, fimbriae, pili), etc. (Sarowska *et al.*, 2019). Microorganisms, including *E. coli*, use a variety of virulence factors and various combinations to evade host defense mechanisms. These virulence factors may be pathotype specific like LT and ST toxins in ETEC or non-specific like biofilm-forming capability or bacteriocins.

The most critical factor in *E. coli* pathogenesis is the colonization of the host system by the pathogenic strain. Colonization in gastro-intestinal and extra-intestinal sites involves attachment and multiplication of *E. coli* in host tissues. Here, these bacteria experience competition from commensals for space and nutrition and also due to the non-availability of free essential nutrients in the host tissue environments. Additionally, the pathogens also experience flushing actions of the host system i.e. gastrointestinal tract and urinary tract (Nielubowicz and Mobley, 2010; Lievin-Le Moal *et al.*, 2006). Various surface and secreted factors are involved in the successful adhesion and colonization of the host, which contributes to colonization through different mechanisms. Important virulence factors involved in the initial adhesion and colonization of pathogenic *E. coli* include cell surface hydrophobicity, biofilm formation, bacteriocins release, gelatin hydrolysis, and siderophore release.

2.3.1. Biofilm

Biofilm may be defined as a structured community of bacterial cells of the same or mixed species enclosed in a self-secreted polymeric matrix and attached to a nonliving or living surface (Costerton *et al.*, 1999). The extracellular matrix held the constituent cells together and is composed of exo-polysaccharide (EPS), proteins, and sometimes nucleic acids (Lasa and Penades 2006; Branda *et al.*, 2005; Whitchurch *et al.*, 2002).

In medicine, biofilms are known to adversely affect infection rates due to recurrent persistent infections, medical device-associated infections, and enhanced drug resistance. Around 80% of human bacterial infections are related to biofilmforming microorganisms. Around 60-70% of nosocomial or hospital-acquired infections that are a leading cause of death are known to be associated with some type of implanted medical device (Bryers, 2008). Microbial biofilms have been observed on most such devices such as prosthetic heart valves, intravenous catheters, cardiac pacemakers, cerebrospinal fluid shunts, urinary catheters, and contact lenses resulting in chronic infections (Abidi et al., 2013; Tran et al., 2012; Santos et al., 2011; Hall-Stoodley et al., 2004; Donlan 2001; Franson et al., 1984; Marrie et al., 1982). Most biofilm infections appear as chronic or recurrent infections and hence are difficult to resolve (Vejborg and Klemm, 2009). These infections pose clinical challenges, including diseases that involve uncultivable species, impaired wound healing, chronic inflammation spread of infectious emboli, and rapidly acquired antibiotic resistance (Bryers, 2008). One of the most important characteristics of biofilms is decreased susceptibility to antimicrobial agents which can be attributed to tolerance and resistance. Tolerance arises once the density of bacteria has aggregated, while resistance mainly develops due to mutations. The resistance of biofilms to antibiotics can mainly be attributed to the fact that bacterial cells in the biofilm are slowgrowing, while antibiotics act best against rapidly growing cells, for instance, β lactam antibiotics act upon rapidly dividing cells (Lewis, 2005; Tuomanen et al., 1986). Another reason for the observed tolerance is that antibiotics have low penetration within the biofilms as aminoglycosides have limited access to the cells, though fluoroquinolones diffuse freely into the biofilm and can kill slow-growing cells (Bjarnsholt, 2013; Ishida et al., 1998; Nichols et al., 1988). In implantassociated biofilm infections removal of such devices is the only option. If the infected device can't be removed, then continued antibiotic treatment to prevent biofilm growth must be given (Wu et al., 2015; Mermel et al., 2009). This may

involve adding high doses of antibiotics or 70% ethanol or HCl (2 mol/L) into the lumen of the Catheter (Tan *et al.*, 2014) In cases of gram-negative bacilli inhabiting device surfaces, Amikacin, Gentamycin, Ciprofloxacin, Ceftazidime are the drugs of choice for antibiotic lock therapy (Fernandez-Hidalgo and Almirante, 2014; Funalleras *et al.*, 2011). Change of cardiac pacemakers or infected heart valves in patients suffering from endocarditis becomes very necessary since if not done at the earliest can lead to cardiac insufficiency and infected embolic complications (Nataloni *et al.*, 2010).

Surfaces with altered physical, chemical, and topographical properties are being developed that prevent bacterial adhesion (Donlan, 2011; Renner and Weibel, 2011). In *vitro* studies have shown successful anti-biofilm treatment in comparison to in-vivo studies. There is a consensus on the fact that for the effective treatment of biofilm infections, a combination of an anti-biofilm compound with an effective antibiotic is required. However, in the current clinical scenario, no anti-biofilm compound is in use (Romling and Balsalobre, 2012).

Biofilms have played a crucial role in *E. coli* epidemiology. Many studies indicating the role of biofilms in *E. coli* infections have been reported relating it to recurrent and difficult-to-treat infections. Martinez-Medina *et al.* (2009) compared the biofilm-forming ability of AIEC and non-AIEC strains isolated from intestinal mucosa and observed that biofilm-forming indices were significantly more in AIEC than in non-AIEC isolates. They detected moderate to strong biofilm in 65.4% of the AIEC strains while 74.4% weak biofilm in non-AIEC strains, thus indicating more efficiency of AIEC to form biofilms in comparison to non-AIEC strains. Pereira *et al.* (2010) demonstrated that *C. deficile* and EAEC isolated from the diarrheal case show synergistic biofilm-forming potential and adherence to HeLa cells. Ahmed *et al.* (2013) detected ETEC as planktonic and biofilms in water reservoirs in Dhaka households. They observed that the detection of biofilms was correlated with seasonal ETEC epidemics. From October 2015-April 2016 study was conducted in Iraq on 390 clinical isolates from different infection sites. On bacterial culture and identification, 50 were identified as *E. coli* (25 UTIs, 18 diarrheal stools, and 7 blood isolates). All

these 50 *E. coli* isolates were producing biofilm, and siderophores and showed adhesion (Jaloob Aljanabi and Hashim Alfaham, 2017). Of the 116 *E. coli*, isolated from children with UTI biofilm was detected in 48 of the isolates with 8 showing strong, 21 moderate, and 19-week biofilm formation in a study conducted by Gonzalez *et al.* (2017). A cross-sectional study was conducted in Nepal on 105 urine samples suspected of catheter-associated UTIs. *E. coli* (56.9%) was found as the most common causative agent and 33.3% of *E. coli* were detected as biofilm producers in the study (Maharjan *et al.*, 2018). A cross-sectional study conducted in Uganda detected biofilm formation in 62.5% (125/200) of *E. coli* isolates from UTI cases and 78% (156/200) of these were multi-drug-resistant (Katongole *et al.*, 2020).

Studies from different parts of India have also shown biofilm-forming pathogenic E. coli-associated infections. Biofilm was detected by the Congo red agar method in isolates from various infection sites including UTI, septicemia, soft tissue infections, and post-operative infections from patients in Mumbai Maharashtra, Western India from August-October 2011. A total of 150 isolates were evaluated including 38 E. coli (29 UTI; 3 soft tissue, 2 septicemias, and 4 from post-operative infections) for biofilm formation. Biofilm was detected in E. coli isolates in 27/29 UTI and 2/2 septicemia isolates (De et al., 2012). Biofilm was detected by three methods viz. TCP, TM, and CRA methods in 107 UPEC isolates from catheterassociated, symptomatic, and asymptomatic UTI patients in Karnataka, South India. All three methods detected biofilm in 89.5% of catheter-associated UPEC isolates, 0-13.3% of asymptomatic UPEC isolates, and 48-72% of symptomatic isolates by different methods used (Golia et al., 2012). E. coli (54.01%) and Klebsiella pneumonia (11.66%) were the commonest uropathogens detected from 137 urine cultures in a study conducted in Mumbai, Western India. 26% of the E. coli isolates were found to produce biofilm in this study (Tayal et al., 2015). A study conducted on 135 E. coli isolates from UTI during January- December 2011 from Haryana, North India detected biofilm in 13.5% of the samples only. All the biofilm producers were found to be resistant to multiple drugs in this study (Mittal et al., 2015). A study on 39 E. coli isolates collected from UTI cases in Jharkhand in 2014 detected biofilm in 84.61% of the samples without correlation with antibiotic resistance (Singh *et al.*,

2016). A study on 100 *E. coli* isolates from UTI cases in Central India from December 2013- to May 2014 was conducted for the detection of biofilm formation by tube adherence method. Of the 100 isolates, 62 (57non mucoid and 5mucooid) were biofilm producers (Bajpai *et al.*, 2016). Pullanhi *et al.* (2019) detected biofilm in 92% (88% moderate, 4% strong) of the 150 *E. coli* isolates from UTI cases in Kochi, Kerala, South India, during November 2016-October 2017. All *E. coli* (100%) isolates from catheter patients formed biofilm and these patients were found to recover after catheter removal and appropriate antimicrobial treatment. A study on 100 *E. coli* isolates from UTI cases from Karnataka, South India detected biofilm in 49-69% of samples using different methods. Biofilm was detected in 89.7% of catheterized patients in this study. No association between biofilm production and drug resistance was observed (Karigoudar *et al.*, 2019).

2.3.1.1. Phenotypic methods of biofilm detection

To manage the problem of biofilm formation and biofilm formation-associated issues; it is of utmost importance to detect the formation of biofilms so that necessary steps can be taken in this direction to tackle the menace. Different studies over the past few years have widely employed three phenotypic methods – The tissue culture plate method, the tube method, and the Congo red agar method for the detection of biofilm formation by different microorganisms. Christensen et al 1982 studied adherence to smooth surfaces by slime-producing strains of Staphylococcus epidermidis. Adherent growth was considered to be present if a film lined the inner surface of the tube and the presence of this adherent growth was considered evidence of slime formation. Electron microscopy of the specimens was also carried out and transmission electron micrographs of the isolates indicated that the bacteria were enmeshed in extracellular material. It was observed that the polystyrene test tube walls were coated with an adherent film of S. epidermidis and the production of this film required static incubation in TSB for 18-24 hours at 37°C. The coating was apparent in glass as well as polystyrene equally. Christensen et al. (1985) studied the adherence to plastic Tissue Culture Plates by Coagulase-negative Staphylococci. Freeman et al. (1989) devised a new culture media technique to detect slime production by coagulasenegative staphylococci. This involves inoculation of the Congo Red Agar and incubation at 37°C for 24 hours. Slime-producing biofilm-forming organisms were indicated by black colonies with a dry crystalline consistency while non-slime producers remained pink. The results showed complete agreement between the congo red agar method and the tube method in 107 out of 124 isolates. Mathur et al. (2006) evaluated these three phenotypic screening methods for the detection of biofilm in clinical isolates of Staphylococci. The tube method showed a good correlation with the TCP assay for strong biofilm-forming isolates. No correlation between the congo red agar method with TCP was observed. Aggarwal et al. (2011) tested the biofilmforming ability in different Salmonella serovars using the microtitre plate assay. All the S. Typhimurium and S. Enteritidis isolates were found to be biofilm producers using the tissue culture plate method. Hassan et al. (2011) studied the formation of biofilm in clinical isolates by three methods. The tissue culture plate method detected 25 isolates to be strong biofilm producers; the tube method detected 21 strong isolates, while the congo red agar method detected a total of 4 isolates to be strong biofilm producers. Antibiotic resistance was found to be higher in biofilm producers than non-producers. Al-Mulla et al. (2013) compared different methods for the detection of biofilm produced by *Staphylococcus epidermidis*. Methods compared in the study were the tissue culture plate method, the tube method, and the Congo red agar method. Sensitivity, specificity, and accuracy values of the tube method and the congo red agar method were calculated considering TCP as the gold standard. The results demonstrated high sensitivity, specificity, and accuracy for the tube method and lower values for the Congo red agar method. In the year 2014, Vasanthi et al. (2014) studied the production of biofilms and the antimicrobial resistance pattern of different bacterial isolates from invasive devices. Biofilm detection was carried out by 3 methods – the tube method, the Congo red agar method, and the tissue culture plate method. A total of 4 out of 55 isolates obtained from various invasive devices were positive by all three methods. These methods have been used by various workers in the recent past also (Gayathree et al., 2021; Shrestha et al., 2018; Manandhar et al., 2018; Panda et al., 2016).

2.3.2. Cell surface hydrophobicity

Bacterial cells prefer to live as aggregates in the form of biofilms and this form is achieved through many steps including cell immobilization, cell surface hydrophobicity (CSH) is one important characteristic that helps bacterial cells in this activity (Wu et al. 2012). CSH is positively found to be associated with adherence as was measured using a quantitative approach by Elfazazi et al., (2021). CSH is thus one of the important factors that contribute to microbial cell attachment to biotic or abiotic surfaces (Van Loosdretch et al., 1990). Many mechanisms including the release of membrane vesicles are involved in cell surface hydrophobicity (Baumgarten et al., 2012). A strong attachment has been observed by hydrophilic cells to hydrophilic surfaces and similarly by the hydrophobic cell to hydrophobic surfaces (Giaouris et al., 2009). Usually, hydrophobic materials like silicon, Teflon, stainless steel, etc. are used as the material of construction in medical implants thus increasing the chances of biofilm formation on these by hydrophobic pathogenic bacteria. The use of non-hydrophobic materials like polystyrene or polymeric substances or coating of medical devices with anti-biofilm material like silver nanoparticles can reduce the chances of biofilm development on these devices thus reducing implant-associated infections (Knetsch et al., 2011, Arciola et al., 2012). Outer membrane proteins, oligosaccharides, and adhesins have been described as the responsible bacterial cell factors for CSH in Escherichia coli (Krasowska and Sigler, 2014). Fimbriae have also been thought to play an important role in hydrophobicity by overcoming repulsive electrostatic forces between the cell and surface (Corpe, 1980). In one study, the pattern of aggregation in the CSH test was found to be associated with the type of fimbriae present (Gonzalez et al., 1988). Hamadi F et al., (2008) demonstrated that cell surface hydrophobicity in E. coli is associated with the (C-(CH) functional group on the cell surface.

Cell surface hydrophobicity is known to play an important application in the environment clearing organic pollutants like toluene and synthetic polymers (Tribedi and Sil, 2014; Kobayashi *et al.*, 1999). CSH also plays important role in wastewater treatment plants, where it facilitates the formation of bio-granules in microbial

granule reactors for clearing various water pollutants (Liu *et al.*, 2009; Adav *et al.*, 2008). CSH is also associated with negative impacts of microbes on the food industry and medicine, where CSH helps bacteria to adhere to and colonize food processing equipment and medical implants, other devices, and host surfaces thus leading to food spoilage or difficult-to-treat infections (Brooks and Flint, 2008; Ly *et al.*, 2006).

Various studies have been carried out to understand the role of CSH in E. coli infections and it has been observed that hydrophobic pathogenic E. coli are associated with many communities and hospital-acquired infections. CSH was detected in 29.2% of antenatal cases, 33.3% of catheterized patients, and only 9.7% of controls in a study conducted in Bangalore from 2009 to 2010 (Shruthi et al., 2012). Fakruddin et al. (2013) during a study in Bangladesh on 65 E. coli isolates from different infection sites observed CSH as an important virulence factor of pathogenic E. coli. CSH was detected in 76% UTI, 50% Septicemia, 70% peritonitis, 58% abscess, and 58.7% CSF samples. Mittal et al. (2014) detected CSH in 61% of the isolates out of a total of 135 UPEC evaluated from patients in Haryana. Annapurna et al. (2014) detected CSH in 26.66% of the 15 UPEC isolates from 50 gram-negative isolates from UTI cases in Hyderabad, South India. Gowtham and Gopinath (2016) detected CSH in 70% of UPEC strains out of the total 20 isolates evaluated. A very high percentage (90%) of *E. coli* isolates from UTI was found to be hydrophobic in one study conducted at Coimbatore (Growther and Yasotha, 2016). Only 09% of ExPEC isolates from various infection sites were found to be hydrophobic in one of the studies (Vaish et al., 2016). In another study conducted on UPEC isolates from UTI patients in Chhattisgarh, 38.15% of isolates were found to be hydrophobic (Bawankar, 2018). A similar study conducted from 2011 to 2012 in Mumbai detected 27.64% hydrophobic UPEC (Kaira and Pai, 2018). Abd Al-Baky et al. (2020) detected CSH in *E. coli* isolates from various infection sites with different rates. They detected CSH properties in 81.8% of UTI isolates 86.9% of stool isolates, 88.8% of blood isolates, and 60% of the wound swab E. coli isolates.

2.3.4. Colicins

Bacteriocins are proteins produced by some strains of bacteria which can inactivate or kill related species of bacteria. Bacteriocins of *E. coli* are referred to as colicins after the species that produced them. The gene responsible for the production of colicins under stressed conditions is carried by plasmids. Colicin structure has three domains that are involved 1) in the recognition of receptors, 2) in translocation, and 3) in lethality. Over 30 types of colicins are known to be produced by *E. coli* (Cursino *et al.,* 2002). The number is increasing as newer colicins are identified regularly (Micenkova *et al.,* 2019, Rendueles *et al.,* 2014).

Colicins act on sensitive cells through three different action mechanisms. The most common mechanism is membrane depolarization through plasma membrane pore formation which also leads to cytoplasmic ATP depletion by induction of phosphate and potassium ion efflux. The second common mechanism is endonuclease activity against chromosomal DNA or 16-S RNA. The third mechanism is by degradation of the cell wall, hydrolyzing the β -1,4 bond between NAG and NAM in the glycan backbone of the cell wall, or inhibiting cell wall synthesis. The producing strains are protected from colicins through an immunity protein that inactivates the colicin (Kleanthous *et al.*, 1998). Apart from colicin's role in pathogenesis, it also has some promising applications like use as a natural food preservative, as a therapeutic agent in infections, or as a treatment for tumors (Yang *et al.*, 2014; Lancaster *et al.*, 2007).

Colicins are known to be involved in pathogenicity and have also been associated with other virulence factors. Azpiroz *et al.*, 2009 observed a relationship between colicins virulence in uro-virulent *E. coli*. In one study on commensal and uro-virulent *E. coli*, colicin E1 was identified as a potential virulence factor (Smajs *et al.*, 2010). Colicin activity was observed in *E. coli* isolates from different infection sites by Fakruddin *et al.* (2013). Rates of colicin activity detected in *E. coli* isolates were 69% in UTI isolates, 72% in septicemia, 75% in peritonitis, 75% in abscess, and 0% in CSF isolates. Colicins were found to be associated with ExPEC virulence as colicin production was observed to correlate positively with other virulence factors (Micenkova *et al.*, 2014). A study on colicin type and the prevalence of ExPEC from various infection sites and commensal intestinal *E. coli* revealed a difference in colicin types in isolates from various sites, ExPEC strains were found to release colicins less frequently than commensal fecal *E. coli* (Micenkova *et al.*, 2016). Sulchz et al (2015) have demonstrated the usefulness of *E. coli* colicins expressed in plants in the control of pathogenic *E. coli* in food.

2.3.4. Gelatin hydrolysis

Gelatin hydrolysis is accomplished through an exo-enzyme gelatinase of bacterial origin representing zinc- metalloprotease (Makinen *et al.*, 1989). Gelatinase degrades a broad spectrum of biomolecules i.e. complement components (C3 and C3a), fibrinogen, fibrin, collagen, bradykinin, endothelin, etc. leading to tissue damage and dissemination of the pathogenic organisms (Park *et al.*, 2007 and 2008; Waters *et al.*, 2003; Schmidtchen *et al.*, 2002; Makinen and Makinen, 1994). Gelatinase is also known to assist in biofilm development thus contributing to virulence through this mechanism as well (Thomas *et al.*, 2009). Gelatinase plays an important role in the virulence of both gram-positive and gram-negative bacteria and has been associated with the pathogenesis of severe complications like endocarditis (Thurlow *et al.*, 2010).

In *E. coli* infections gelatin hydrolysis is considered to play important role in its virulence and dissemination, especially in ExPEC strains (Rafaque *et al.*, 2020). Fakruddin *et al.* (2013), detected protease activity among *E. coli* isolates from different infection sites from patients in Bangladesh with rates of 13.3% in UTI isolates, 16.7% septicemia, 8.33% peritonitis, 10% abscess, and 0% CSF isolates. Mittal *et al.* (2014) detected gelatinase in a very large percentage (67.5%) of 135 *E. coli* isolates from UTI patients in a study conducted over one year (January-December 2011) in Haryana, North India. Annapurna *et al.* (2014) detected gelatinase in 5 out of 15 *E. coli* isolates from UTIs in Hyderabad, South India. Vaish *et al.* (2016) detected gelatinase activity in only 2 *E. coli* isolates (1 UTI and 1 pus isolate)

in a study conducted on 100 *E. coli* isolates from various infection sites from patients in Andhra Pradesh from August 2011- to December 2012. Bawankar *et al.* (2018) detected gelatinase activity in 40.13% of UPEC isolates in comparison to 16% of the controls in a study conducted on UTI samples from patients in Chhattisgarh during 2014-2015. In some of the studies, gelatinase was not detected at all among the pathogenic *E. coli* evaluated for this virulence trait. Niyas and Gopinath (2018) did not detect gelatinase activity in 20 pathogenic *E. coli* from UTI cases. No gelatinase activity was detected in 123 *E. coli* isolates from UTI samples in a study conducted by Kaira and Pai (2018) in New Delhi. In a similar study conducted by Shah *et al.* (2019) in Nepal on 105 *E. coli* isolates isolated from 1142 UTI samples, gelatinase activity was not detected among these *E. coli* isolates. Hiremath and Lava (2020) detected gelatinase in 77 (51.3%) of the 150 ExPEC isolates from different clinical samples from patients in Karnataka, South India.

2.3.5. Siderophores

Siderophores are the iron-chelating low molecular weight (< 10KD) molecules secreted by many microorganisms to sequester iron under free iron-limiting environments (Lankford and Byers, 1973). In *E. coli*, the expression of siderophores was first described as ferric uptake regulation (FUR). FUR is transcriptionally regulated by negative repressor molecules (Casadevall and Pirofski, 2009). Structurally many types of siderophores are known which can broadly be categorized as hydroxamate, catecholate, and carboxylate types (Neilands *et al.*, 1981). Diversity among siderophores has been used as a criterion for the epidemiological typing of pathogenic organisms (Reissbrodt and Rabsch, 1988). Enterobactin and aerobactin are two siderophores that are produced by *E. coli* and represent catecholate and hydroxamate-type siderophores respectively.

Siderophores play an important role in pathogen virulence as they help fulfill the iron requirements of the pathogen for its normal metabolism in an iron-limited environment of the host system and it has been seen that loss of siderophore activity makes the pathogen attenuated (Schrettl *et al.*, 2010; Fetherston *et al.*, 2010). Montgomerie *et al.* (1984) found a significant association between aerobactin association and bacteremia and found aerobactin-producing strains as more virulent than aerobactin-non-producing strains in a mouse model. The iron sequester process through siderophores involves sequential steps which are initiated from the excretion of siderophores to outside cells under free ferric iron-limited conditions. Siderophores compete with iron-binding molecules in body fluids and sequester them owing to their high affinity for ferric iron. Iron-bound siderophores specifically attach to receptors i.e. FecA and FepA protein receptors on the outer membrane, FepB in periplasms, and ATP-dependent Fec-CDE Fep CDE proteins and Ton B-ExbB-ExbD protein complex in the inner membrane (Chakravorty *et al.*, 2003 and 2007; Andrews *et al.*, 2003). Inside the cytoplasm, free ferrous iron is released from the iron-siderophore molecules either by reduction, siderophore destruction, or through chemical modification for use by the cell (Neilands *et al.*, 1981).

The role of siderophore in E. coli virulence has been studied in various studies. Areobactin was found to be significantly produced by more UTI and ExPEC isolates than control E. coli isolates by Demir and Kaleli (2004). Freestone et al. (2003) demonstrated the involvement of enterobactin in norepinephrine-mediated iron supply to EHEC. Siderophore production on CAS agar was found in 97.5% of UPEC isolates by Vagarali M.A et al. (2008). Caza et al. (2011) demonstrated the role of catecholate-type siderophore enterobactin in ExPEC strains by a study on the Δ entD derivative of strain x7122. Watts et al. (2012) demonstrated the importance of different siderophore types in UTI colonization by E. coli. Mittal et al. (2014) detected siderophores in 199 (88%) of the 135 UPEC isolates from UTI patients in a study conducted in Haryana, North India. Siderophore was detected on chrome azurol S agar in 95% of *E. coli* isolates from the urine of patients with type 2 diabetes mellitus (Saleem and Daniel, 2017). Using the CAS agar test siderophores were detected in 63.81% of UPEC isolates from UTI patients in Chhattisgarh by Bawankar et al. (2018). Siderophores-associated genes iroN were also identified in STEC isolates indicating the importance of siderophores in these strains (Zhang et al, 2020). Gerner et al. (2021) successfully demonstrated the usefulness of siderophore targeting against colonization of the AIEC pathotype of E. coli by vaccinating mice with

siderophore and cholera toxoid conjugates eliciting B-cell elicited immune response, thus showing the importance of siderophores as an important virulence factor aiding *E. coli* colonization.

2.4. E. coli Serogrouping

After the discovery of Escherichia coli, medical microbiologists faced the problem of distinguishing pathogenic strains of E. coli from non-pathogenic E. coli strains during the 1920s and 1930s. Several scientists tried to identify specific types of E. coli but no significant progress could be made until a serotyping scheme was developed by Kauffmann in 1944 to type E. coli into 20 "O" groups based on antigen-antibody interaction (Kauffman, 1944). This scheme was further expanded and consisted of 25 O antigen groups, 55 K antigens, and 20 H antigens (Kauffman, 1947). A comprehensive serotyping scheme comprising 164 "O" groups to investigate epidemiological and surveillance studies was presented by Orskov et al. in 1977 (Orskov et al., 1977). Six more "O" serogroups were added to this scheme in 1984 by Orskov and Orskov (1984) who further contributed by adding two more "O" serogroups i.e. O172 and O173 in 1991 (Orskov et al., 1991). Scheutz et al. (2004) further added six more (O176 to O181) in the scheme. Presently 188 "O" antigens are known which are designated as O1 to O188 (O31, O47, O67, O72, O94, and O122 being withdrawn) (Joensen et al., 2015). Recently, novel un-typeable strains containing no previously known serotype genome were reported to be associated with human disease in isolates representing the global scenario of enterotoxigenic E. coli (Iguchi et al., 2017).

K1 capsular polysaccharide antigen-containing strains of *E. coli* are associated with approximately 40% of septicemia cases and 80% of meningitis cases (Makvana and Krilov, 2015).

The Association of serogroups and serotypes with the clinical syndrome has been well documented (Levine, 1987), however serogroup or serotype themselves do not directly responsible for virulence. Rather, they serve as detectable virulence markers correlating with virulent *Escherichia coli* clones. (Whittam *et al.*, 1993) "O" serogroups usually associated with different pathotypes are in the below-mentioned table (Table 2.1).

Specific serotypes were found to be involved in specific diseases i.e. serotype O18:K1 was associated with neonatal meningitis and neonatal bacteremia (Pluschke *et al.*, 1983). Similarly, serotype O157:H7 is known to cause hemorrhagic colitis (HC) and hemolytic uremic syndrome (HUS) (Byrne *et al.*, 2020). Serotypes O145, O121, O111, O103, O45, and O26 are known to be associated with Shiga toxin-producing *E. coli* outbreaks associated with food poisoning (FAO and WHO, 2018; Gyles, 2007; Karmali *et al.*, 2003). Strains un-typeable with the presently known serotyping scheme are also routinely reported to be associated with *E. coli* infections (Bai *et al.*, 2016).

Geographical variations in the distribution of serotypes involved in E. coli infections are observed, the commonly involved serotypes in E. coli outbreaks in the developed world are usually O157, O104, etc. on the other hand these serotypes are rarely isolated in *E. coli* infections in developing world countries. In the US the most common E. coli infections are food-borne STEC infections which were first identified in 1982 with the isolation of two O157:H7 serotypes (Wells et al., 1983). An estimated 1,75,905 STEC infections occur in the US and involve serotype O157 in 64% of food-borne STEC infections and non-O157 serotypes in 82% of foodborne STEC infections. O45, O69, O84, O103, O104, O121, O141, O145, and O169 are frequently isolated in the US (Scallan et al., 2011). Luna-Gierke et al. (2014) detected O111 and O26 as the most common non-O157 serotypes involved in more than 60% of infections. In other developed countries, the most commonly associated serotypes with STEC infections are O157, O26, O91, O111, O103, O145, and O146 (Kim et al., 2020; Loconsole et al., 2020; Torti et al., 2021). Though. STEC infections with serotype O157 are not so common in developing countries. In a ten-year survey of samples of 5678 human isolates, only 30 (0.5%) were O157 serotypes (Sehgal et al, 2008). One study from southern India reported non-O157 STEC infection involving serotypes O59, O60, and O69 (Purwar et al., 2016).

E. coli Pathotype	Associated O-Serogroups	
Enterotoxigenic E. coli	0169, 0167, 0159, 0153, 0148, 0139, 0128,	
(ETEC)	0115, 085, 080, 078, 063, 027, 025, 020, 015,	
	O8, O6, (Wolf, 1997; Beatty et al., 2004)	
Enteropathogenic E. coli	0158, 0142, 0128, 0127, 0126, 0125, 0119,	
(EPEC)	O114, O111, O86, O55, O26 (Hernandes et al.,	
	2009).	
Enteroinvasive E. coli	0173, 0167, 0164, 0159, 0152, 0144, 0143,	
(EIEC)	0136, 0135, 0124, 0121, 0115, 0112, 096, 029,	
	O28 (Michelacci et al., 2016; Escher et al.,	
	2014; Orskov et al., 1991; Silva et al., 1980; Gomes	
	et al., 1987, Matsushita et al., 1993; Tozzoli and	
	Scheutz, 2014; Newitt et al., 2016; Voeroes et al.,	
	1964).	
Enteroaggregative E. coli	044, 073, 086, 092, 0104, 0111, 0126, 0136	
(EAEC)	(Boisen et al., 2015; Jenkins et al., 2006)	
Enterohemorrhagic E.	O157:H-, O157:-H7, O26: H11, O 104, O111:H-,	
coli (EHEC)	O111:H multiple, O45, O103, O121, O145 (Bilinski	
	et al. 2012; Gould et al., 2013; Kaper and O'Brien,	
	2014)	
Diffusely adherent E. coli	0162, 0151, 0142, 0137, 0130, 0128, 0127,	
(DAEC)	0126, 0125, 0119, 0117, 0114, 0111, 086, 075,	
	065, 055, 036, 026, 025, 023, 021, 020, 018,	
	O11, O10, O7, O4, O2, O1, UT (Giron et al., 1991;	
	Campos et al., 2004; Fujihara et al., 2009)	
Adherent-invasive E. coli	06;022 (Croxen et al., 2013)	
(AIEC)		

Table 2.1: Serogroups associated with different *E. coli* pathotypes

In developing countries, enteropathogenic and enterotoxigenic *E. coli* infections are more prevalent, and different serotypes are associated with these

infections in developing countries. In a retrospective study considering ETEC in developing countries serotypes O6, O8, O78, O128, and O153 were the most common serotypes responsible for over 50% of ETEC infections (Qadri *et al.*, 2005). In one study of diarrheal *E. coli* isolates in Iraq serotypes O6, O7, O9, O25, O29, O78, O80, O111, O112, O128, and O157 were the most prevalent serotypes (Otaiwi *et al.*, 2019). O26 and O111 were found to be the most common serotypes associated with EPEC infections in developing countries by Trabulsi (2002) who also observed a geographical variation in typical and atypical EPEC serotypes pointing out that serotypes could be easily associated with typical or atypical EPEC except few exceptions in developed countries but this is not the case in developing countries. Serotypes O6, O1, and O15 were found as the most common among UPEC isolates in a study conducted in Delhi in India (Sharma *et al.*, 2016).

2.5. Emerging drug resistance trends among E. coli

Drug resistance among pathogenic E. coli is a leading cause of concern worldwide as the emergence of highly drug-resistant strains has left limited treatment options and increased disease and death rates by antimicrobial-resistant E. coli. In 2019 E. coli was responsible for the highest number of deaths due to antimicrobial resistance attributable and associated deaths worldwide followed by K. pneumonia, S. aureus, A. baumannii, S, pneumonia, and M. tuberculosis. Collectively, these six pathogens were responsible for 9, 29,000 deaths attributable to antimicrobial resistance and 3.57 million deaths associated with antimicrobial resistance globally in 2019 with the maximum contribution from drug-resistant E. coli (Murray et al. 2020). E. coli has shown increasing trends of drug resistance worldwide over the years. Many drugs like trimethoprim-sulfamethoxazole, aminoglycosides, β-lactams drugs, and fluoroquinolones are often used to treat patients infected with community-based or nosocomial E. coli infections, and these and other agents are known to be effective against E. coli (Pitout, 2010 and 2012). However, due to emerging drug-resistant E. coli strains, it is becoming very difficult to manage E. coli infections thus causing a public health concern due to this infectious agent as antibiotic resistance results in

delayed appropriate therapy and an increase in morbidity and mortality (Sudershan *et al.*, 2014; Shepherd and Pottinger, 2013; Akpaka *et al.*, 2010; Pitout and Laupland 2008; Schwaber and Carmeli, 2007; Tumbarello *et al.*, 2007).

In *E. coli*, the production of the β -lactamase enzyme, which inactivates β -lactam antibiotics by hydrolysis, is known as the most important factor responsible for β -lactam drug resistance. Various types of β -lactamase enzymes i.e. narrow-spectrum β -lactamases (like TEM-1 and TEM-2), the plasmid-mediated AmpC β -lactamases (like CMY types), extended-spectrum β -lactamases (like TEM, SHV, CTX-M types), and Metallo- β -lactamases (like NDM types) are associated with multi-drug resistance in *E. coli* (D'Andrea *et al.*, 2013; Johnson and Woodford, 2013; Bush and Jacoby, 2010; Jacoby, 2009; Pitout, 2008; Pitout *et al.*, 2005). The origin of such drug-resistant strains has mainly been linked to the Indian subcontinent (Castanheira *et al.*, 2011a and 2011b; Lascols *et al.*, 2011; Leverstein-Van Hall *et al.*, 2010; Peirano and Pitout, 2010; Jacoby, 2009; Laupland *et al.*, 2008). An estimation study conducted using different model approaches based on data from seventeen countries estimated 0.4million to 6.4 million bloodstream infections and 2.7 million to 50.1 million serious infections by cephalosporin drug-resistant *E. coli* and *Klebsiella pneumoniae* in 2014 (Temkin *et al.*, 2018).

Antibiotic-resistant infection burden due to pathogenic *E. coli* strains in both intestinal as well as extra-intestinal categories are found to be increasing worldwide over the years. Increasing trends of *E. coli* infectious strains with drug resistance to commonly used antimicrobials are reported worldwide. Vila *et al.* (1999) studied antibiotic resistance prevalence in DEC isolated from 346 children of age <5 years in Tanzania. They identified that 38% of diarrhea cases were due to multi-drug-resistant ETEC, EPEC, and EAEC strains. All these three DEC pathotypes were found to be highly resistant to ampicillin, tetracycline, co-trimoxazole, and chloramphenicol while highly susceptible to quinolones. Gupta *et al.* (2001) studied a nationwide prevalence during 1998 in 1,03, 232 UTI isolates from women in the USA from 9 geographical regions and isolated *E. coli* as the most prevalent agent of UTI in the

USA in women (72% in age group 15-50 years and 53% in > 50 year age group). Almost all *E. coli* isolates in this study were susceptible to nitrofurantoin and fluoroquinolones. However, 33-44% of *E. coli* isolates were resistant to ampicillin and 16-18% were resistant to co-trimoxazole in two age groups.

In a study conducted from 1998 to 2003 on UTI E. coli isolates in Manisa, Turkey, increasing trends in antibiotic resistance to commonly used antimicrobials were observed by Kurutepe et al. (2005). In a study, Nguyen et al. 2005, reported 100% susceptibility to Imipenem among 162 diarrheagenic E. coli isolates, however, a high percentage of isolates were resistant to other antimicrobials i.e. 86.4% to ampicillin, 77.2% to chloramphenicol, 29.6% to cefuroxime, 24.1% to cefotaxime, 19.1% to nalidixic acid, 3.7% to ciprofloxacin and 88.3% were resistant to trimethoprim-sulfamethoxazole. Among the few ciprofloxacin-resistant isolates, 05 were EPEC strains and 01 was ETEC. The traditional antibiotics, including ampicillin, chloramphenicol, and trimethoprim-sulfamethoxazole, showed low activity against the diarrheagenic E. coli strains. Multidrug resistance was observed in 89.5% of all diarrheagenic E. coli strains, strain-wise 100% EIEC, 91.8% EAEC, 86% EPEC, and 78.6% ETEC strains were resistant to multiple antimicrobials. Van de Sande-Bruinsma et al. (2008) evaluated the European surveillance system database of 21 European countries for 6 years (2000-2005) and observed an increasing trend of fluoroquinolone-resistant E. coli in most of the European countries including Germany, Austria, Hungary, Belgium, Czech Republic, Spain, Bulgaria, Finland, Croatia, Netherland, Luxembourg, Portugal, and Sweden. In a 30-year follow-up study from the year 1979 to 2009, an increasing resistance trend among E. coli isolates for common treatment antimicrobials i.e. ampicillin, gentamicin, sulphonamide and was observed (Kronvall, 2010). Ibrahim et al. (2012) studied antimicrobial resistance among 232 E. coli isolates from various clinical samples from patients in different hospitals in Khartoum state, Sudan from May to August 2011, and observed that 92.2% of the E. coli isolates were MDR. Very high resistance rates were recorded against most of the commonly used antimicrobials i.e. amoxicillin (97.7%), cefuroxime (92.5%), co-trimoxazole (88.3%), tetracycline (77.1%), nalidixic acid (72%), ceftriaxone (64%), ciprofloxacin (58.4%), ofloxacin

(55,1%), Amoxyclav (50.4%), ceftazidime (35%), gentamicin (35%), nitrofurantoin (22.4%), tobramycin (18.2%), chloramphenicol (18.2%) and Amikacin (1.9%). In an ECDC report increasing trends of weighted mean percentage of third-generation cephalosporin resistance in E. coli from 11.9% to 13.1% in 2012 and 2015 was reported in European Union/ European Economy Area populations (CIDRAP, 2017). In a study conducted during 2014-2015 on 150 E. coli isolated from hospital patients in Egypt high drug resistance rates were observed to β -lactam antibiotics i.e. cefoperazone (70.67%), ceftriaxone (64.67%), cefotaxime (42.67%), amoxiclav (39.33%), cefoxitin (58%), ceftazidime (44%), however, resistance to imipenem (1.33%) and meropenem (1.33%) were found to be relatively less (Abbas, 2017). In a study conducted on 775, E. coli isolates from UTI cases in six European countries antimicrobial resistance to commonly used first-line treatment antimicrobials in UTIs was found very low i.e. nitrofurantoin (1.2%), fosfomycin (1.3%) and mecillinam (4.1%). Higher resistance rates in this study were observed for ampicillin (39.6%), trimethoprim (23.8%), cotrimoxazole (22.4%), amoxiclav (16.7%), and ciprofloxacin (15.1%), while all isolates were found susceptible to meropenem (Ny et al., 2019). McGough et al. (2020) evaluated antimicrobial resistance data of 28 European countries for the period 2000 to 2016 for E. coli, K. pneumonia, and S. aureus and increase in ambient minimum temperatures. They observed that E. coli and K. pneumonia have remarkably rapidly shown increasing resistance trends (0.33% to 1.2% per year) to all classes of antimicrobials in European countries with 10°C warmer ambient minimum temperatures in comparison to others. Kaye et al. (2021) resistance patterns against co-trimoxazole, fluoroquinolones, studied and nitrofurantoin among 15, 13, 822 E. coli isolates collected from US women UTI patients between 2011-2019 and observed an increasing trend of antibiotic resistance. Overall non-susceptibility rates were 25.4%, 21.1%, and 3.8% for co-trimoxazole, fluoroquinolones, and nitrofurantoin respectively. ESBL the prevalence was 6.4% among the isolates. On average 7.7% per annum increase rate of ESBL-producing E. coli in the US was observed in the study. Halabi et al. (2021) also reported increasing trends of antimicrobial resistance in E. coli isolates from UTI patients in Morocco, North Africa from January 2016 to June 2019. Out of 438, E. coli isolates from 670 urine samples 259 (59%) were ESBL-producing E. coli of these 200 (77%) were from adult (>50 years) patients majority being women patients. All the ESBL-producing *E. coli* isolates were found resistant to third-generation cephalosporins and quinolones but sensitive to carbapenems and fosfomycin. MacKinnon *et al.* (2021) evaluated antimicrobial resistance patterns of 31, 889 *E. coli* isolated from bloodstream infections in Finland, Austria, Sweden, and Canada from the year 2014 to 2018. They observed that overall 7.8% of the *E. coli* isolates were resistant to third-generation cephalosporins and that the incidence rate of third-generation cephalosporins-resistant *E. coli* from bloodstream infections has significantly increased from 2014 to 2016, 2017, and 2018. ECDC (2022) released antimicrobial resistance surveillance data for the year 2020 indicating that *E. coli* (38.4%) was the most commonly reported organism followed by *S. aureus* (17.3%) and *K. pneumoniae* (14.9%). Resistance percentages to fluoroquinolones <10% to > 50% and third-generation cephalosporins for a solution of the total 40 countries reported.

Due to very high drug resistance rates *E. coli* along with other carbapenems and third-generation cephalosporin-resistant Enterobacteriaceae members has been kept by WHO on the list of "CRITICAL" bacteria for which new drugs are urgently needed (WHO, 2017). Center for disease control and prevention (CDC) has also placed carbapenem-resistant Enterobacteriaceae that consist of *Klebsiella* and *E. coli* under the "SERIOUS THREAT" category, the top priority pathogens in its latest report on antimicrobial resistance threats (CDC, 2019).

2.5.1. Scenario in India

Drug-resistant infections are estimated to cause 58000 deaths in newborns every year in India (Arinaminpathy *et al.*, 2021). Multiple drug-resistant *E. coli* strains have widely been reported in various studies in India, some of the studies have reported the migration of drug-resistant strains from the Indian subcontinent to the rest of the

world (Castanheira *et al.*, 2011a and 2011b; Leverstein-Van Hall *et al.*, 2010; Peirano and Pitout, 2010; Jacoby, 2009; Lascols *et al.*, 2009; Laupland *et al.*, 2008).

Increasing trends in drug resistance rates among *E. coli* isolates in India have been observed over years. Community-isolated E. coli isolates between 2004 to 2007 were found highly resistant to commonly used drugs against E. coli i.e. ampicillin -75%, nalidixic acid -73%, cotrimoxazole -59% (WHO, 2009). Hussain et al., (2012) identified 23 ESBL-producing out of a total of 100 E. coli isolates from UTI patients in Pune from January-October 2009. Of these 23 strains, 16 indicated the presence of 16 CTX-M-15-O25b-S131 group strains. Of these 16 ST 131 strains 94% were resistant to tetracycline, 81% to ciprofloxacin, 69% to co-trimoxazole and gentamicin, and 6% to chloramphenicol. Twelve of these strains were resistant to multiple drugs. Mukherjee et al. (2013) investigated antimicrobial susceptibility patterns of 40 E. coli isolates from 200 urine samples from suspected UTI hospitalized patients in Kolkata, Western India. Thirty-seven of the 40 isolates were found as MDR. A very high level of drug resistance was observed to ampicillin (97.5%), cephalexin (95%), nalidixic acid (95%), amoxicillin (92.5%), cotrimoxazole (82.5%), and ciprofloxacin (80%). Nitrofurantoin and Amikacin with susceptibility rates of 72.5% and 70% respectively were found to be the most effective drugs. Thirty-eight out of forty isolates were resistant to third-generation cephalosporins while 18 of these were ESBL-producing.

Diarrhea-genic *E. coli* was isolated predominantly (25.1%) of the pediatric diarrheal samples in a tertiary-care center in New Delhi from October 2010 to March 2012. These strains were found to be highly resistant to commonly used antimicrobials i.e. nalidixic acid (100%), cotrimoxazole (95.8%), ampicillin (90.8%), doxycycline (80.5%), cefotaxime (78.1%), ceftazidime (74.1%), ofloxacin (73.3%) and ciprofloxacin (72.4%). Resistance to at least one of the third-generation cephalosporins was found in 78.1% of these DEC isolates and 64.3% were confirmed as ESBL-producing (Aggarwal *et al.*, 2013). Niranjan and Malini, (2014) studied the

antimicrobial susceptibility of 119 in-patient E. coli isolates from Puducherry, South India from August 2011 to July 2012. They observed a very high percentage (76.51%) of MDR E. coli in this study. A high level of resistance to commonly used antimicrobials was observed i.e. ampicillin (88.4%), Amoxyclav (74.4%), norfloxacin (74.2%), cefuroxime (72.2%), ceftriaxone (71.4%) and cotrimoxazole (64.2%). The most effective antimicrobials were amikacin, piperacillin-tazobactam, nitrofurantoin, and imipenem with susceptibility rates of 82.6%, 78.2%, 82.1%, and 98.9% respectively. E. coli isolates (229 Nos.) from diarrheal cases were studied in Hyderabad from 2010 to 2011 and more than 70% of isolates were found to be resistant to Norfloxacin, ampicillin, amoxicillin, cotrimoxazole, ceftriaxone, cefotaxime, metronidazole (Sudershan et al., 2014). In one study comprising 99 E. coli isolates from 150 different food samples in Hyderabad 14.7% antimicrobial resistance rate was observed overall with 6% ESBL-producing E. coli detection (Rasheed et al., 2014). Over 2008 to 2013 resistance rates in E. coli to thirdgeneration cephalosporins were reported to increase from 70% to 83%, it was reported to increase from 78% to 85% against fluoroquinolones and from 10% to 13% for carbapenems in India (CDDEP, 2015). E. coli isolates from various clinical samples were found resistant to ampicillin (95%), amoxiclav (95%), cefotaxime (90%), ceftazidime (80%), ciprofloxacin (75%), norfloxacin (70%) and gentamicin (35%) in a study conducted at Chennai. Resistance to imipenem was also found very high (30%) in this study with 15% confirmed carbapenemase-producing strains (Noor and Gopinath, 2018). In a recent study on the drug resistance index (DRI) of 41 countries, India was found to be the worst in terms of drug resistance among infectious agents with the lowest DRI among the 41 countries evaluated (Klein et al., 2019). Gandra et al. (2019) evaluated the mortality burden due to MDR pathogens in India. They evaluated data from 10 hospitals from different geographical regions of India (5 in Northern India, 2 in Western India, 2 in Southern India, and 1 in Eastern India) and observed that out of a total of 5103 MDR culture-confirmed bacterial infections E. coli infections were the highest (1907; 37.4%) during the study period (January-December 2015). It was also observed that in comparison to non-MDR E. coli, the odds of mortality were many times higher in infections with MDR E. coli

(2.63 times), beta-lactam/beta-lactamase inhibitor-resistant E. coli (2.23), and XDR E. coli (2.34). In a study conducted at Christian Medical College Vellore on 99257 bloodstream infections isolates 1100 were identified as E. coli isolates of these 10% were resistant to carbapenems. Whole-genome sequencing of 60 of these MDR carbapenem-resistant E. coli isolates was reported to belong to two clades i.e. ST-131 and ST-410 as the prevalent clades of E. coli in India. Genotypes blaCTX-m-15 and blaNDM-5 were found to be common among cephalosporin-resistant and carbapenems-resistant isolates respectively in this study (Devanga Ragupathi et al., 2020). Paul et al. (2021) studied 103 E. coli isolates from 504 urine samples from patients suspected of UTI from November 2018 to October 2019 in Assam, North East India. They detected ESBL production in 26.2% of the E. coli isolates and 12.6% were identified as carbapenemase-producing. Malik et al. (2021) studied the antimicrobial susceptibility of 53 UPEC patients from September 2017 to April 2018 in Haryana, North India. High antimicrobial resistance rates against ampicillin (88.7%), ofloxacin (83.1%), and amoxiclav (81.1%) were observed in this study, while imipenem, meropenem, nitrofurantoin, fosfomycin, colistin, amikacin, and tigecycline were found to be very effective drugs against UPEC with susceptibility rates of 75.4%, 62.3%, 84.9%, 86.8%, 96.2%, 90.6%, and 100% respectively.

E. coli has also been included among the important microorganisms that are required to be closely monitored for their antimicrobial resistance profiles in India's National Action Plan on Antimicrobial Resistance (National Action Plan on antimicrobial resistance, 2017). It has also been placed under the "Critical Priority" tier the topmost in Indian priority pathogens stratification due to its higher rates of increasing drug resistance trends (WHO, DBT, 2019).

The development of drug-resistant strains is mainly attributed to the overuse and irrational use of antimicrobials. In India sale of 24 antimicrobial agents including third and fourth-generation cephalosporins, carbapenems, and newer fluoroquinolones without prescription by a medical practitioner is banned under Schedule H of the Drug and Cosmetic Act and Rules (The Drugs and Cosmetic Act, 2016). However, many antimicrobials are sold by pharmacies without any prescription. One study conducted in the corporation area in South India revealed that antimicrobial agents are being available in pharmacies without any medical prescription (Rathnakar et al., 2012). The use of antimicrobials in animal feed is another major contributing factor to the emergence of drug resistance. In a study, E. coli resistant to as many as eleven antimicrobials and with ESBL-producing ability was detected in poultry farms in Punjab (Brower et al., 2017). ESBL-producing E. coli were isolated from cattle and poultry in Odisha also (Kar et al., 2015). ESBLproducing E. coli were also isolated from cattle mastitis in West Bengal (Das et al., 2017). Usage of antimicrobials in animal feed is a major concern for curtailing emerging drug resistance to essential emergency antimicrobials like colistin which is the last resort drug against multidrug-resistant ESBL-producing and carbapenemresistant E. coli and is declared an essential threat to medicines by WHO but is commonly used as an animal growth promoter in India (WHO, 2021; Davies and Walsh, 2018). Though many regulations are made through different agencies like FSSAI in India for regulating the irrational use of antimicrobials in animal and animal food products, however, strict measures are required to be taken (Walia et al., 2019).

Escherichia coli is widely distributed in India and is isolated from humans, animals, food, water, and the environment (Musale *et al.*, 2014; Chandra *et al.*, 2012; Chakraborty *et al.*, 2001). Though *E. coli* pathotypes are known to be one of the leading causes of morbidity and mortality in India, however, significantly informative data on the prevalence of various *E. coli* pathotypes in different parts of the country is lacking as most of the studies from the country usually reports infections at local level sporadically. Studies on the prevalence of infectious agents in different locations and their antimicrobial susceptibility profiles play a crucial role in the management and containment of infections. Thus more studies on *E. coli* pathotype distribution in the country and their virulence and drug susceptibility profiles will be valuable for more understanding and better management of this ubiquitous infectious bacterium.

The present study was designed to understand the prevalence of different *E*. *coli* pathotypes in various parts of the country their virulence characteristics and drug susceptibility patterns.

CHAPTER-3

OBJECTIVES

3. OBJECTIVES OF THE STUDY

This study was designed with aim of studying the prevalence and characterizing of pathogenic *E. coli* isolates from intestinal and extra-intestinal sites in various geographical regions of India.

The aim of the study was achieved through the following objectives:

- 3.1. To biotype intestinal and extra-intestinal E. coli isolates
- 3.2. To serotype the confirmed *E. coli* isolates
- 3.3. To detect different E. coli pathotype markers
- 3.4. To characterize the various detected *E. coli* isolates in terms of their virulence factors
- 3.5. To study the antimicrobial susceptibility patterns of the *E. coli* isolates
- 3.6. To detect ESBL-producing E. coli isolates

CHAPTER-4

<u>MATERIAL</u> & METHODS

4. MATERIAL AND METHODS

4.1. Study samples, subculture, and selection of bacterial samples

E. coli isolates from intestinal (diarrheal) and extra-intestinal infections were taken for the present study. The source of *E. coli* isolates was National Salmonella and Escherichia Center (NSEC) situated at Central Research Institute, Kasauli (HP) which is the reference center for *Escherichia coli* in India. This Center receives suspected *E. coli* samples from all over the country for confirmation, and hence was considered the best source for representative *E. coli* strains from the Indian population. Seven hundred and eighty-three (783) suspected *E. coli* strains from Intestinal and extraintestinal infections referred to National Salmonella and Escherichia Center, Central Research Institute, Kasauli (HP) from different geographical regions of the country were taken as representative samples of pathogenic *E. coli* strains in circulation, in India. All the 783 bacterial samples were streaked on MacConkey agar plates and pure colonies consistent with *E. coli* (Edwards and Ewing, 1972) were selected and further subjected to morphological and biochemical characterization to confirm as *E. coli*.

4.2. Morphological, Microscopy, and Biochemical Characterization of study samples for selection of *E. coli* isolates

Isolates under study were characterized using standard morphological, microscopical, and biotyping techniques (Edwards and Ewing, 1972). The morphology of the strains was examined microscopically after Gram staining under a compound microscope (100X objective). Gram staining was carried out using crystal violet and carbol-fuschin as primary and counterstains respectively with ethyl alcohol as the decolorizing agent (Smith and Hussey, 2005). Biotyping of the isolates was carried out using standard biochemicals as described by Ewing (1986) and Barrow and Felthon (1993) with minor modifications to identify the *E. coli* isolates. Isolates which gave growth characteristics on microbiological media (nutrient broth, nutrient agar, and MacConkey agar) and reactions consistent to *E. coli* on different

biochemical tests i.e. indole, methyl red, Voges Proskauer, citrate utilization (IMViC), sugar (lactose, glucose, sucrose) fermentation, reduction of nitrate, Triple Sugar Iron (TSI), utilization of urea, catalase test, oxidase test, and Ortho-nitrophenyl beta D-galactopyranoside (ONPG) were designated as *E. coli*.

4.2.1. Catalase Test

Test organism cultured overnight on a nutrient agar plate was used to test catalase. One drop of catalase reagent $(3\%H_2O_2)$ was put on a clean glass slide with a glass dropper. An isolated colony from the nutrient agar plate was picked with a sterile Pasteur pipette and emulsified in the catalase reagent drop. The emergence of effervescence within 10 seconds was observed.

4.2.2. Oxidase Test

Test organism cultured overnight on a nutrient agar plate was used to test oxidase. One drop of oxidase reagent (tetramethyl-p-phenylenediamine dihydrochloride) was put on a sterile Whatman paper with a glass dropper. An isolated colony from the nutrient agar plate was picked with a sterile Pasteur pipette and emulsified in the oxidase reagent spot. The development of a dark purple spot at the site of emulsification within 10 seconds was observed.

4.2.3. Sugar Fermentation tests

Sugar media (1% sugar in peptone water containing Andrade indicator and Durham's tube) was inoculated with the test. Inoculated media was incubated at $35\pm 2^{\circ}C$ overnight. The next day tubes were observed for color change and accumulation of gas in Durham's tube. The development of pink color in the medium was considered sugar fermentation while no pink color was considered no sugar fermentation. Accumulation of bubbles in Durham's tubes indicated gas formation while no bubble in Durham's tubes was considered as no gas formation.

4.2.4. Indole Test

Peptone water was inoculated with the test organism and incubated at $35\pm 2^{\circ}$ C overnight. The next day 2-3 drops of Kovac's reagent were added into the tubes and the appearance of a red ring at the interface of medium and reagent was observed. The development of a red-colored ring at the interface was read as indole positive while, the development of a yellow ring or no ring at all was considered an indole negative result.

4.2.5. Methyl Red (MR) and Voges Prausker (VP) Tests

Two tubes of Glucose phosphate medium (GPM) were inoculated with the test organism and incubated at $35\pm 2^{\circ}$ Covernight. The next day 3-4 drops of methyl red reagent were added into the first medium tube and the appearance of red color was observed. The development of red color was read as MR positive test while the development of yellow color was taken as MR negative result.

To the second GPM tube few drops of 40%, KOH was added. After this 3-4 drops of α -naphthol were added and the appearance of the red ring at the interface of the medium and reagent was observed. The development of a red-colored ring at the interface was read as VP positive test while the formation of a yellow or no ring was taken as VP negative result.

4.2.6. Citrate Utilization Test

Simmon citrate medium was inoculated with test organism and incubated at $35\pm 2^{\circ}C$ overnight. The next day change in the color of the medium was observed. Development of blue color in medium and bacterial growth was read as citrate positive test. While no change in medium color was considered a citrate negative test.

4.2.7. Urease test

The urea medium was inoculated with test organisms by streaking on the surface of the medium. Inoculated media was incubated at $35\pm 2^{\circ}$ C overnight. The next day change in the color of the medium was observed. Development of pink/red color in the medium was read as a urease positive test. While no change in medium color was considered as a urease negative test.

4.2.8. ONPG test

ONPG test was performed using ONPG discs from HiMedia Pvt. Ltd. (Mumbai) as per the instructions of the manufacturer. One ONPG disc was placed in sterile tubes. To these tubes 100μ l of sterile normal saline was added. Tubes were gently shaken and used immediately for the test. Isolated colonies of the test strains were picked with a sterile loop and emulsified in the saline tubes containing ONPG discs. Tubes were incubated at $35\pm 2^{\circ}$ C and observed for any color change after 1 hour and 6 hours. The development of yellow color in the medium was read as ONPG positive test. While no change in medium color was considered an ONPG negative test.

4.2.9. Triple Sugar Iron (TSI) Test

TSI medium was inoculated with test organisms by streaking on the media surface and stabbing the medium using a sterile inoculation needle. Inoculated media was incubated at $35\pm 2^{\circ}$ C overnight. The next day media tubes were observed for changes in the color of the medium in slant and butt, the development of black color, and cracks in the medium/raising of the medium. The development of red color in the medium was considered an indication of sugar fermentation (acid formation), the development of black color production of H₂S, and cracks in the medium/raising of the medium as production of gas CO₂ (Table 4.1).

Observations	Interpretation	
Pink slant, yellow butt	K/A	
Pink slant, pink butt	K/K	
Yellow slant, yellow butt	A/A	
Black color	H ₂ S production	
Cracks in medium	Gas formation	
No cracks in medium	No gas formation	

Table 4.1: Interpretation of results on TSI media

4.2.10. Nitrate Reduction (NR) Test

Nitrate broth medium was inoculated with test organisms by using a sterile inoculation loop. Inoculated media was incubated at $35\pm 2^{\circ}C$ overnight. The next day NR reagent I (α -naphthylamine) and NR reagent II (sulfanilic acid) were added to media tubes and were observed for change in color of the medium. The development of red color in the medium was read as a nitrate reduction positive test, while no change in color was considered a nitrate reduction negative test.

4.3. Preparation of Master and Working stocks of the test and standard strains

Working stocks of the strains were kept on nutrient agar slants and were replaced with new working stock as and when required. The master stocks were kept as stab cultures.

4.4. Serological Characterization

E. coli isolates were subjected to the determination of "O" serotypes as per the method adopted in the National Salmonella and Escherichia Center (NSEC) protocol for *E. coli* "O" serotyping, originally described by Orskov et.al. (1977) and Orskov and Orskov (1984).

Here; A: Acidic K: Alkaline

4.4.1. Preparation of antigenic suspension

The nutrient broth was inoculated with a test organism using a sterile inoculating loop. The organism to be tested in the serotyping assay was grown at a temperature of $35\pm2^{\circ}$ C overnight in nutrient broth. The overnight bacterial culture was boiled for 1 hour followed by the addition of formalin to a final concentration of 0.25%. Cell concentration of the antigenic suspension so prepared was diluted to contain $3X10^9$ organisms/ml with normal saline and checked by Brown's opacity set. The antigenic suspension was stored at 2-8°C till it was used for serotyping.

4.4.2. Serotyping

U-shaped 96-well microtiter plates were used for serotyping. Isolates were first serotyped using pooled *E. coli* anti-O antisera by mixing 50µl of antigenic suspension and 50 µl of pooled (Pool A to Pool P) *E. coli* anti-O antisera (NSEC, Central Research Institute, Kasauli, India). With each set of reactions, a negative control was also put up by adding 50 µl of sterile normal saline instead of antisera. Microtiter plates were gently shaken and covered with aluminum foil. The plates were incubated at $35\pm 2^{\circ}$ C overnight. The next day wells were examined for agglutination. The test was repeated with all constituent monovalent antisera of the pool that gave clear agglutination. Test results were interpreted as given below.

- The strains agglutinated with a particular pool were tested for agglutination with individual antisera constituting the pool, similarly.
- The individual antiserum showing agglutination reaction with an isolate determined the serogroup of that isolate.
- The isolate not showing agglutination reaction with any of the pooled antisera was reheated at 121°C for 2.5 hours to destroy K antigen and the whole process was repeated. The isolate that still did not show any agglutination was read as un-typeable (UT).

4.5. Detection of virulence factors

Virulence factors assisting in colonization (siderophores, biofilm, cell surface hydrophobicity, colicinogeny, gelatin hydrolysis) commonly expressed by pathogenic *E. coli* were phenotypically studied as described below:

4.5.1. Siderophore Detection

4.5.1.1. Siderophore screening

Screening of siderophore-producing *E. coli* isolates was carried out by inoculating test strains on Chrome Azurol S (CAS) agar.

CAS agar plates were prepared as described by Louden *et al.* (2011). To remove the trace elements from the glassware used in the experiment these were pretreated with 6M HCl and then thoroughly washed with water for injection (WFI).

E. coli isolates were inoculated on Chrome Azurol S (CAS) agar and incubated at $35\pm 2^{\circ}$ C for 48 hours. A change in medium color around the bacterial growth was observed for scoring the plates. Isolates were assigned as siderophore-producing if the color change from blue to orange was observed (Schwyn and Neilands 1987, Shin *et al.* 2001).

4.5.1.2. Siderophore typing

All the test isolates were further characterized using chemical assays described by Csaky for the detection of hydroxamate and by Arnow for the detection of catecholate-type siderophores (Arnow, 1937; Csaky, 1948; Maheshwari *et al.* 2019). *E. coli* isolates were grown at $35 \pm 2^{\circ}$ C overnight in iron-restricted modified Fiss Minimal Medium to express the siderophores. The next day, the culture supernatant was separated from the bacterial mass by centrifugation at 4000 RPM for 10 minutes. Culture supernatant was carefully collected in separate sterile tubes using a sterile

Pasteur pipette and hydroxamate and catecholate siderophores types were detected in the culture supernatant using Csaky and Arnow assays respectively.

4.5.1.2a. Csaky assay

Csaky assay was carried out to detect hydroxamate-type siderophores. To 1ml culture supernatant (obtained as described in point no. 5.5.1.2 above) 1ml of 6M H₂SO₄ was added and autoclaved for 30 minutes to completely hydrolyze. To this sequentially added 3 ml of sodium acetate (35%), 1 ml sulphanilic acid (1% in 30% acetic acid), and 0.5 ml of iodine solution (1.3% in 30% acetic acid). After 5 minutes of incubation at room temperature, 1ml sodium arsenite solution (2% w/v) was added to destroy excess iodine. To this 1ml of naphthylamine (0.3% in 30% acetic acid) was finally added and the tubes were kept in dark for 20 minutes to allow the development of pink color. Absorbance was measured at 526 nm using a UV-Visible double-beam spectrophotometer. Deionized water was used as blank.

4.5.1.2b. Arnow assay

Arnow assay was carried out to detection of catechol-type siderophores. To 1 ml culture supernatant (obtained as described in point no. 5.5.1.2 above) 1 ml HCl was added. Then 1 ml nitrite-molybdate was added to this followed by the addition of 1 ml of 1N NaOH. Tubes were then incubated at room temperature for 10 minutes in dark to develop the red color. Absorbance was measured at 510 nm using a UV-Visible double-beam spectrophotometer. Deionized water was used as blank.

4.5.2. Biofilm Formation

Biofilm-forming potential among the study samples was detected using three common screening assays viz. The tissue culture plate method (TCA), The tube method (TM), and The congo red agar method (CRA). All the tests were performed in triplicate.

4.5.2.1. Tissue Culture Plate Method

The tissue culture plate method described by Christensen et al. (1985) was used for detecting the biofilm-forming ability of the test isolates. Biofilm-forming isolates were further categorized as strong biofilm producers, moderate biofilm formers, or weak biofilm producers using criteria described by Stepanovic et al. (2007). Briefly; 10 ml trypticase soy broth containing 1% glucose was inoculated with a fresh culture of test isolates and incubated at $35 \pm 2^{\circ}$ C overnight. Before adding the broth culture to the tissue culture plate, it was 100 times diluted using a fresh medium as the diluent. The tissue culture plate (polystyrene tissue culture treated, flat bottom 96 well plate) was then seeded with diluted broth culture of the test isolates with a volume of 200 µl per well, in triplicate. Sterile soy broth containing 1% glucose was used as the negative control. The tissue culture plates were incubated at a temperature of $35 \pm$ 2°C overnight. After incubation, broth and suspended cells were removed from the wells by gently tapping the plate. The plate was washed four times by adding 0.2 ml of sterile phosphate buffer saline (pH 7.2) to each well and then decanting it with gentle tapping of the plate to remove non-adherent bacterial cells. Biofilm formed in the wells was fixed with 2% sodium acetate and then stained with crystal violet (0.1%). To remove excess stain plates were again washed with deionized water and air-dried. The optical density (OD) of stained biofilm was determined after elution with 70% ethanol using a micro ELISA auto reader at wavelength 570 nm.

The results were interpreted according to the criteria of Stepanovic *et al.* (2007) as follows (Table 4.2)

OD values of the test isolate in	Interpretation	
reference to ODc value		
<u>≤</u> ODc	Negative	
> ODc - \leq 2X ODc	Weak	
> 2X ODc - \leq 4X ODc	Moderate	
> 4X ODc	Strong	

Table 4.2: Criteria for scoring plates in the TCP method

Here, ODc: Optical Density of control

4.5.2.2. The tube method

This test was performed as per the method described by Freeman et al in 1989. In a sterile tube, 10 ml of trypticase soy broth containing 1% glucose was inoculated with a loopful of test isolate. The test isolates inoculated medium was overnight at a temperature of $35 \pm 2^{\circ}$ C. Broth and suspended bacterial cells were decanted from the tubes and tubes were washed thoroughly with sterile phosphate buffer saline (pH 7.3). Tubes were placed in an inverted position to allow them to dry. Crystal violet solution (0.1%) was added to the tubes to stain the biofilms formed if any. The excess stain was removed by washing again with deionized water. To allow the tubes to dry the tubes were again kept in an inverted position and scored for the presence of biofilm. The presence of biofilm was examined by observing a stained film lining the wall and bottom of the tube. The tubes were scored according to the results of the control tubes.

4.5.2.3. Congo Red Agar (CRA) method

This test was performed as per the method described by Costerton *et al.*, in 1995. CRA medium was prepared by dissolving and autoclaving Congo red indicator stock and the rest of the media separately and mixing them just before pouring them onto media Petri dishes. Congo red indicator stock was prepared by dissolving 0.8g of Congo red indicator in 100 ml of distilled water and autoclaving at 121°C for 15 minutes. The remaining medium was prepared by dissolving 37g of brain heart infusion broth powder, 50g of sucrose, and 10g of agar No. 1 in 900 ml of distilled water. The Congo red indicator stock was aseptically mixed with the rest of the medium constituents at 55°C and poured onto sterile Petri dishes and allowed to settle. To detect the biofilm-forming ability of the test isolates CRA plates were inoculated with test organisms and incubated overnight aerobically at a temperature of $35 \pm 2°$ C. The color of the colonies developed after overnight incubation was observed and results were interpreted. The appearance of grey to black colonies with a dry crystalline consistency was considered biofilm production and scored based on the intensity of pigmentation produced as weak, moderate, and strong.

4.5.3. Cell Surface Hydrophobicity

Cell surface hydrophobicity was tested by salt aggregation test (SAT) (Lee, 1996; Ljungh, 1982). Test isolates were inoculated on CFA agar and incubated overnight at $35\pm2^{\circ}$ C. Bacterial growth was harvested in phosphate-buffered saline (PBS, pH 6.8) by scraping. Bacterial concentration was adjusted to $5X10^{9}$ cells/ml using Macfarland's standard 6. In a V-shaped microtiter plate, 25ul of solutions of ammonium sulfate (5M, 2.5M, 1.25M, 0.625M, and 0.3125M) in water for injection were added and mixed with an equal volume of test bacterial suspension ($5X10^{9}$ /ml) in phosphate-buffered saline (PBS, pH6.8), and incubated for 3 hours at room temperature. Microtiter plates were observed with an unaided eye for bacterial aggregation.

Isolates showing aggregation with ammonium sulfate concentration of \leq 1.25M were considered as exhibiting cell surface hydrophobicity.

4.5.4. Colicin production

The phenotypic soft agar overlay technique was used to detect colicin production by *E. coli* isolates (Parreira, 1998). Luria Bertani (LB) broth was inoculated with test

isolates and incubated overnight at a temperature of $35\pm2^{\circ}$ C. Growth from overnight culture was stabbed on an LB agar plate and incubated overnight at a temperature of $35\pm2^{\circ}$ C for the diffusion of colicin in the growth medium if produced. The plate was exposed to chloroform for 10 minutes for killing bacterial cells by placing chloroform-soaked filter paper on the growth. Colicin-sensitive strain (*E. coli* K12) grown in LB broth overnight was mixed with soft agar (0.4% agar) at 60°C and overlaid on the Petri dish with test organism growth. Plates were left undisturbed to let the soft agar settle and then incubated overnight at a temperature of $35\pm2^{\circ}$ C. A zone of growth inhibition of the colicin-sensitive strain was observed around the test organism growth for colicin activity detection. Zone of growth inhibition of the sensitive strain around the test organism growth was considered a colicin production positive test. No zone of growth inhibition of the sensitive strain was considered as, no colicin production.

4.5.5. Gelatinase detection

Gelatinase was detected on gelatinase agar plates (Kaira and Pai, 2018; McDade and Weaver, 1959). Gelatinase media containing 1% extra-pure gelatin was inoculated with the test organism and incubated overnight at a temperature of $35\pm2^{\circ}$ C. Plates were then flooded with acidic mercuric chloride solution ($15gHgCl_2$; Conc. HCl 20ml and WFI 100ml). Plates were observed for the appearance of the zone of clearance around bacterial growth and the cloudiness of the gelatinase medium. The appearance of a zone of clearance around bacterial growth and cloudiness of the gelatinase medium was considered gelatinase production. No zone of clearance around bacterial growth was considered a gelatinase-negative test.

4.6. Detection of various pathotype markers

A Diarrheagenic *E. coli* detection kit (Hi-media Pvt. Ltd. Mumbai, India) was used for the detection of virulence marker genes of the four most important diarrheagenic pathotypes viz. ETEC (elt and est genes), EPEC (eae and bfpA genes), EHEC (hlyA gene), and EAEC (CVD432 gene).

4.6.1. DNA extraction

The boiled lysis method was used to prepare bacterial DNA for PCR analysis (Chellapandi *et al.*, 2017; Dutta *et al.*, 2013). Isolated colonies of *E. coli* isolates were inoculated in Luria–Bertani (LB) broth and the broth was incubated at $35\pm 2^{\circ}$ C overnight for bacterial growth. The bacterial cells were harvested by centrifuging the bacterial growth at 3000 rpm for 5 minutes. The supernatant was discarded and the bacterial pellet was resuspended in sterile water for injection. The suspension was boiled for 10 minutes. The tubes were immediately chilled by keeping them on ice for 5 minutes for chilling shock. The bacterial lysate so obtained was then centrifuged at 10,000 rpm for 5 minutes. The supernatant was taken as template DNA for multiplex PCR analysis.

4.6.2. Multiplex PCR

A volume of 25 μ l reaction mixture was prepared as per manufacturer instructions using 5 μ l template DNA in two sets one each for primer set I (eae (482bp), bfpA (300bp), CVD432 (194bp)) and primer set II (hlyA (534bp), elt (322bp), est (170bp)). Two sets of the additional PCR reactions were prepared which contained 5 μ l positive control DNA (supplied with the kit) as DNA template for primer set I and primer set II respectively (Table 4.3).

Components	Tube 1	Tube 2
2X Taq Mixture	12.5 µl	12.5 µl
Primer Set 1	6 µl	-
Primer Set 2	-	7.5 μl
Molecular Biology Grade water for PCR	1.5 µl	-
Template DNA	5 µl	5 µl
Total Volume	25 µl	25 µl

 Table 4.3: Reaction mixture used in multiplex PCR for detection of DEC

 pathotypes

The PCR cycle was run using a conventional thermocycler (Eppendorf Mastercycler). The cycler was programmed for initial denaturation at 94°C for 10 minutes. Followed by; 30 cycles of denaturation at 94°C for 45 seconds, annealing at 56°C for 45 seconds, and extension at 72°C for 30 seconds. The final extension was carried out at 72°C for 10 minutes. PCR product was held at 4°C and analyzed the same day or stored at -20°C for analysis the next day.

4.6.3. Agarose gel electrophoresis

Agarose gel electrophoresis was carried out as per the user instructions contained in the literature provided with a Diarrheagenic *E. coli* detection kit (Hi-media Pvt. Ltd. Mumbai, India). Agarose solution (2%) was prepared by dissolving 2g of agarose per 100 ml of 1X TBE Buffer. Agarose suspension was allowed to heat on flame for melting. Molten agarose was cooled to 50°C and poured into a gel loading tray with combs placed. The agarose was allowed to solidify and then the comb was removed carefully. The DNA samples (10 μ l) were mixed with 1.5 μ l of tracking dye and were loaded gently into the wells. Two wells were loaded with positive control and DNA samples were loaded into the remaining wells. Then the gel was mounted on the respective electrophoresis apparatus tank filled with 1X TBE buffer. Electrophoresis was carried out at 100 V until the tracking dye reaches two-thirds of the gel length. The agarose gel was stained with EtBr for 15 minutes. The gel was examined and

photographed on the Gel Documentation System. Amplicon bands in the test samples were compared with the positive control of set 1 and set 2. Amplicon size was estimated by comparing it with the 50bp DNA ladder.

4.7. Determination of antimicrobial susceptibility patterns

Antibiotic Sensitivity Testing (AST) was performed by Kirby Bauer Disc Diffusion method as per the CLSI guidelines (CLSI, 2014) using 20 drugs representing different antimicrobial classes [amoxyclav (30ug), ampicillin (10ug), amikacin (30ug), chloramphenicol (30ug), ciprofloxacin (5ug), ceftazidime (30ug), cefotaxime(30ug), cotrimoxazole(25ug), ceftriaxone (30ug), cefepime (30ug), cefuroxime (30ug), gentamicin(10ug), kanamycin (30ug), imipenem (10ug), meropenem (10ug), nitrofurantoin (300ug), norfloxacin (10ug), nalidixic acid (30ug), trimethoprim (5ug)and piperacillin-tazobactam (100/10ug)].

A single colony from the media plate was selected and transferred into the tube containing 3ml of LB broth. The culture tube was incubated for appx. 6 hours at a temperature of $35\pm2^{\circ}$ C to obtain a concentration of 10^{6} - 10^{8} cells per ml. The turbidity of the actively growing culture was adjusted to match that of the 0.5 Mc Farland standards using LB broth. A sterile cotton swab was dipped into the adjusted suspension and rotated several times. To squeeze out excess inoculum the swab was pressed firmly on the sidewall of the tube above the fluid level. This swab was then used to apply the suspension evenly on the surface of a Mueller- Hinton agar. This procedure was repeated twice by rotating the plate approximately at an angle of 60° each time to ensure an even distribution of inoculum. The rim of the agar was swabbed in the final step. Then the plate was left for 3 to 5 minutes to dry with the lid in place. The antimicrobial discs were dispensed onto the surface of the inoculated agar plate with the help of sterile forceps, in an equidistance fashion with their center approximately 24mm apart and 5 discs were deposited per plate. Each disc was pressed down to ensure complete contact with the agar surface. Plates were then inverted and incubated at 35±2°C overnight. The next day, results were noted by measuring the zone diameter of the inhibition of bacterial growth, nearest to the millimeter with the help of a scale. Results were interpreted as sensitive, intermediate, and resistant after comparing with the interpretive chart as per CLSI guidelines (CLSI, 2014).

4.8. ESBL Detection

ESBL production was detected using disk diffusion assay on Muller Hinton Agar as per the guidelines of CLSI (CLSI, 2014) using cefotaxime and ceftazidime alone and in a combination with clavulanic acid. Test isolates were cultured in LB broth overnight at $35\pm2^{\circ}$ C. The overnight culture was spread on Muller Hinton agar using a sterile cotton swab as described above for AST assay. Antibiotic discs (Cefotaxime, ceftazidime alone, and in combination with clavulanic acid) were seeded onto the agar plate using sterile forceps as described above for AST assay the next day. Plates were incubated overnight at $35\pm2^{\circ}$ C. The next day, a difference in the zone diameter of the drug with clavulanic acid and the drug alone was observed. The difference in zone diameter of the drug with clavulanic acid and the drug alone was taken as the criteria for scoring isolates as ESBL positive and negative. The difference of ≥ 5 mm was taken as positive while that of <5mm was taken as negative.

4.9. Statistical Analysis

SPSS version 22.0 was used to calculate frequencies, mean, percentages, standard deviations, associations, and significances. When assays results were taken in triplicate mean values were considered i.e. optical densities in the TCP method for biofilm detection, and chemical assays for siderophores detection. Wherever association or comparison between two or more groups i.e. intestinal and extra-intestinal isolates, geographical variations, etc., were made, the significance was tested at a 95% confidence level. Accuracy, specificity, sensitivity, and predictive values (positive predictive value and negative predictive value) were calculated when two or more methods were compared i.e. Biofilm detection by TM and CRA methods against the TCP method.

CHAPTER-5

RESULTS <u>&</u> DISCUSSION

5. RESULTS AND DISCUSSION

Escherichia coli is the most common etiological agent of urinary tract infection (UTI) worldwide and accounts for more than 80% of UTIs. It is also one of the important etiological agents of diarrhea and can result in diarrhea of variable severity and may also lead to very severe systemic infections like septicemia, neonatal meningitis, hemolytic uremic syndrome (HUS), and hemorrhagic colitis (HC). Though Escherichia coli outbreaks are very common worldwide, developing nations including India are the most affected due to poor socioeconomic conditions, poor hygiene, and thick populations. Inadequate healthcare infrastructure, poor hygiene, low awareness, thickly populated habitats, and poor socioeconomic conditions in developing countries not only contribute to high E. coli infection rates making its transmission under such conditions easier through the fecal-oral route but also pose a challenge to managing and control of this infectious agent. Thus, E. coli makes a major contribution to the total disease burden in low and middle-income countries. Another challenge in the management and control of E. coli infections is the emergence of strains resistant to multiple drugs (MDR) including resistance to newergeneration drugs like third and fourth-generation cephalosporins and carbapenems, which makes treatment and management of E. coli infections difficult. The prevalence and characterization of E. coli isolate are of prime importance for better understanding and better planning of control and management strategies for this common infectious agent.

An attempt was made in the present study to characterize clinical *E. coli* isolates from various geographical locations in India. To incorporate clinical *E. coli* isolates from all geographical regions of the country, the study samples were taken from National Salmonella and Escherichia Center (NSEC); a reference laboratory in India, which receives *Salmonella* and *E. coli* isolates from all parts of the country. In the present study, a total of 783 suspected *E. coli* isolates that were received at the National Salmonella and Escherichia Center (NSEC) during the study period were taken for the study. All the samples were first revived by sub-culturing on

MacConkey agar and nutrient broth then the stock culture of all revived samples was taken on nutrient agar slants. All viable isolates were characterized by bio-typing for confirmation as *E. coli*. Isolates identified as *E. coli* on bio-typing were then further characterized by serotyping, virulence characteristics, and antimicrobial profiles. All intestinal isolates were also characterized genotypically to identify prevalent diarrheagenic pathotypes.

5.1. Bio typing

Study isolates included in the study were identified using standard microbiological techniques (Tables 5.1 and 5.2). Isolates with morphological and biochemical profiles consistent with *E. coli* were designated as confirmed *E. coli* isolates. A total of 534 isolates out of 783 taken for the study were confirmed as *E. coli*. The so-confirmed 534 *E. coli* isolates were from different regions of the country as shown in figure 5.1.

Study characteristics	Observations	
Gram staining	Gram-negative rods	
Growth characteristics on MacConkey	Lactose fermenting colonies	
agar		
Growth characteristics on Nutrient agar	Smooth, creamish colonies	
Growth characteristics on Nutrient	Turbidity with or without pellicles	
broth		

Table 5.1: Morphological characteristics of the confirmed E. coli isolates

Biochemical Test	Test Performed	Results
Targeted for		
Enzyme Detection	Catalase test	+ ve
	Oxidase test	- ve
	Nitrate Reduction test	+ ve
	Urea hydrolysis test	- ve
Sugar	Glucose fermentation	AG
Fermentation tests	(Acid/Gas)	
	Lactose fermentation (Acid)	A
	Sucrose fermentation (Acid)	- ve
Substrate	Triple Sugar Iron (TSI)	A/A with/without
Utilization		gas
		No H ₂ S
IMViC	Indole	+ ve
	MR	+ ve
	VP	- ve
	Citrate	- ve
Late lactose	Ortho-nitrophenyl-β-	+ ve
fermenter detection	D-galactopyranoside (ONPG)	

Table 5.2: Biochemical characteristics of the confirmed E. coli isolates

E. coli isolates representing different geographical regions were obtained from different states in the regions i.e. Central India (Madhya Pradesh-59Nos.), Northern India (Chandigarh-98 Nos., Himachal Pradesh-26 Nos., Delhi-22 Nos. and Punjab-02Nos.), Southern India (Andhra Pradesh- 12 Nos., Tamil Nadu-38 Nos. and Karnataka-120Nos.), Eastern India (Mizoram- 134Nos.) and Western India (Maharashtra-23 Nos.).

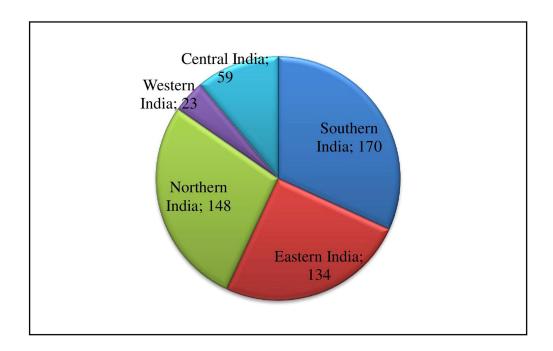


Figure 5.1: Geographical distribution of the study samples

The distribution of the confirmed *E. coli* isolates was non-uniform but representative samples were obtained from all geographical regions. Infection rates due to *E. coli* and many other etiological agents of these infections remain under-reported or under-investigated due to many reasons no medical aid is taken in most of the infections, the majority of reported infections are treated by empirical treatment without characterization of the etiological agent, and poor surveillance laboratory network in developing countries.

E. coli is one of the most diverse microorganisms in terms of its antigenic structure, pathogenesis, and virulence profiles (Lagerstrom and Hadly, 2021; Anderson *et al.*, 2006; Dozois and Curtiss; 1999). All 534 confirmed clinical *E. coli* isolates in the present study were further characterized to see the prevalence of different epidemiological, virulence, and antimicrobial resistance characteristics in different geographical locations of the country.

5.2. Serotyping

The Association of some of the serotypes frequently with specific *E. coli* pathotypes makes serotyping one of the most widely used epidemiological and virulence markers (Tamura *et al.*, 1996). Sometimes serotyping is solely used to assign pathotypes to *E. coli* isolates (Otaiwi *et al.*, 2019; Lanjewar *et al.*, 2010). It is the most dependable technique to characterize certain highly pathogenic *E. coli* strains like O157:H7 and the big six group associated with food-borne outbreaks (Wang *et al.*, 2013).

In the present study, *E. coli* were typed using specific anti "O" *E. coli* antisera to evaluate the prevalent "O" serogroups in India and the geographical variability of "O" serogroups, if any, in the country. *E. coli* isolates in the present study were found to be diverse in terms of "O" serogroups as these strains were clustered over 36 different "O" serogroups including 96 isolates that could not be typed using the serotyping and were designated as UT (untypeable). The ten most frequent "O" serogroups detected were O8 (46 isolates; 8.6%), O11 (36 isolates; 6.7%), O22 (33 isolates; 6.2%), O88 (33 isolates; 6.2%), O126 (28 isolates; 5.2%), O83 (25 isolates; 4.7%), O35 (25 isolates; 4.7%), O141 (23 isolates; 4.3%), O149 (22 isolates; 4.1%) and O7 (21 isolates; 3.9%) (Figure 5.2).

Untypeable isolates were frequently encountered isolates from all geographical locations, however, only one isolate was found to be non-typeable from Western India. The Untypeable isolates in the present study may be representing serotypes other than 173 serotypes targeted in the present study or newer emerging serotypes, further research in this regard will be helpful to characterize such isolates. In other similar studies, non-typeable *E. coli* isolates were detected in large numbers which were considered to probably represent emerging serogroups/serotypes associated with pathogenic *E. coli* strains (Iguchi *et al.*, 2017; Bai *et al.*, 2016). Among type-able isolates, the most predominant "O" serogroups in this study were O8, O11, O22, O126, O88, O83, O35, O7, O149, and O141. Similar other studies from different regions of India have reported different serogroups associated with clinical *E. coli* samples (Sai and Chandhar, 2019; Pralhad *et al.*, 2018; Vijayan *et al.*,

2017; Thakur *et al.*, 2016, Verma *et al.*, 2013). Thus, it can be inferred from the present and other studies from India that very diverse serogroups are associated with pathogenic *E. coli* in India.

In the developing world, the most commonly reported *E. coli* outbreaks involve the STEC pathotype which is usually found to be associated with very limited serogroups viz. O157 and big six non-O157 serogroups i.e. O26, O45, O103, O111, O121, and O145 lead to very severe clinical presentations like bloody diarrhea and HUC (CDC, 2011; Brooks et al., 2005). Based on incidence rates, frequency of outbreaks, association with HUS/HC, and serotypes involved STEC has been categorized into five seropathotype classes A-E. Seropathotypes class A is the most severe class linked to human infections (high incidence rate, frequently involved in outbreaks, associated with HUS/HC), and class E is associated with non-human sources only (Amezquita-Lopez, et al., 2018; Karmali et al., 2003). In the recent past, a new serogroup O114 has emerged to be associated with STEC outbreaks in the developed world (Buchcholz et al., 2011). Studies carried out in different parts of India on E. coli isolates from human has rarely reported the occurrence of E. coli O157, O114, and big six non-O157 STEC serogroups, thus indicating very few incidences of human STEC infections in India (Sai and Chandhar, 2019; Pralhad et al., 2018; Vijayan et al., 2017; Verma et al., 2013; Sehgal et al., 2008; Khan A. et al., 2002). The present study also detected only a few serogroups which are usually linked to STEC/EHEC pathotype in very low percentages i.e. Serogroup O157 which belongs to class A seropathotype was detected in 7(1.3%) isolates only (6 intestinal and 1 extra-intestinal), serogroup O5 belonging to class C seropathotype was detected with very insignificant percentage i.e. 0.2% and few serogroups i.e. O7; 21/534 (3.9%), O119; 10/534 (1.9%), O117; 1/534 (0.2%) belonging to seropathotype class D. The results of this study, other studies carried out in different parts of India and other developing countries thus indicate geographical variations in the prevalence of E. coli seropathotypes in developed and developing nations.

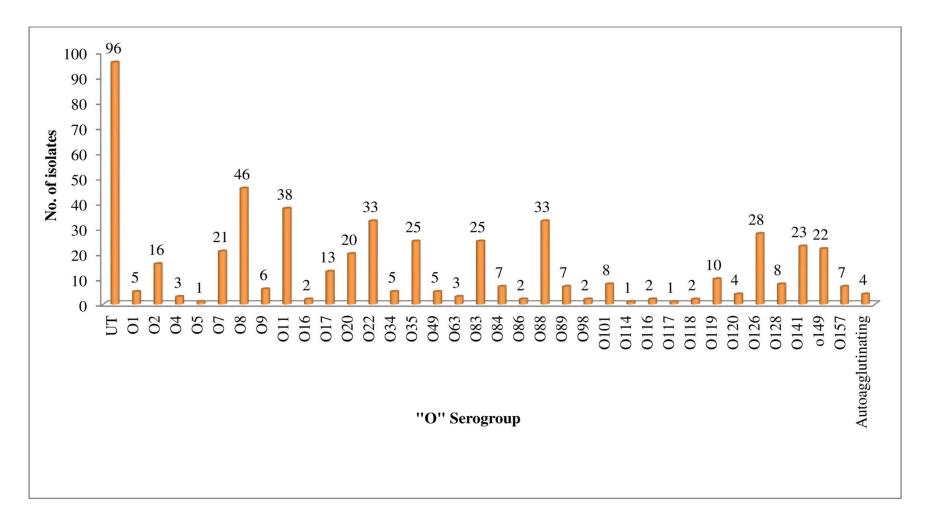


Figure 5.2: Distribution of "O" serogroups in Intestinal (DEC) and Extraintestinal *E. coli* (ExPEC)

Here: UT- untypeable, O1 to O157- different "O" serogroups detected

E. coli seropathotypes were not uniform across the country in the present study (Kurskal-Wallis test p<0.05) as some of the serogroups were found among isolates from one or two regions only i.e. O1, O4, O5, O16, O89, O98, O101, O114, O116, O117, O118 were detected in isolates from mainly eastern and southern regions. Frequently detected "O" serogroups in different geographical regions were found to be different i.e. Southern India: O8 (21/170; 12.3%), O88 (17/170; 10%), O11 (14/170;8.2%), O20 (12/170; 7.06%), O83 (10/170; 5.9%); Northern India: O83 (14/148; 9.5%), O149 (14/148; 9.5%), O126 (12/148; 8.1%), O35 (10/148; 6.7%); Eastern India: O22 (19/134; 14.2%), O126 (12/134; 9%), O141(12/134; 9%), O8 (11/134; 8.2%), O2 (10/134; 7.5%); Central India: O11 (12/59; 20.3%), O7 (7/59; 12%), O149 (4/59; 7%), and Western India: O88 (5/23;22%), O22 (3/23; 13.04%) [Table 5.3].

The majority of serogroups were detected in both intestinal as well as extraintestinal isolates. However few serogroups were detected in either of the sources (intestinal or extra-intestinal only) i.e. serogroups O4, O89, and O117 were detected only in extra-intestinal isolates while O5, O84, O86, O98, O114, O126, O128, and auto-agglutinating were detected only in intestinal isolates or were found to be associated significantly with either of the sources (Mann-Whitney U test P<0.05) (i.e. intestinal: O2, O22, O119, O149, O157 or extra-intestinal: O7, O11, O35) in the present study (Figure 5.3).

Other studies from different parts of the country reported other "O" serogroups associated with intestinal and extra-intestinal *Escherichia coli* isolates than those detected in the present study (Chellapandi *et al.*, 2017; Maloo *et al.*, 2017; Sharma *et al.*, 2016; Roy *et al.*, 2015; Chhibber *et al.*, 2014; Muni *et al.*, 2014; Lanjewar *et al.*, 2010; Kausar *et al.*, 2009; Maiya *et al.*, 1977). These findings indicate that pathogenic *E. coli* in India are very diverse in terms of the prevalence of seropathotypes as a wide range of "O" serogroups is in circulation among pathogenic intestinal and extra-intestinal isolates in the country.

"O"	Frequency of expression of "O" Serogroup in								
Serogroup	different Geographical Regions (n)								
	Central	Eastern	Northern	Southern	Western				
	India	India	India	India	India				
UT	13	30	24	28	1	96			
01	0	4	0	1	0	5			
O2	1	10	2	3	0	16			
O4	0	3	0	0	0	3			
05	0	1	0	0	0	1			
07	7	2	3	9	0	21			
08	3	11	9	21	2	46			
09	0	2	1	3	0	6			
011	12	4	7	14	1	38			
016	0	2	0	0	0	2			
017	0	1	5	7	0	13			
O20	0	2	6	12	0	20			
O22	2	19	6	3	3	33			
O34	1	1	1	2	0	5			
O35	3	3	10	8	1	25			
O49	0	0	3	1	1	5			
O63	0	0	1	0	2	3			
O83	0	1	14	10	0	25			
O84	0	0	6	1	0	7			
O86	0	0	2	0	0	2			
O88	3	1	7	17	5	33			
089	0	0	0	7	0	7			
O98	0	0	0	2	0	2			

Table 5.3: Distribution of "O" serogroups in different geographical locations of India

"O" Serogroup	Frequency of expression of "O" Serogroup in different Geographical Regions (n)							
	Central	Eastern	Northern	Southern	Western			
	India	India	India	India	India			
O101	0	4	0	2	2	8		
O114	0	0	0	1	0	1		
O116	0	2	0	0	0	2		
O117	0	1	0	0	0	1		
O118	0	1	0	1	0	2		
O119	0	1	7	2	0	10		
O120	1	0	0	1	2	4		
O126	3	12	12	1	0	28		
O128	0	4	4	0	0	8		
O141	2	12	1	6	2	23		
O149	4	0	14	4	0	22		
0157	3	0	1	2	1	7		
Auto-	1	0	2	1	0	4		
agglutinating								
TOTAL	59	134	148	170	23	534		

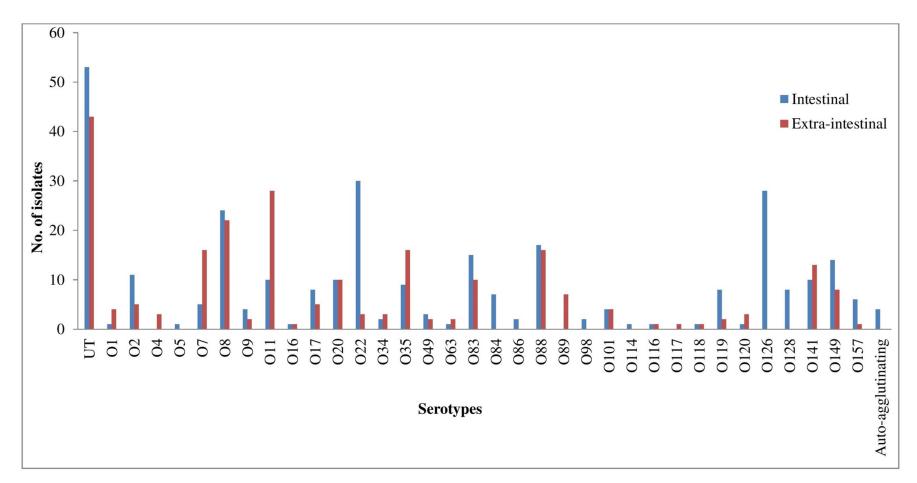


Figure 5.3: Percentage of "O" serogroups among intestinal and Extra-intestinal *E. coli*

Here: UT-untypeable, Auto..-Autoagglutinating, O1 to O157- different "O" serogroups detected.

5.3. Pathotypes

Diarrheal E. coli (DEC) is known to cause diarrhea using diverse mechanisms and with varying severity. Seven diarrhea-genic E. coli pathotypes have been described i.e. ETEC, EPEC, EHEC, EIEC, DAEC, EAEC, and AIEC (Lee, 2019; Gomes, 2016). The prevalence of DEC pathotypes varies with the socio-economic and geographical conditions of an area. Different pathotypes have been reported to be prevalent in the developed and developing world. Whereas EPEC, ETEC, and EAEC are reported predominantly in developing countries; EHEC, EPEC, and EIEC outbreaks are frequently reported in developed countries (Abbasi et al., 2020; EFSA BIOHAZ Panel, 2020; Omolajaiye et al., 2020; Anderson et al., 2019; Brouzerdi et al., 2018; Thakur et al., 2018; Cabal et al, 2016; CFSPH, 2016; Keskimaki et al., 2000;). In the present study, intestinal isolates from various geographical regions of India were characterized using multiplex PCR targeting virulence genes of four prevalent DEC (genes: eae and bfpA for EPEC, est and elt for ETEC, hlyA for EHEC, and CVD432 for EAEC). DEC virulence genes targeted in the present study could be detected in 297 (98.3%) out of 302 confirmed intestinal E. coli isolates. The most prevalent DEC pathotypes in the study samples were enterotoxigenic E. coli (ETEC) and enteropathogenic Escherichia coli (EPEC) with the frequency of 137/302; 45.4% and 126/302 i.e. 41.7% respectively (Figure 5.4). ETEC and EPEC were detected most commonly from all geographical locations of the country (Table 5.4). Other studies from various parts of the country have also reported ETEC and EPEC as the most prevalent pathotypes in the country (Singh et al., 2019; Natrajan et al., 2018; Raghvan et al., 2017).

EPEC is the most prevalent entero-pathotype in childhood diarrhea worldwide with two variants viz. typical and atypical EPEC. Typical EPEC exhibit characteristics of attaching and effacing marked by gene bfpA whereas atypical strains lack this gene. Genetically, atypical strains are characterized by eae genes and typical by the presence of both eae and bfpA (Mare *et al.*, 2021). In this study, among the EPEC isolates atypical EPEC strains (eae gene only-34.8%) were found to be more prevalent than the typical EPEC strains (both eae and bfpA genes) throughout the country (p>0.05). Similar observations have also been made by other workers from some studies in India (Natrajan *et al.*, 2018; Raghvan *et al.*, 2017; Wani *et al.*, 2006). However, in some other studies carried out in other parts of India typical EPEC was found more frequently than atypical strain (Singh *et al.*, 2017; Ghosh and Ali, 2010). Thus the present study and similar other studies from India indicate that both typical and atypical EPEC are prevalent in India.

ETEC strains are also frequently associated with childhood and adult diarrhea and associated with traveler's diarrhea in developing countries. It produces diarrhea through the action of cholera-like heat-labile (LT) or/and heat-stable (ST) enterotoxins (Anderson *et al.*, 2019, Qadri *et al.*, 2005). ST strains (heat-stable ETEC-est gene-36.4%) were found to be more prevalent than LT strains (heat-labile ETEC-elt gene) in the present study. In this study all ETEC strains were found to possess marker genes either for heat-labile (elt) or heat-stable (est) enterotoxin, no isolate was expressing both genes simultaneously. In contrast to this study, the *elt* gene was detected more frequently than the *est* gene by some other workers from different parts of the country (Singh *et al.*, 2019; Raghvan *et al.*, 2017; Singh *et al.*, 2015). Thus suggesting that ETEC strains producing heat-stable as well as heat-labile toxins are prevalent in the country.

All the intestinal isolates except 5 (1.6%) from Northern India belonged to any one of the targeted pathotypes. The pathotypes were not found uniformly distributed throughout the country (p<0.05). Seasonal variations in the detection of DEC pathotypes have been observed in some studies with frequent detection in rainy, summer, or winter seasons (Mohanty *et al.*, 2021; Gonzalez *et al.*, 2013). However, DEC pathotypes in this study were detected in all seasons except in five isolates in the winter season (p>0.05).

Enterohemorrhagic *E. coli* is the most pathogenic DEC strain involved in the most severe pathogenic systematic infections leading to hemorrhagic colitis and hemorrhagic uremic syndrome. Both O157:H7 and non-O157:H7 serotypes of this strain are involved in many outbreaks in developed countries (Yang *et al.*, 2017).

EHEC pathotype was found to be very scanty in this study as the hlyA gene could be detected in very few (9 out of 302; 3 % only) study samples (p>0.05). The marker gene for hemorrhagic *E. coli* (hlyA) was detected only among isolates from eastern and northern India with very low frequencies (Table 5.4). Similarly, other studies, from India have also detected EHEC with very low-frequency rates (Mandal *et al.*, 2017; Singh *et al.*, 2015; Rajenderan *et al.*, 2009).

These findings show that though EHEC is a very common pathotype in the developed world, outbreaks in developing countries including India due to this DEC pathotype are less frequent. Reasons for low EHEC incidence rates in India and other low and middle-income countries are a matter of further research in the area. One study in Mexico has correlated low incidence rates with the presence of protective antibody levels against EHEC lipopolysaccharides in the normal population against EHEC surface antigens (Navarro *et al.*, 2003).

However, the occurrence of high incidence rates among animals in India and the isolation of EHEC isolates from human infections in certain incidences pose a public health concern due to this most virulent *E. coli* pathotype (Purwar *et al.*, 2016).

All isolates possessing the hlyA gene were found to be non-O157 serotypes in the present study. Thus indicating risks of non-O157 STEC cases similar to non-O157 *E. coli* outbreaks reported from developed countries (Yang *et al.*, 2017; Frank *et al.*, 2011; King *et al.*, 2012).

Recently, enteroaggregative *E. coli* is emerging as one of the important diarrheal agents in developing countries including India (Modgil *et al.*, 2020; Gupta *et al.*, 2016; Kaur *et al.*, 2010). The virulence gene marker for EAEC (CVD432) was also detected in the present study in 8.3% of the isolates (25/302). Though enteroaggregative strains (CVD432 gene) were also found very less frequently; however, it was found to be more prevalent than enterohemorrhagic strains (hlyA gene). EAEC has been reported from different parts of the country with varying frequencies. EAEC was detected as the most prevalent DEC in various studies from

different locations (Raghvan *et al.*, 2017; Mandal *et al.*, 2017; Singh *et al.*, 2015; Dutta *et al.*, 2013) while other studies detected EAEC with a relatively low prevalence rate (Singh *et al.*, 2019; Natrajan *et al.*, 2018).

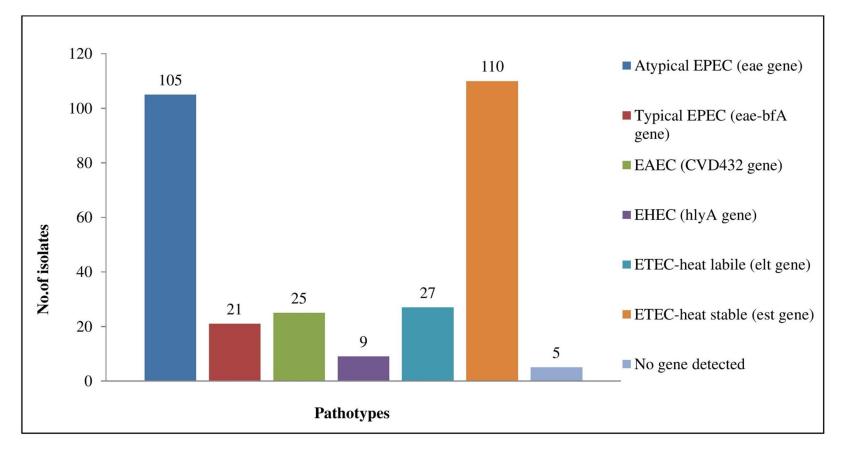


Figure 5.4: Pathotype genetic markers detected among intestinal E. coli isolates

Five isolates in which none of the targeted virulence genes were detected may be carrying other virulence genes not covered in the present study and may be representing other less prevalent pathotypes in the country i.e. EIEC (Chellapandi *et al.*, 2017).

DEC pathogenicity is not limited to the established pathotypes as newer variants with hybrid DEC pathotypes are emerging rapidly (Bhave *et al.*, 2018; Nyholm *et al.*, 2015). This study did not notice any hybrid pathotype with the limited range of markers used to screen only the most predominant pathotypes in India.

An association between *E. coli* serotype and pathotype has been shown by Kauffman as early as 1947 and thereafter various studies in support have been published and very few serogroups are known to be associated with different *E. coli* pathotypes (Tamura *et al.*, 1996; Orskov and Orskov, 1984 and 1992; Evans and Evans, 1983; Kauffmann, 1947). In the present study wide range of serotypes was found to be associated with each pathotype detected in the present study (Table 5.5).

No significant association between pathotype and serotype was found in the present study (p>0.05). Pathotype assignment merely based on its serotype is thus not a good practice hence confirmation of pathotype characteristics by phenotypic analysis or through the detection of marker genes should be considered.

Thus results of the present study and similar studies from India indicate that EPEC and ETEC are the two most prevalent DEC pathotypes in the country while EAEC is emerging as a common DEC pathotype. Incidences of EHEC human infections are relatively remote however threats of EHEC outbreaks remain as EHEC is routinely reported from animal and human infections though with very low incidence rates.

Geographical		DEC Pathotype n (%)										
location	EP	EC	EAEC EHEC		ЕТ	TEC	None					
	eae	eae+bfpA	CVD432	hlyA	Elt	Est	None					
Central India	6 (20.7)	0 (0.0)	4 (13.8)	0 (0.0)	0 (0.0)	19 (65.5)	0 (0.0)					
Eastern India	44 (45.4)	0 (0.0)	5 (5.2)	3 (3.1)	10 (10.3)	35 (36.1)	0 (0.0)					
Northern India	40 (32.0)	16 (12.8)	12 (9.6)	6 (4.8)	12 (9.6)	34 (27.2)	5 (4.0)					
Southern India	14 (30.4)	3 (6.5)	3 (6.5)	0 (0.0)	4 (8.7)	22 (47.8)	0 (0.0)					
Western India	1 (20.0)	2 (40.0)	1 (20.0)	0 (0.0)	1 (20.0)	0 (0.0)	0 (0.0)					
TOTAL	105 (34.8)	21 (7.0)	25 (8.3)	9 (3.0)	27 (8.9)	110 (36.4)	5 (1.7)					

Table 5.4: DEC pathotypes in different geographical locations in India

Table 5.5: Serotypes associated with different pathotypes

Pathotype	Associated serotype
aEPEC-	UT (24),O2 (5), O5 (1), O8 (11), O9 (1), O11 (5), O17 (2), O20 (4), O22 (6), O34 (1), O35
n=105	(5), O83 (6), O84 (3), O86 (2), O88 (2), O101 (1), O114 (1), O119 (3), O126 (13), O128 (1),
	O141 (2), O149 (4), O157 (1), Rough (1)
tEPEC	UT (2), O7 (1), O8 (2), O11 (2), O20 (1), O22 (1), O49 (1), O83 (3), O88 (1), O101 (1),
n=21	O119 (2), O126 (2), O128 (1), Rough (1)
EAEC	UT (4), O2 (2), O8 (2), O17 (3), O22 (2), O35 (1), O83 (2), O84 (1), O88 (1), O126 (3),
n= 25	0128 (2), 0149 (2)
EHEC	UT (1), O7 (1), O22 (1), O35 (1), O88 (1), O119 (1), O126 (2), O149 (1)
n = 9	
ETEC-LT	UT (3), O8 (1), O9 (2), O20 (2), O22 (4), O84 (2), O88 (2), O98 (1), O119 (1), O126 (6),
N = 27	0141 (3),
ETEC-ST	UT (19), O1 (1), O2 (3), O7 (3), O8 (7), O9 (1), O11 (3), O16 (1), O17 (3), O20 (3), O22
n = 110	(16), O34 (1), O35 (2), O49 (2), O63 (1), O83 (3), O88 (10), O98 (1), O101 (2), O116 (1),
	O118 (1), O119 (1), O120 (1), O126 (2), O128 (4), O141 (5), O149 (6), O157 (5), Rough (2)
None	O2 (1), O8 (1), O83 (1), O84 (1), O149 (1)
n = 5	

5.4. Virulence Factors in intestinal and extra-intestinal isolates

The most critical common virulence characteristics in intestinal and extra-intestinal E. *coli* infections are the adhesion and colonization of bacteria to host cells and tissues. Among the virulence characteristics evaluated in the present study Cell surface hydrophobicity, biofilm, colicin, and siderophores are directly or indirectly associated with adhesion and colonization of E. *coli* in the host environment and further pathogenicity. All these virulence factors were expressed by a very large percentage of isolates in the present study among isolates from all over the country.

5.4.1. Cell surface hydrophobicity

Cell surface hydrophobicity is an indicator of the adhesion capability of microorganisms to hydrophobic biotic and abiotic surfaces. Due to this characteristic, microorganisms, on one hand, are beneficial as they can effectively adhere to hydrophobic pollutants and their effective decomposition, on the other hand, due to the same characteristic; microorganisms become a nuisance as it is associated with enhanced pathogenicity due to their adhesion to cellular and non-cellular surfaces resulting in colonization of the bacteria and tissue invasion (Heilmann, 2011; Obuekwe 2009; Goulter, 2009). Aggravated adhesive nature due to CSH help in the initiation of biofilm formation and resistance to biological and biochemical factors in the host thus is considered an important virulence factor (Mirani, 2018). In the present study Salt aggregation test (SAT) was used to study the hydrophobicity of the pathogenic E. coli under evaluation. SAT is considered a rapid and simple assay to detect hydrophobicity and adhesion of bacterial cells to surfaces (Mythreyi et al., 2011). In this study, this virulence characteristic among study samples with a 69.1% positivity rate was detected. Pathogenic E. coli exhibiting CSH in large percentages has been reported in various other studies also indicating the importance of this virulence property among pathogenic E. coli (Varshney and Dimri, 2021; Gowthan and Gopinath, 2016; Fakruddin et al., 2012).

Cell surface hydrophobicity is an important factor in nosocomial infections as microorganisms with hydrophobic characteristics can easily colonize medical implants like catheters, pacemakers, or prosthetic heart valves which are made of hydrophobic materials like; Teflon, polyvinyl chloride, silicone, steel, etc. Colonization of these biomaterials results in persistent, recurrent, and drug-resistant nosocomial infections. Strategies such as the use of anti-microbial colonization of natural materials like gluten, silk, fibroin, fibrinogen, etc., use of polymeric nanofibers on polystyrene, and use of surface-modified material by coating the surfaces with noble nanoparticles i.e. silver nano-particles have been found very useful in decreasing microbial adhesion and biofilm formation on surfaces of these materials (Krawsoska and Sigler, 2014).

The isolates in this study were from cases of diarrhea (intestinal) and UTI (extra-intestinal) and in both the infection sites adhesion to the host cell surface is the first and foremost requirement for successful infection, more importantly in the gastrointestinal tract as here pathogenic bacteria experience competition with commensal organisms in addition to flushing actions due to fecal discharge and peristaltic movements of the bowel. Cell surface hydrophobicity has been commonly observed in the majority of intestinal as well as extra-intestinal pathogenic *E. coli* in various studies (Varshney and Dimri, 2021; Abd El-Baky *et al.* 2020; Gowthan and Gopinath, 2016). In the present study, a significantly more percentage of intestinal isolates (75.2%) were found to be hydrophobic in comparison to extra-intestinal isolates (61.2%) (p<0.05) [Table 5.6].

Cell surface hydrophobicity characteristic was found in large percentages among both intestinal as well as extra-intestinal isolates irrespective of geographical locations (p>0.05) [Table 5.7]. Cell surface hydrophobicity with a positivity rate ranging from 56.5% in isolates from Western India to 74.6% among isolates from Eastern India was observed. Other studies from different geographical locations in India have also reported varying positivity rates of cell surface hydrophobicity (Bawankar, 2018; Kaira and Pai, 2018; Growther and Yasotha, 2016; Shruthi, 2012).

Study isolates	СЅН	СЅН	Total
	Positive	Negative	N (%)
	n (%)	n (%)	
Intestinal isolates	227 (75.2%)	75 (24.8%)	302 (100%)
Extra-intestinal isolates	142 (61.2%)	90 (38.8%)	232 (100%)
Total	369 (69.1%)	165 (30.9%)	534 (100%)

Table 5.6: Frequencies of isolates showing cell surface hydrophobicity (CSH)

Table 5.7: Region-wise frequencies of isolates showing cell surface hydrophobicity (CSH)

Geographical	Intestinal Iso	lates	Extra-intest	inal isolates	es Total CSH		
location	CSH	CSH	СЅН	CSH	positive		
	positive	negative	positive	negative			
Central India	21(72.4%)	8 (27.6%)	20 (66.7%)	10 (33.3%)	41 (69.5%)		
Eastern India	74 (76.3%)	23 (23.7 %)	26 (70.3%)	11 (29.7%)	100 74.6%)		
Northern India	93 (74.4%)	32 (25.6%)	11 (47.8%)	12 (52.2%)	104 70.3%)		
Southern India	36 (78.3%)	10 (21.7%)	75 (60.5%)	49 (39.5%)	111 65.3%)		
Western India	3 (60%)	2 (40%)	10 (55.6%)	8 (44.4%)	13 (56.5%)		
Total	227 (75.2%)	75 (24.8%)	142 61.2%)	90 (38.8%)	369 69.1%)		

Cell surface hydrophobicity and "O" serogroup antigens are associated with cell surface moieties i.e. cell membrane and cell wall respectively (Singleton, 2005). Studies demonstrating the association between serotype and cell surface hydrophobicity are lacking. In some of the studies, though, study samples have been subjected to evaluate both these characteristics the study reports are silent about any association between these two virulence characteristics (Growther and Yasotha, 2016). In the present study, a wide range of "O" serogroups were found to be associated with intestinal and extra-intestinal hydrophobic isolates (Table 5.8). No preference among different serotypes for cell surface hydrophobicity was observed among intestinal as well as extra-intestinal isolates which indicates that these two cell surface characteristics are not associated at all. All six O157 intestinal isolates were found to be hydrophobic. O157 infections are usually associated with food-borne infections hydrophobicity is of major importance in these isolates as this property can effectively aid the attachment of such isolates to food processing, packaging, and transport equipment and thus food contamination and transmission to the consumers.

A high *E. coli* infection incidence rate is observed during summer, rainy and post-monsoon seasons as the transmission of *E. coli* through contaminated food and water becomes easy during these seasons (Deeny *et al.*, 2015; Schwab *et al.*, 2014; Al-Hasan, 2009).

The expression of virulence factors by isolates during these seasons can further increase the chances of colonization of this microorganism to establish infection. Cell surface hydrophobicity was detected in a very high percentage in *E. coli* isolates irrespective of the seasons (p>0.05) [Table 5.9].

	Overall CSH	CSH positive	CSH positive
"O" Sanaguar	positive	Intestinal	Extra-
"O" Serogroup	isolates	isolates n (%)	Intestinal
	n (%)		isolates n (%)
UT; N=96	65 (67.7)	40 (41.7)	25 (26.0)
O1; N=5	2 (40.0)	0 (0)	2 (40.0)
O2; N=16	13 (81.3)	8 (50.0)	5 (31.3)
O4; N=3	0 (0.0)	0 (0)	0 (0)
O5; N=1	1 (100.0)	1 (100.0)	0 (0)
O7; N=21	15 (71.4)	3 (14.3)	12 (57.1)
O8; N=46	33 (71.7)	15 (32.6)	18 (39.1)
O9; N=6	5 (83.3)	4 (66.7)	1(16.7)
O11; N=38	19 (50.0)	8 (21.1)	11 (28.9)
O16; N=2	2 (100.0)	1 (50.0)	1 (50.0)
O17; N=13	9 (69.2)	7 (53.8)	2 (15.4)
O20; N=20	11 (55.0)	6 (30.0)	5 (25.0)
O22; N=33	26 (78.8)	25 (75.8)	1 (3.0)
O34; N=5	1 (20.0)	1 (20.0)	0 (0)
O35; N=25	21 (84.0)	8 (32.0)	13 (52.0)
O49; N=5	4 (80.0)	3 (60.0)	1 (20.0)
O63; N=3	3 (100.0)	1 (33.3)	2 (66.7)
O83; N=25	14 (56.0)	7 (28.0)	7 (28.0)
O84; N=7	5 (71.4)	5 (71.4)	0 (0)
O86; N=2	2 (100.0)	2 (100.0)	0 (0)
O88; N=33	21 (63.6)	13 (39.4)	8 (24.2)
O89; N=7	4 (57.1)	0 (0)	4 (57.1)
O98; N=2	1 (50.0)	1 (50.0)	0 (0)
O101; N=8	5 (62.5)	3 (37.5)	2 (25.0)

Table 5.8: Serotype-wise expression of Cell Surface Hydrophobicity

	Overall CSH	CSH positive	CSH positive
"O" Serogroup	positive	Intestinal	Extra-
O Scrögröup	isolates	isolates n (%)	Intestinal
	n (%)		isolates n (%)
O114; N=1	0 (0.0)	0 (0)	0 (0)
O116; N=2	2 (100.0)	1 (50.0)	1 (50.0)
O117; N=1	1 (100.0)	0 (0)	1 (100.0)
O118; N=2	0 (0.0)	0 (0)	0 (0)
O119; N=10	9 (90.0)	8 (80.0)	1 (10.0)
O120; N=4	3 (75.0)	1 (25.0)	2 (50.0)
O126; N=28	20 (71.4)	20 (71.4)	0 (0)
O128; N=8	7 (87.5)	7 (87.5)	0 (0)
O141; N=23	20 (87.0)	8 (34.8)	12 (52.2)
O149; N=22	16 (72.7)	11 (50.0)	5 (27.7)
O157; N=7	6 (85.7)	6 (85.7)	0 (0)
Auto-agglutinating; N=4	3 (75.0)	3 (75.0)	0 (0)
TOTAL; N=534	369 (69.1)	227 (42.5)	142 (26.6)

Season	n Intestinal isolates Extra-intestinal isolat		olates	Overall					
	CSH positive	CSH negative	Total	CSH Positive	CSH negative	Total	CSH positive	CSH negative	Total
Summer	30 (81.1)	7 (18.9)	37	39 (65.0)	21 (35.0)	60	69 71.1%)	28 (28.9%)	97
Winter	60 (71.4)	24 (28.6)	84	10 (55.6)	8 (44.4)	18	70 68.6%)	32 (31.4%)	102
Monsoon	119 (76.3)	37 (23.7)	156	93 (60.4)	61 (39.6)	156	212 68.4%)	98 (31.6%)	310
Post Monsoon	18 (72.0)	7 (28.0)	25	-	-	-	18 (72.0%)	7 (28.0%)	25

 Table 5.9: Seasonality and Cell surface hydrophobicity

5.4.2. Biofilm

Microbial biofilms have received the attention of researchers due to their economic, ecological, and medical importance. Biofilm provides multi-factorial advantages for survival in adverse environments and further propagation of micro-organisms. Biofilms shield bacteria from the negative influence of the surrounding environment (Abdallah et al. 2014; Juhna et al. 2007) help in its disperse (Petrova and Sauer 2016) and from inactivation by antibiotics, chemical agents, and attack by predators (Moreira et al. 2015; DePas et al. 2014; Fux et al. 2005). Biofilm-forming capabilities of microbes have both beneficial effects as well as harmful effects on human society. Microbial biofilms have been utilized to the benefit of human beings in many industrial applications, especially in the field of biotechnology (Maksimova, 2014) however, biofilms have also created a nuisance in some industries and medicine (Galie et al. 2018; Jamal et al. 2018; Srivastava and Bhargava 2016). Biofilms have played a detrimental role in medicine leading to many difficult-totreat recurrent severe chronic infections such as chronic prostatitis, chronic pneumonia in patients with cystic fibrosis, chronic osteomyelitis, chronic otitis media chronic cystitis. The use of biofilm colonized biomaterial i.e. contact lenses, vocal cord prosthesis, intravenous and urethral catheters prosthetic heart valves, orthopedic devices, etc. is also an important reason for biofilm-associated nosocomial infections (Toretta et al. 2019; Hoiby et al. 2017; Kackar et al. 2017; Sabir et al. 2017; Somogyi-Ganss et al. 2017; Zimmerli and Sendi 2017; Bartoletti et al. 2014; Tenke et al. 2012; Brady et al. 2008; Donlan 2001). Recurrent nosocomial urogenital infections are widely related to biofilms colonized surfaces mainly surgical articles and catheters (Karigoudar et al. 2019; Dash et al. 2018; Sharma et al. 2016; Sanchez et al. 2013).

In the present study, a large percentage (53.6% to 59%) of isolates was found to form biofilm as detected using three different methods. The capability of the *E. coli* isolates to form biofilm was seen irrespective of their geographical source in this study. The percentage of isolates from different regions in the present study ranged from 74-91% from western India, 59- 69% from eastern, southern (52-66%), and central India (59-63%) to 28-55% in northern India (p<0.05), data is depicted in Table 5.10. Biofilm-forming characteristics have also been reported as an important virulence mechanism of pathogenic *E. coli* in various other studies in different parts of the country (Atray and Atray 2015; Suman *et al.* 2007).

Geographical	Frequency of Biofilm producing E. coli isolates							
Area	TCP method	TM method	CRA method					
	n (%age)	n (%age)	n (%age)					
Central India	37 (63%)	35(59%)	35(59%)					
Eastern India	86 (64%)	79 (59%)	93 (69%)					
Northern India	42 (28%)	59 (40%)	82 (55%)					
Southern India	112 (66%)	95 (56%)	88 (52%)					
Western India	21 (91%)	18 (78%)	17 (74%)					
TOTAL	298 (55.8%)	286 (53.6%)	315 (59%)					

Table 5.10: Percentages of biofilm-producing *E. coli* isolates from different regions of the country

Studies on biofilm formation by pathogenic *E. coli* are mostly reported on extra-intestinal *E. coli* especially associated with uropathogenic *E. coli*. However, pathogenic intestinal *E. coli* strains were also observed to be biofilm producers in some studies (Pereira *et al.* 2010; Bokranz *et al.* 2005). Biofilm formation in this study was detected in both intestinal as well as extra-intestinal isolates. Biofilm-forming potential among extra-intestinal isolates was detected in more number isolates than in intestinal isolates by TCP and TM methods (p < 0.05), however, the reverse was observed in the CRA method which was however not significant (p>0.05). Formation of biofilms involving more than single bacterial species i.e. mixed biofilms are known to produce strong synergetic associations with a very high level of drug and disinfectant resistance than biofilms involving a single bacterial species (Burmolle *et al.* 2006; Kara *et al.* 2006; Al-Bakri *et al.* 2005; Leriche *et al.* 2003). Intestinal pathogenic *E. coli* strains may encounter many intestinal

commensal and other pathogenic bacterial species during intestinal infections. It would be an interesting matter of research if intestinal pathogenic *E. coli* strains from synergetic mixed biofilms with other species, their role to resist intestinal peristaltic movements, defense mechanisms, and antimicrobial treatment.

The strength of biofilm in the majority of the isolates was found moderate among extra-intestinal as well as intestinal isolates (Table 5.11). The serotype of the strains is specifically reported to be associated with biofilm formation and its strength (Noie Oskouie *et al.* 2019; Wang *et al.* 2012; Martinez-Medina *et al.* 2009).

No association between serotype and biofilm formation was observed in the present study (Man Whitney U test; p-value > 0.05), though isolates with some of the "O" serogroups i.e. O7, O8, O22, O35, O88, O141, O157 were found to produce biofilms more frequently than isolates of other serotypes (Table 5.12 and 5.13). "O" serogroups O125, O101, O25, O16, O15, and O8 are highly biofilm-forming in some earlier studies from India (Tomar *et al.* 2018; Saikia *et al.* 2016). More studies on this subject are sought.

Isolates belonging to serotype O157, which is strongly linked to severe STEC infections, were also found to form moderate to strong biofilms in the present study. As STEC infections involving serotype O157 are usually acquired through food contaminated from biofilms formed on surfaces of food processing utensils and equipment, the use of validated equipment cleaning and sanitization processes is crucial in the control of biofilms on their surfaces and thus avoiding associated foodborne outbreaks.

Bio	Frequency of biofilm-producing <i>E. coli</i> isolates											
Biofilm	In	testinal <i>E</i>	. <i>coli</i> isola	ates	Extra	intestina	l <i>E. coli</i> is	solates	Overall			
		Ν	(%)			N ((%)			N (%)	
Detection Method	Weak	Moderate	Strong	Total	Weak	Moderate	Strong	Total	Weak	Moderate	Strong	Total
ТСР	7	94	52	153	7	105	33	145	14	199	85	298
	(2.3)	(31.1)	(17.2)	(50.7)	(3.0)	(45.3)	(14.2)	(62.5)	(2.6)	(37.3)	(15.9)	(55.8)
TM	62	81	7	150	51	80	5	136	113	161	12	286
	(20.5)	(26.8)	(2.3)	(49.7)	(22.0)	(34.5)	(2.2)	(58.6)	(21.2)	(30.1)	(2.2)	(53.6)
CRA	44	80	61	185	20	58	52	130	64	138	113	315
	(14.6)	(26.5)	(20.2)	(61.3)	(8.6)	(25.0)	(22.4)	(56.0)	(12.0)	(25.8)	(21.2)	(59.0)

Table 5.11: Percentage of strong, moderate, or weak biofilm producers among intestinal and extra-intestinal *E. coli* isolates.

Seasonal biofilm-related and hospital infections have been reported, increasing number of hospital infections are found to be in summer (Ahmed *et al.*, 2013; Eber *et al.*, 2011). In the present study, *E. coli* isolates (intestinal and extraintestinal collectively) were detected to form biofilms in all the seasons with varying frequencies, biofilm was detected in more percentages of isolates from summer, monsoon, and post-monsoon seasons than in isolates from the winter season (p<0.05). This trend was the same among intestinal isolates, however, the frequency of biofilm producers in winter isolates among extra-intestinal *E. coli* was also found to be very high (77.8%-94.4%) as depicted in table 5.14. Detection of biofilm-forming isolates in high percentages in serogroups frequently associated with *E. coli* infections and during summer and post-monsoon seasons which are peak seasons of *E. coli* infections is suggestive of the importance of biofilms in *E. coli* epidemiology.

	Biofilm-TCP	Biofilm	Biofilm
	method	Formation in	Formation in
"O" Serogroup	n (%)	Intestinal	Extra-
		isolates n (%)	Intestinal
			isolates n (%)
UT; N=96	53 (55.2)	31 (32.3)	22 (22.9)
01; N=5	2 (40.0)	0 (0)	2 (40.0)
O2; N=16	9 (56.3)	5 (31.3)	4 (25.0)
O4; N=3	2 (66.7)	0 (0)	2 (66.7)
O5; N=1	1 (100.0)	1 (100.0)	0 (0)
O7; N=21	17 (81.0)	4 (19.0)	13 (61.9)
O8; N=46	29 (63.0)	12 (26.1)	17 (36.9)
O9; N=6	2 (33.3)	1 (16.7)	1 (16.7)
O11; N=38	20 (52.6)	6 (15.8)	14 (36.8)
O16; N=2	2 (100.0)	1 (50.0)	1 (50.0)
O17; N=13	6 (46.2)	2 (15.4)	4 (30.8)
O20; N=20	10 (50.0)	4 (20.0)	6 (30.0)
O22; N=33	20 (60.6)	17 (51.5)	3 (9.1)
O34; N=5	2 (40.0)	2 (40.0)	0 (0)
O35; N=25	15 (60.0)	4 (16.0)	11 (44.0)
O49; N=5	2 (40.0)	0 (0)	2 (40.0)
O63; N=3	3 (100.0)	1 (33.3)	2 (66.7)
O83; N=25	10 (40.0)	3 (12.0)	7 (28.0)
O84; N=7	0 (0.0)	0 (0)	0 (0)
O86; N=2	0 (0.0)	0 (0)	0 (0)
O88; N=33	20 (60.6)	7 (21.2)	13 (39.4)
O89; N=7	2 (28.6)	0 (0)	2 (28.6)
O98; N=2	2 (100.0)	2 (100.0)	0 (0)
O101; N=8	3 (37.5)	2 (25.0)	1 (12.5)

Table 5.12: Serotype-wise expression of Biofilm formation

	Biofilm-TCP	Biofilm	Biofilm	
	method	Formation in	Formation in	
"O" Serogroup	n (%)	Intestinal	Extra-	
		isolates n (%)	Intestinal	
			isolates n (%)	
O114; N=1	0 (100.0)	0 (0)	0 (0)	
O116; N=2	1 (50.0)	1 (50.0)	0 (0)	
O117; N=1	1 (100.0)	0 (0)	1 (100.0)	
O118; N=2	1 (50.0)	1 (50.0)	0 (0)	
O119; N=10	5 (50.0)	3 (30.0)	2 (20.0)	
O120; N=4	3 (75.0)	0 (0)	3 (75.0)	
O126; N=28	18 (64.3)	18 (64.3)	0 (0)	
O128; N=8	3 (37.5)	3 (37.5)	0 (0)	
O141; N=23	16 (69.6)	9 (39.1)	7 (30.4)	
O149; N=22	10 (45.5)	6 (27.3)	4 (18.2)	
O157; N=7	6 (85.7)	5 (71.4)	1 (14.3)	
Auto-agglutinating; N=4	2 (50.0)	2 (50.0)	0 (0)	
TOTAL; N=534	298 (55.8)	153 (28.7)	145 (27.1)	

TCP Method	TM Method	CRA Method		
Biofilm producers	Biofilm producers	Biofilm producers		
n/N (%)	n/N (%)	n/N (%)		
17/21 (81.0)	13/21 (61.9)	14/21 (66.7)		
29/46 (63)	32/46 (69.6)	35/46 (76.1)		
20/33 (60.6)	25/33 (75.8)	28/33 (84.8)		
15/25 (60.0)	18/25 (72.0)	15/25 (60.0)		
20/33 (60.6)	20/33 (60.6)	21/33 (63.6)		
16/23 (69.6)	19/23 (82.6)	17/23 (73.9)		
6/7 (85.7)	4/7 (57.1)	5/7 (71.4)		
	Biofilm producers n/N (%) 17/21 (81.0) 29/46 (63) 20/33 (60.6) 15/25 (60.0) 20/33 (60.6) 16/23 (69.6)	Biofilm producers n/N (%)Biofilm producers n/N (%)17/21 (81.0)13/21 (61.9)29/46 (63)32/46 (69.6)20/33 (60.6)25/33 (75.8)15/25 (60.0)18/25 (72.0)20/33 (60.6)20/33 (60.6)16/23 (69.6)19/23 (82.6)		

Table 5.13: Serogroups with predominant biofilm-forming potential

Table 5.14: Seasonality	and biofilm formation am	ong pathogenic <i>E. coli</i> isolates

Season	n Overall Frequency of biofilm formation in different methods n (%)		Frequency of biofilm formation among intestinal isolates in different methods n (%)			Frequency of biofilm formation among extra-intestinal isolates in different methods n (%)			
	ТСР	ТМ	CRA	ТСР	ТМ	CRA	ТСР	ТМ	CRA
Summer	68 (70.1)	69 (71.1)	68 (70.1)	27 (73)	31 (83.8)	31 (83.8)	41 (68.3)	38 (63.3)	37 (61.7)
Winter	49 (48)	49 (48)	57 (55.9)	32 (38.1)	33 (39.3)	43 (51.2)	17 (94.4)	16 (88.9)	14 (77.8)
Monsoon	160 (51.6)	154 (49.7)	181 (58.4)	73 (46.8)	72 (46.2)	102 (65.4)	87 (56.5)	82 (53.2)	79 (51.3)
Post-monsoon	21 (84)	14 (56)	9 (36)	21 (84)	14 (56)	9 (36)	0	0	0
TOTAL	298 (55.8)	286 (53.6)	315 (59)	153 (50.7)	150 (49.7)	185 (61.3)	145 (62.5)	136 (58.6)	130 (56)

Detection of biofilms may play an important role in managing hospitalacquired infections because biofilm-associated infections tend to be more difficult to treat due to enhanced antimicrobial resistance and escape from the host's immune defenses and medicinal strategies, thus biofilms require special consideration for appropriate management and treatment of such infections. Various methods like microtiter plates, biofilm coupon techniques, bioluminescent assay, Calgary device, PCR, and scanning electron microscopic examination are used for the detection of biofilms in clinical laboratories (Triveni et al. 2018). The three most frequently used phenotypic biofilm screening methods in clinical isolate are Tissue culture microtiter plate assay (TCP), tube adherence method (TM), and congo red agar (CRA), TCP is considered the gold standard (Dhanalakshmi et al. 2018; Magna et al. 2018; Triveni et al. 2018; Ruchi et al. 2015; Hassan et al. 2011). However, the TCP method has multiple steps and requires plate readers, tissue culture plates, stains, and reagents to perform the test, which makes it not a very favorable method for routine screening of clinical isolates, especially in resource-limited laboratories. TM and CRA methods on the other hand advantage of the use of limited reagents and media and no special equipment and their ease of performance, which make these suitable for routine screening even in resource-limited laboratories. These methods have been shown to detect biofilms in some organisms with varying sensitivity and specificity in different studies (Dhanalakshmi et al. 2018; Magna et al. 2018; Triveni et al. 2018; Tayal et al. 2015; Hassan et al. 2011; Garcia et al. 2004). Pathogenic E. coli isolates in the present study were subjected to these three phenotypic biofilm detection methods and test characteristics of TM and CRA methods to detect biofilms in intestinal and extraintestinal infectious E. coli were compared with the TCP method. Test characteristics (accuracy, sensitivity, specificity, and predictive values) of both TM and CRA methods were found to be reasonably good (Table 5.15). The tube method was found to be more accurate (70.79) and specific (68.07) and have better predictive values in comparison to the CRA method in this study (Table 5.15). Other studies have also reported CRA and TM methods possessing reasonably good test characteristics, thus suggesting that these methods can be employed in routine screening of biofilms in pathogenic E. coli isolates especially in resource-limited laboratories (Tayal et al., 2015; Hassan et al., 2011).

Test Method	Test Characteristics				
	Accuracy (%)	Sensitivity (%)	Specificity (%)	Positive predictive value (%)	Negative predictive value (%)
Tissue culture Plate (TCP) Method	100	100	100	100	100
Tube Method (TM)	70.79	71.14	68.64	74.13	65.32
Congo Red Agar (CRA) Method	64.98	71.48	56.78	67.62	61.19

Table 5.15: Test Characteristics of Tube and The congo red agar methods in reference to the TCP Method

5.4.3. Siderophores

The affinity of siderophores for iron molecules has led to their wide applicability in different fields, in medicine potential of siderophores has been seen as a vaccine candidate, drug delivery system (Trojan Horse) anti-infectious agent, and epidemiological tool (Fan and Fang, 2021; Ghosh *et al.*, 2020; Prabhakar, 2020; Shah *et al.*, 2018; Vila *et al.*, 2016; Ali and Vidhale, 2013; Nagoba and Vedpathak, 2011). Siderophore production is an important virulence factor of pathogenic *E. coli* which helps it to survive and colonize under iron stress host environment for establishing different clinical manifestations (Sarowska *et al.*, 2019; Robinson *et al.*, 2018; Saleem *et al.*, 2017; Watts *et al.*, 2012; Demir *et al.*, 2004). Microorganisms are diverse in the expression of the type of siderophores and mainly two structural types of siderophores i.e. various structural types of siderophores catecholate and hydroxamate are expressed by pathogenic *E. coli* strains (Khan *et al.*, *al.*, *al*

2018; Miethke and Marahiel, 2007). In this study, the prevalence of this virulence factor and its type among *E. coli* isolates was also evaluated.

On CAS agar 45.1% of isolates were found to express siderophores which were significantly more (p<0.05) in extra-intestinal *E. coli* isolates than the intestinal isolates (Fig.5.5). Detection of siderophores on CAS agar was evident among isolates from all geographical regions thus indicating its importance as an important *E. coli* virulence factor. Various other studies have also found extra-intestinal *E. coli strains producing* siderophores in very large percentages (Sarowska *et al.*, 2019; Vagrali, 2009).

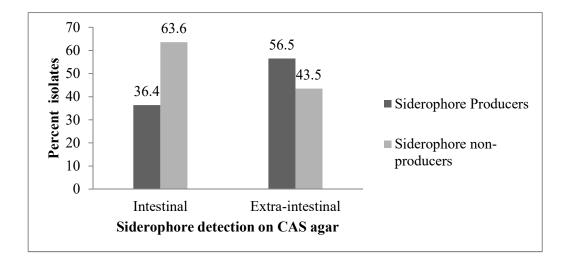


Figure 5.5: Rates of Siderophore Producing intestinal and extra-intestinal pathogenic *E. coli* isolates on CAS agar

Though regional variations (p<0.05) in the expression of siderophores i.e. percentages of isolates releasing siderophores among Southern, Eastern, and Central region isolates than in Western and Northern isolates were observed in this study (Table 5.16), however, other studies have reported a very large percentage of isolates from Western and Northern India expressing siderophores (Bawankar, 2018; Mital *et al.*, 2014). Thus *E. coli* isolates in all geographical locations of India seem to possess this virulence characteristic.

Geographical	Siderophore detec	Total No. of isolates	
Area	agar		
	Siderophore Siderophore		
	Producers	Non-Producers	
	n (%)	n (%)	
Central India	31 (52.5%)	28 (47.5%)	59
Eastern India	75 (56%)	59 (44%)	134
Northern India	33 (22.3%)	115 (77.7%)	148
Southern India	99 (58.2%)	71 (41.8%)	170
Western India	3 (13%)	20 (87%)	23
TOTAL	241 (45.1%)	293 (54.9%)	534

Table 5.16: Siderophore-producing pathogenic *E. coli* isolates from different geographical regions.

On chemical characterization, pathogenic E. coli were found to express hydroxamate-type(35.4% i.e. 34.4% hydroxamate alone and 1.1% with catechol) siderophore more frequently than the catechol-type siderophores (12.5% i.e. 11.4% catechol alone and 1.1% along with hydroxamate) [p<0.005]. Within intestinal isolates frequency of detection of hydroxamate-type siderophore was expressed more than catechol-type siderophore among intestinal isolates (p < 0.005) with rates of 36.1% and 3.3% respectively. While both types of siderophores were expressed in a large percentage (34.5% hydroxamate-type, and 24.6% catechol-type) in extraintestinal isolates (Table 5.17, Figure 5.6, and Figure 5.7). Comparing isolates from two sites, no significant difference was observed in the expression of hydroxamatetype siderophore between intestinal and extra-intestinal *E. coli* isolates (p>0.05); however extra-intestinal isolates expressed catechol-type siderophore more frequently than intestinal isolates (p < 0.05). Detection of hydroxamate and catecholate in large percentages among pathogenic E. coli strains has been reported in other studies also and catechol-type siderophore has also been recognized as an important virulent factor associated with extra-intestinal E. coli (Searle, et al., 2015; Caza et al., 2011;

Demir and Kaleli, 2004). The results of the present study are thus consistent with earlier studies.

Overall, siderophores could be detected in 56.7% of the total 534 isolates, including 62 of 293 isolates in which siderophores were not detected on CAS agar. No siderophore could be detected in a total of 43.3% of isolates by any of the methods. No hydroxamate or catechol siderophore types could be detected in 53 of the 241 isolates in which siderophores were detected on CAS agar (Table 5.17).

E. coli and other bacteria are known to express very diverse kinds of siderophores and this characteristic has been employed in the epidemiological typing of bacterial isolates (McRose *et al.*, 2018; Grass, 2006; Demir and Kaleli, 2004; Reissbrodt and Rabsch, 1988).

Based on results obtained in this study on CAS agar and chemical characterization five types of *E. coli* isolates could be identified viz .1) Isolates in which only hydroxamate was detected 2) Isolates in which catechol was detected 3) isolates in which both catechol and hydroxamate were detected 4) isolates in which siderophores was detected on CAS but no hydroxamate and catechol detected and 5) isolates in which siderophore not detected at all. Isolates in which siderophores were detected on CAS but no hydroxamate and catechol were detected may be producing some other type of siderophores. Fulfillment of iron requirements by isolates in which no siderophore was detected can be through other mechanisms like hemolytic cytotoxins to free iron from hemoglobin or heme, enzymes for release of iron from iron complex molecules, or direct utilization of iron-bound host molecules (Page, 2019; Payne, 1993). Detection of siderophores in chemical tests among CAS-negative isolates can be due to the assay limitation of CAS agar (Shin *et al.*, 2001).

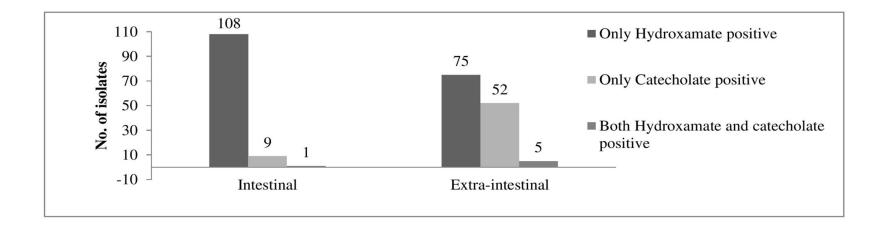


Figure 5.6: Frequency of Siderophore Types among pathogenic E. coli isolates

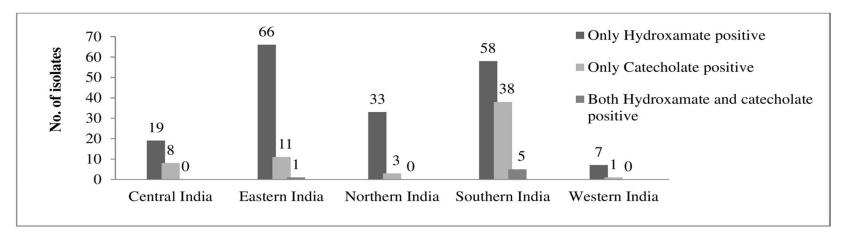


Figure 5.7: Frequency of Siderophore types Geographically

S	Among CAS agar-posi	tive isolates			Among CAS agar n	egative isola	ites	Overall		
SOURCE	n=241				n=293	n=534				
CE	(intestinal:110, extrta-inte	stinal:131)			(intestinal:192, extrta-i					
	1 Hydroxamate only	2 Catechol only	3 Both Hyd. and Cat.	4 None detected	5 Hydroxamate only	6 Catechol only	7 Both Hyd. and Cat.	8 (1+3+5) Hyd. Detected	9 (2+3+6) Cat. Detected	10 (8+9+4- 3) Sid. Detected
Intestinal	76 UT(16), O1(1), O2(2), O5(1), O7(1), O8(6), O9(2), O11(4), O16(1), O17(3), O20(1), O22(11), O34(2), O35(1), O83(2), O88(3), O98(1), O119 (1), O126(9), O128(3), O141(2), O149(2), O157(1)	5 UT(1), O22(1), O88(2), O157(1)	1 O7(1)	28 UT(2), O2(3), O7(2), O8(1), O11(1), O20(1), O22(5), O35(1), O83(1), O101(1), O119(1), O126(4), O141(5)	32 UT(10), 2(2), O8(7), O20(2), O22(1), O88(2), O98(1), O119 (2), O126(1), O128(3), O141(1)	4 UT(1), O88(1), O149(1), O157(1)	0	109	10	146

Table 5.17: Type of Siderophore expressed by intestinal and extra-intestinal *E. coli* isolates

So	Among CAS agar-pos	itive isolates			Among CAS agar n	egative isola	ıtes	Overall		
SOURCE	n=241				n=293			n=534		
CE	(intestinal:110, extrta-inte	estinal:131)			(intestinal:192, extrta-					
	1 Hydroxamate only	2 Catechol only	3 Both Hyd. and Cat.	4 None detected	5 Hydroxamate only	6 Catechol only	7 Both Hyd. and Cat.	8 (1+3+5) Hyd. Detected	9 (2+3+6) Cat. Detected	10 (8+9+4- 3) Sid. Detected
Ex	56	45	5	25	19	7	0	80	57	157
tra-i	UT(13), O1(2), O2(2),	UT(2), O7(6),	O7(1),	UT(4), O7(6),	UT(1), O1(1),	UT(1),				
Extra-intestinal	07(1),08(5),09(1),	O8(7), O11(8),	O11(1),	O8(1), O11(6),	O2(1),O4(1),	O4(1),				
nal	011(6),017(1),	O16(1), O17(2),	O35(1),	O20(1), O35(6),	O7(1),O8(2),	O8(1),				
	O20(5), O22(1),	O34(2), O35(3),	O83(1),	O141(1)	O11(1), O22(2),	O20(1),				
	O35(2),	O83(3), O88(3),	O88(1)		O34(1), O35(1),	O49(1),				
	O63(1), O83(1),	O89(1), O101 (1),			O88(1), O101(1),	O83(2),				
	088(6),0117(1),	0119(1),0141(4),			O141(3), O149(1),					
	0118(1),0141(5),	O149(1)			O157(1)					
	O149(2)									
TOTA	132	50	6	53	51	11	0	189	67	303

Here; Hyd.- Hydroxamate, Cat.- Catechol and Sid.-Siderophore

Siderophores and serogroups seem to be two independently expressed traits of pathogenic *E. coli* as siderophores expressing and non-expressing isolates were observed within the same serogroup in a wide variety of serogroups. Though all isolates of some "O" serogroups i.e. O120, O116, O114, O86, O84, and auto-agglutinating did not express siderophores in CAS and chemical assays, and all isolates in some serogroups were found to produce siderophores i.e. O16, O117, and O5. Significantly more isolates of serogroups of 141, O11, and O7 was siderophores producers (p<0.05). These serogroups are frequently associated with extra-intestinal *E. coli* infections (Poolman and Wacker, 2016). On comparing the type of siderophores and "O" serogroups no significant association was observed. Both hydroxamate and catechol-types of siderophores expressing serogroups were few (1.1% including O88, O83, O35, O11, and O7) which expressed both types of siderophores in very low proportions [Table 5.18].

EHEC/STEC strains are also known to produce siderophores as their important virulence traits (Zhang *et al.*, 2020; Freestone *et al.*, 2003). Four of the seven *E. coli* serogroup O157 isolates were also detected to express siderophores (two hydroxamate types and two catechol-types) in this study. As EHEC/STEC strains usually represented by this serogroup is known to cause serious infections like HC and HUS (Silva *et al.*, 2020; Croxen, *et al.*, 2013), siderophores seem to be important virulence characteristics of this pathotype.

Significantly more percentages (p<0.05) of *E. coli* isolates from summer (84.5%), monsoon (51.6%), and post-monsoon (88%) seasons than winter season (38.2%) were found to express siderophores production. A similar trend was observed was seen in intestinal as well as extra-intestinal isolates (Table 5.19). Infection rates of agents including *E. coli* infections transmitting through fecal-oral routes from contaminated food and water are known to increase during summer and monsoon/post-monsoon seasons (Ahmed *et al.*, 2013; Eber *et al.*, 2011), detection of a higher percentage of siderophores production during these seasons is of important as siderophores further assist bacteria in colonization in infected hosts.

The importance of siderophores in the epidemiology of pathogenic *E. coli* is indicated by the detection of siderophores in a large percentage of isolates (56.7%), diversity of *E. coli* isolates in expressing siderophore types, detection of siderophores in clinically important serogroups including *E. coli* O157 and seasonal trends in siderophore expression in this study.

" O "	Siderophore Screening on			Hydroxamate/	Catechol De	etection N ((%age)	
Serogroup	CAS agar	N (%age)						
	Positive	Negative	Total	Hydroxamate	Catechol	Both	Both	Total
				Positive	Positive	Positive	Negative	
UT	38(39.6)	58(60.4)	96	40(41.7)	5(5.2)	0	51(53.1)	96
01	3(60)	2(40)	5	4(80)	0	0	1(20)	5
02	7(43.8)	9(56.3)	16	7(43.8)	0	0	9(56.3)	16
04	0	3(100)	3	1(33.3)	1(33.3)	0	1(33.3)	3
05	1(100)	0	1	1(100)	0	0	0	1
07	18(85.7)	3(14.3)	21	3(14.3)	6(28.6)	2(9.5)	10(47.6)	21
08	20(43.5)	26(56.5)	46	20(43.5)	8(17.4)	0	18(39.1)	46
09	3(50)	3(50)	6	3(50)	0	0	3(50)	6
011	26(68.4)	12(31.6)	38	11(29)	8(21)	1(2.6)	18(47.4)	38
016	2(100)	0	2	1(50)	1(50)	0	0	2
017	6(46.2)	7(53.8)	13	4(30.8)	2(15.4)	0	7(53.8)	13
O20	8(40)	12(60)	20	8(40)	1(5)	0	11(55)	20
O22	18(54.5)	15(45.5)	33	15(45.5)	1(3)	0	17(51.5)	33

Table 5.18: Different *E. coli* "O" serogroups expressing siderophores

"O"	Siderophore Screening on			Hydroxamate/	Catechol De	etection N	(%age)			
Serogroup	CAS agai	r N (%age)								
	Positive	Negative	Total	Hydroxamate	Catechol	Both	Both	Total		
				Positive	Positive	Positive	Negative			
O34	4(80)	1(20)	5	3(60)	2(40)	0	0	5		
O35	14(56)	11(44)	25	4(16)	3(12)	1(4)	17(68)	25		
O49	0	5(100)	5	0	1(20)	0	4(80)	5		
O63	1(33.3)	2(66.7)	3	1(33.3)	0	0	2(66.7)	3		
083	8(32)	17(68)	25	3(12)	5(20)	1(4)	16(64)	25		
O84	0	7(100)	7	0	0	0	7(100)	7		
O86	0	2(100)	2	0	0	0	2(100)	2		
O88	15(45.5)	18(54.5)	33	12(36.4)	6(18.2)	1(3)	14(42.4)	33		
O89	1(14.3)	6(85.7)	7	0	1(14.3)	0	6(85.7)	7		
O98	1(50)	1(50)	2	2(100)	0	0	0	2		
O101	2(25)	6(75)	8	1(12.5)	1(12.5)	0	6(75)	8		
O114	0	1(100)	1	0	0	0	1(100)	1		
0116	0	2(100)	2	0	0	0	2(100)	2		
0117	1(100)	0	1	1(100)	0	0	0	1		
0118	1(50)	1(50)	2	1(50)	0	0	1(50)	2		

" 0 "	Sideroph	ore Screenii	ng on	Hydroxamate/	Catechol De	etection N ((%age)	
Serogroup	CAS agar N (%age)							
	Positive	Negative	Total	Hydroxamate	Catechol	Both	Both	Total
				Positive	Positive	Positive	Negative	
0119	3(30)	7(70)	10	3(30)	1(10)	0	6(60)	10
O120	0	4(100)	4	0	0	0	4(100)	4
O126	13(46.4)	15(53.6)	28	10(35.7)	0	0	18(64.3)	28
O128	3(37.5)	5(62.5)	8	6(75)	0	0	2(25)	8
0141	17(73.9)	6(26.1)	23	11(47.8)	4(17.4)	0	8(34.8)	23
O149	5(22.7)	17(77.3)	22	5(22.7)	2(9.1)	0	15(68.2)	22
0157	2(28.6)	5(71.4)	7	2(28.6)	2(28.6)	0	3(42.9)	7
Auto- agglutinating	0	4(100)	4	0	0	0	4(100)	4

Se		ong CAS aga	r-positive iso	olates	Among CAS a	agar negative i	solates,		Overall		
Seasons		n=	241			n=293		n=534			
l 2	(summer	:72, winter:3	3, Monsoon:	122, Post-	(summe	er:25, winter:69	,	(summer:97, winter:102 Monsoon:310			
		monso	oon:14)		Monsoon:18	8, Post-monsoc	on:11)	Р	ost-monsooi	n:25)	
	1 Only hydroxamate detected	4 None I detected 3 Both detected Only Catechol detected 1 Only hydroxamate detected				6 Only Catechol detected	7 Both detected	8 (1+3+5) Hydroxamate Detected	9 (2+3+6) Catechol Detected	10 (8+9+4-3) Siderophores Detected	
U U	28	22	6	16	5	5	0	39	33	82	
Summer	(IPEC:17	(IPEC:01	(IPEC:01	(IPEC:08	(IPEC:04	(IPEC:01				(IPEC:32	
er	ExPEC:11)	ExPEC:21)	ExPEC:05)	ExPEC:08)	ExPEC:01)	ExPEC:04)				ExPEC:50)	
×	24	3	0	6	6	0	0	30	3	39	
Winter	(IPEC:22	(IPEC:2		(IPEC:06	(IPEC:01					(IPEC:31	
	ExPEC:02)	ExPEC:01)		ExPEC:00)	ExPEC:05)					ExPEC:08)	

Table 5.19: Frequency of siderophore-producing *E. coli* isolates during different seasons

	Se	Amo	ng CAS agai	r-positive iso	olates	Among CAS	agar negative i	solates,		Overall		
	Seasons		n=2	241			n=293		n=534			
	SI	(summer:	:72, winter:33	3, Monsoon:	22, Post-	(summer:25, winter:69,			(summer:97	, winter:102	2 Monsoon:310	
			monso	on:14)		Monsoon:18	88, Post-monsoo	on:11)	P	ost-monsoo	n:25)	
		4 None I detected 3 Both detected 2 Only Catechol detected 1 Only hydroxamate detected				7 Both detected 6 Only Catechol detected 5 Only hydroxamate detected			8 (1+3+5) Hydroxamate Detected	9 (2+3+6) Catechol Detected	10 (8+9+4-3) Siderophores Detected	
	Μ	70	24	0	28	32	6	0	102	30	160	
	Monsoon	(IPEC:27	(IPEC:01		(IPEC:11	(IPEC:19	(IPEC:03				(IPEC:61	
	on	ExPEC:43)	ExPEC:23)		ExPEC:17)	ExPEC:13)	ExPEC:03)				ExPEC:99)	
m	Po	10	1	0	3	8	0	0	18	1	22	
monsoon	Post-	(IPEC:10	(IPEC:01		(IPEC:03	(IPEC:08					(IPEC:22	
on		ExPEC:00) ExPEC:00) ExPEC:00)			ExPEC:00)	ExPEC:00)					ExPEC:00)	
TOT	ΓAL	132 50 6 53		51	11	0	189	67	303			

5.4.5. Colicin

To achieve sustained colonization and establishment of infection competition with commensals for available resources in the host environment is critical, especially where the host environment is full of commensals like the gastrointestinal tract. To colonize such infection sites and to counter the competing microbial populations' virulent agents adopt various mechanisms and **bacteriocin is one of these mechanisms which help eliminate sensitive commensal/competitors to create a suitable environment for its colonization and growth.** Colicin is a class of bacteriocins produced by Enterobacteriaceae family members including *E. coli* thus colicin production is one of the important virulence characteristics of the pathogenic microbes of Enterobacteriaceae (Budic *et al.*, 2011; Smajs *et al.*, 2010). In the present study, 20.2% of the total isolates were found to be colicin producers which were comparatively less than other virulence factors i.e. CSH, biofilm, and siderophores. Contrary to this colicin production was reported in a very high percentage of isolates from different infection sites (Abd El-Baky *et al.*, 2020; Fakruddin *et al.*, 2012).

Though colicin production is known as a virulence characteristic providing a survival advantage to pathogens in competitive environments like the gastro-intestinal tract however n this study, interestingly, colicin producers were detected in more percentage among extra-intestinal isolates (31%) than intestinal isolates (11.9%) (p< 0.05) (Table 5.20). In many other studies also, colicin has been detected in large numbers among extra-intestinal isolates. It is known to have a toxic effect on eukaryotic cells and is considered a virulence factor in extra-intestinal *E. coli*, especially UPEC strains (Armstrong, 2013; Petkovsek *et al.*, 2012; Budic *et al.*, 2011; Smajs *et al.*, 2010; Azpiroz *et al.*, 2009; Chumchalova and Smarda, 2003). Detection of colicin producers among UTI isolates may be attributed to the fact that pathogenic intestinal and UPEC isolates are actually of commensal intestinal origin which becomes pathogenic after acquiring various pathogenic traits (Finlay and Falkow, 1997; Ochman and Selander, 1984).

The percentage of colicin-producing isolates was low among isolates from all the geographical areas ranging from 4 to 30% (P<0.05). No intestinal isolate from western India was found to produce colicin and no extra-intestinal isolate from northern India produced colicin (Table 5.21). Low colicin-producing *E. coli* isolates indicate a limited role of colicin as a virulence factor in *E. coli* pathogenicity.

Study isolates	Colicin	Colicin	Total
	Positive	Negative	N (%)
	n (%)	n (%)	
Intestinal isolates	36 (11.9%)	266 (88.1%)	302 (100%)
Extra-intestinal isolates	72 (31.0%)	160 (69.0%)	232 (100%)
Total	108 (20.2%)	426 (79.8%)	534 (100%)

Table 5.20: Frequencies of isolates producing colicin

Geographical	Intestinal I	solates	Extra-intes	tinal isolates	Total Colicin
location	Colicin	Colicin	Colicin	Colicin	positive
	positive	negative	positive	negative	n (%)
	n (%)	n (%)	n (%)	n (%)	
Central India	2 (6.9%)	27 (93.1%)	11 (36.7%)	19 (63.3%)	13 (22.0%)
Eastern India	9 (9.3%)	88 (90.7 %)	20 (54.1%)	17 (45.9%)	29 (21.6%)
Northern India	11 (8.8%)	114 (91.2%)	0 (0%)	23 (100%)	11 (7.4%)
Southern India	14 30.4%)	32 (69.6%)	37 (29.8%)	87 (70.2%)	51 (30.0%)
Western India	0 (0%)	5 (100%)	4 (22.2%)	14 (77.8%)	4 (17.4%)
Total	36 11.9%)	266 (88.1%)	72 (31.0%)	160 (69.0%)	108 (20.2%)

Table 5.21: Region-wise frequencies of isolates producing colicin

Colicin production was associated with many "O" serogroups. Only one out of seven O157 isolates was found to produce colicin (Table 5.22).

The colicin production rate among isolates from the post-monsoon season was comparatively more than among isolates from other seasons (p<0.05) when most of the infections are usually reported (Table 5.23).

	Overall Colicin	Colicin Positive	Colicin Positive
"O" Serogroup	Positive isolates	Intestinal	extra-intestinal
O Serogroup	n (%age)	isolates n	isolates n
		(%age)	(%age)
UT; N=96	16 (16.7)	6 (6.3)	10 (10.4)
O1; N=5	2 (40.0)	0 (0.0)	2 (40.0)
O2; N=16	3 (18.8)	2 (12.5)	1 (6.3)
O4; N=3	3 (100.0)	0 (0.0)	3 (100.0)
O5; N=1	0 (0.0)	0 (0.0)	0 (0.0)
O7; N=21	5 (23.8)	3 (14.3)	2 (9.5)
O8; N=46	9 (19.6)	4 (8.7)	5 (10.9)
O9; N=6	2 (33.3)	1 (16.7)	1 (16.7)
O11; N=38	18 (47.4)	0 (0.0)	18 (47.4)
O16; N=2	0 (0.0)	0 (0.0)	0 (0.0)
O17; N=13	6 (46.2)	1 (7.7)	5 (38.5)
O20; N=20	1 (5.0)	1 (5.0)	0 (0.0)
O22; N=33	2 (6.1)	0 (0.0)	2 (6.1)
O34; N=5	2 (40.0)	0 (0.0)	2 (40.0)
O35; N=25	4 (16.0)	1 (4.0)	3 (12.0)
O49; N=5	0 (0.0)	0 (0.0)	0 (0.0)
O63; N=3	0 (0.0)	0 (0.0)	0 (0.0)
O83; N=25	2 (8.0)	2 (8.0)	0 (0.0)
O84; N=7	1 (14.3)	1 (14.3)	0 (0.0)
O86; N=2	0 (0.0)	0 (0.0)	0 (0.0)
O88; N=33	10 (30.3)	3 (9.1)	7 (21.2)
O89; N=7	0 (0.0)	0 (0.0)	0 (0.0)
O98; N=2	2 (100.0)	2 (100.0)	0 (0.0)
O101; N=8	3 (37.5)	0 (0.0)	3 (37.5)
O114; N=1	0 (0.0)	0 (0.0)	0 (0.0)

Table 5.22: Serotype-wise expression of Colicinogeny

"O" Serogroup	Overall Colicin Positive isolates n (%age)	Colicin Positive Intestinal isolates n (%age)	Colicin Positive extra-intestinal isolates n (%age)
O116; N=2	0 (0.0)	0 (0.0)	0 (0.0)
O117; N=1	0 (0.0)	0 (0.0)	0 (0.0)
O118; N=2	0 (0.0)	0 (0.0)	0 (0.0)
O119; N=10	5 (50.0)	3 (30.0)	2 (20.0)
O120; N=4	0 (0.0)	0 (0.0)	0 (0.0)
O126; N=28	0 (0.0)	0 (0.0)	0 (0.0)
O128; N=8	0 (0.0)	0 (0.0)	0 (0.0)
O141; N=23	10 (43.5)	5 (21.7)	5 (21.7)
O149; N=22	1 (4.5)	0 (0.0)	1 (4.5)
O157; N=7	1 (14.3)	1 (14.3)	0 (0.0)
Auto-agglutinating; N=4	0 (0.0)	0 (0.0)	0 (0.0)
TOTAL; N=534	108 (20.2)	36 (6.7)	72 (13.5)

Season		Intestinal		Extra-	intestinal is	olates		Overall		
		n (%)			n (%)			n (%)		
	Colicin	Colicin	Total	Colicin	Colicin	Total	Colicin	Colicin	Total	
	positive	negative		positive	negative		positive	negative		
Summer	1 (2.7)	36 (97.3)	37	13 (21.7)	47 (78.3)	60	14 (14.4)	83 (85.6)	97	
Winter	5 (6.0)	79 (94.0)	84	4 (22.2)	14 (77.8)	18	9 (8.8)	93 (91.2)	102	
Monsoon	16 10.3)	140 (89.7)	156	55 (37.7)	99 (64.3)	154	71 (22.9)	239 (77.1)	310	
Post -	14 (56.0)	11 (44.0)	25	-	-	-	14 (56.0)	11 (44.0)	25	
Monsoon										

Table 5.23: Seasonality and colicin production

5.4.6. Gelatin Hydrolysis

Gelatin hydrolysis activity is the measure of gelatinase release by the pathogenic microorganism. This enzyme contributes to microbial virulence through the degradation of a wide range of host substances like fibrin, fibrinogen, collagen, bradykinin, and components of complement (C3 and C3a) (Park *et al.*, 2008; Waters *et al.*, 2003; Park *et al.*, 2007; Makinen and Makinen, 1994; Makinen *et al.*, 1989).

Gelatinase activity was shown by only 4.5% of the total isolates. Though the frequency of gelatinase-producing *E. coli* was more among intestinal isolates than the extra-intestinal isolates (p<0.05), however in overall gelatin hydrolysis was the least expressed virulence factor of intestinal as well as extra-intestinal *E. coli* isolates (Table 5.24).

Gelatin hydrolysis was also recorded with very low rates even as low as 0.0% among *E. coli* isolates by other workers, thus indicating the little role of this virulence factor in *E. coli* pathogenicity at least among diarrheal and UTI-causing *E. coli* (Shah, 2019; Kaira and Pai, 2018; Niyas and Gopinath, 2018). On the other hand, gelatin hydrolysis was detected at a relatively high rate in a few studies (Bawankar, 2018; Priya, 2015).

Study isolates	Positive	Negative	Total	
	n (%)	n (%)	N (%)	
Intestinal isolates	21 (7.0%)	281 (93.0%)	302 (100%)	
Extra-intestinal isolates	3 (1.3%)	229 (98.7%)	232 (100%)	
Total	24 (4.5%)	510 (95.5%)	534 (100%)	

Table 5.24: Frequencies of isolates showing gelatin hydrolysis

Gelatin hydrolysis was the least expressed virulence characteristic of the tested isolates in this study in all geographical locations (P>0.05). None of the isolates from Western India was found to hydrolyze gelatin. Similarly, none of the extra-intestinal isolates from northern India was found to hydrolyze gelatin [Table 5.25].

Geographical	Intestir	nal Isolates	Extra-inte	Total positive	
location	n	t (%)	n		
	Positive	Negative	Positive	Negative	N (%)
Central India	5 (17.2%)	24 (82.8%)	1 (3.3%)	29 (96.7%)	6 (10.2%)
Eastern India	8 (8.2%)	89 (91.8 %)	1 (2.7%)	36 (97.3%)	9 (6.7%)
Northern India	4 (3.2%)	121 (96.8%)	0 (0%)	23 (100%)	4 (2.7%)
Southern India	4 (8.7%)	42 (91.3%)	1 (0.8%)	123 (99.2%)	5(2.9%)
Western India	0 (0%)	5 (100%)	0 (0%)	18 (100%)	0 (0%)
Total	21 (7.0%)	281 (93.0%)	3 (1.3%)	229 (98.7%)	24 (4.5%)

Table 5.25: Region-wise frequencies of isolates showing gelatin hydrolysis

Twenty-four gelatin hydrolyzing isolates in the present study were represented by eight different "O" serogroups. Among intestinal isolates, 57% of gelatin hydrolyzing isolates were associated with O22 and UT isolates. None of the O157 isolates were found to hydrolyze gelatin (Table 5.26).

The rate of gelatin hydrolyzing isolates was low during all seasons (p>0.05). All three gelatin hydrolyzing extra-intestinal isolates were from the monsoon season (Table 5.27).

"O" serogroups associated	"O" serogroups associated	"O" serogroups associated
with gelatinase-positive	with gelatinase-positive	with gelatinase-positive
isolates in overall	intestinal isolates	extra-intestinal isolates
Total gelatinase positive	Total gelatinase positive	Total gelatinase positive
n = 24	n = 21	n = 03
UT (6), O22 (7), O35 (1),	UT (5), O22 (7), O35 (1),	UT (1), O88 (1), O101 (1)
O88 (3), O101 (1), O114	O88 (2), O114 (1), O126	
(1), O126 (3), O149 (2)	(3), O149 (2)	

	Intestinal			Extr	Extra-intestinal isolates			Overall		
	Gelatinase positive	Gelatinase negative	Total	Gelatinase positive	Gelatinase negative	Total	Gelatinase positive	Gelatinase negative	Total	
Summer	5 (13.5)	32 (86.5)	37	0 (0.0)	60 (100.0)	60	5 (5.2%)	92 (94.8%)	97	
Winter	4 (4.8)	80 (95.2)	84	0 (0.0)	18 (100.0)	18	4 (3.9%)	98 (96.1%)	102	
Monsoon	10 (6.4)	146 (93.6)	156	3 (1.9)	151 (98.1)	154	13 (4.2%)	297 (95.8%)	310	
Post- Monsoon	2 (8.0%)	23 (92.0%)	25	-	-	-	2 (8.0%)	23 (92.0%)	25	

Table 5.27: Seasonality and gelatin hydrolysis

Co-expression of virulence factors was found to be a common characteristic among intestinal as well as extra-intestinal isolates. 91.2% of the isolates expressed at least one of the virulence characteristics evaluated in this study. Multiple virulence factors were expressed by 38.6% of the isolates (Table 5.28). Among intestinal isolates 31.7% and extra-intestinal isolates, 47.4% of the isolates expressed multiple virulence factors.

The expression of multiple virulence factors is important for entry and colonization in host cell surfaces and breaching the host immune mechanisms to establish infections and disease. Microbial strains expressing multiple virulence factors are considered to be more pathogenic than those expressing one or two virulence factors. *E. coli* pathogenicity is also known to be attributed to the co-expression of many virulence factors (Shruthi *et al.* 2012, Katouli *et al.* 2005; Foxman *et al.*, 1995). In the present study co-expression of virulence factors was observed in a large percentage of intestinal and extra-intestinal isolates. Co-expression of cell surface hydrophobicity (CSH) and siderophore production with biofilm formation was observed as the most commonly expressed combination of multi-virulent traits with significantly positive correlation values (p<0.05) [Figure 5.8 and Table 5.29]. Co-expression of these virulence factors may be because CSH and siderophore are associated with initial adhesion and colonization which are prerequisites for the formation of biofilms (Pi *et al.*, 2012; Rosenberg, and Kjelleberg, 1986).

No. of virulence	Intestinal isolates	Extra-intestinal	Overall	
factors expressed		isolates		
Zero	29 (9.6%)	18 (7.8%)	47 (8.8%)	
One	88 (29.1%)	42 (18.1%)	130 (24.3%)	
Two	89 (29.5%)	62 (26.7%)	151 (28.3%)	
Three	72 (23.8%)	88 (37.9%)	160 (30%)	
Four	23 (7.6%)	22 (9.5%)	45 (8.4%)	
Five	1 (0.3%)	0 (0%)	1 (0.2%)	

Table 5.28: Co-expression of virulence factors by E. coli isolates

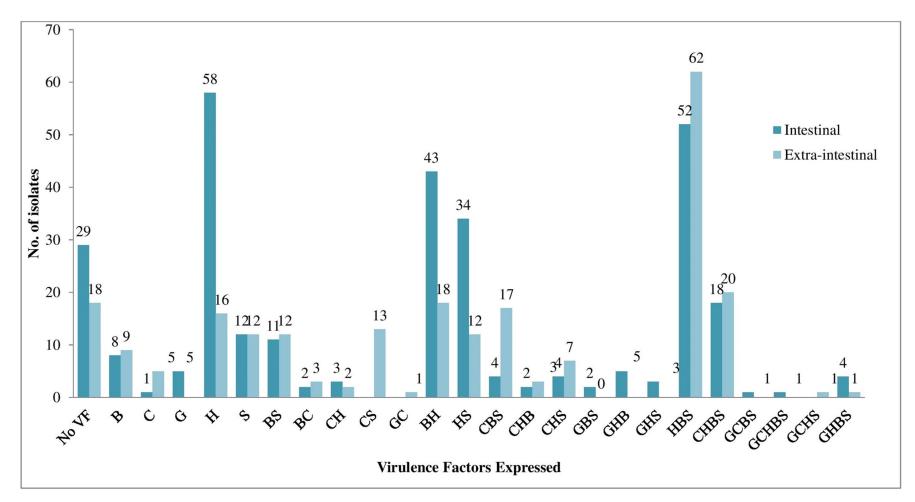


Figure 5.8: Virulence factor pattern of Escherichia coli isolates

Here; VF- Virulence factor ; B-biofilm; C- colicin; G- Gelatinase; H- CSH; S-Siderophore

Virulence	Correlation % and p values (at 95% confidence level)								
factor	Biofilm (TCP)	Gelatinase	CSH	Colicin	Siderophore				
Biofilm (TCP)	-	0.11 (0.799)	18.8 (0.000)	10.1 (0.02)	27.3 (0.000)				
Gelatinase	0.11(0.799)	-	-3.1 (0.475)	-1.9 (0.658)	-1.1 (0.795)				
CSH	18.8 (0.000)	-3.1 (0.475)	-	-13.8 0.001)	7.9 (0.069)				
Colicin	10.1 (0.02)	-1.9 (0.658)	-13.8 0.001)	-	23.3 (0.000)				
Siderophore	27.3 (0.000)	-1.1 (0.795)	7.9 (0.069)	23.3 (0.000)	-				

The occurrence of diverse isolates of *E. coli* makes management and control of this infectious agent difficult. The problem is further complicated by the emergence of multiple drug-resistant strains. The emergence of multiple drug resistance and persistent and recurrent *E. coli* infections has complicated the treatment and management of *E. coli* infections. Multiple drug resistance among pathogenic *E. coli* has been reported extensively in India (Kulkarni *et al.*, 2017; Niranjan and Malini, 2014) the spread of resistant *E. coli* worldwide is thought to be Indian-originated (Kumarasamy *et al.*, 2010). Considering the increasing trends in *E. coli* infection rates worldwide and the emergence of strains resistant to multiple antimicrobials; W.H.O. has placed *E. coli* among the critical microorganisms that require immediate attention as a health threat globally and against which there is an urgent need to develop newer antimicrobials (WHO, 2017).

5.5. Antibiogram

In the present study, 20 antibiotics from different antimicrobial classes were tested for susceptibility and only 11% of isolates were found to be sensitive to all the antibiotics. High resistance rates to ampicillin, nalidixic acid, cefotaxime, and amoxiclav were found and this was observed in isolates from all geographical locations of the country. Earlier studies from different parts of India also reported high resistance rates in *E. coli* to these drugs with increasing trends, which is mainly attributed to the non-judicious use of antibiotics and lack of antimicrobial stewardship programs (AMSP) at healthcare institutions (Malik *et al.*, 2021; Prasada *et al.*, 2019). On the other hand amikacin, nitrofurantoin, chloramphenicol, imipenem, and meropenem were found to be the most effective drugs. The frequency of drug resistance exhibited by *E. coli* isolates under study is shown below in Table 5.30 and Figure 5.9.

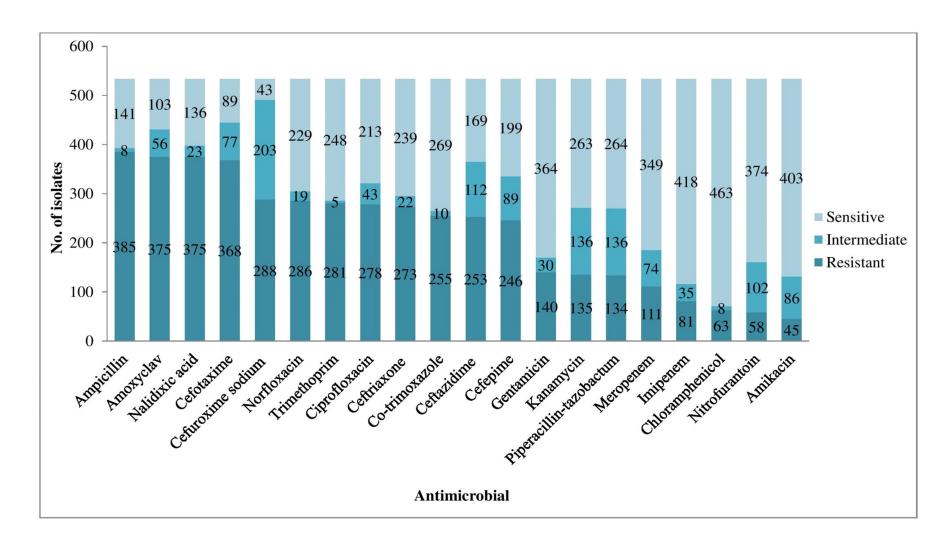


Fig. 5.9: Antimicrobial susceptibility pattern of intestinal and extraintestinal E. coli

Name of the	Resistance pattern of intestinal and extraintestinal E. coli N (%)							
antimicrobial agent	Intestinal E. coli isolates,			Extra-intestinal <i>E. coli</i> isolates,				
	Total=302	Total=302			Total=232			
	R	Ι	S	R	Ι	S		
Amoxiclav	237 (78.5)	23 (7.6)	42 (13.9)	138 (59.5)	33 (14.2)	61 (26.3)		
Ampicillin	238 (78.8)	4(1.3)	60 (19.9)	147 (63.4)	4 (1.7)	81 (34.9)		
Amikacin	25 (8.3)	72 (23.8)	205 (67.9)	20 (8.6)	14 (6.0)	198 (85.3)		
Chloramphenicol	38 (12.6)	6 (2)	258 (85.4)	25 (10.8)	2 (0.8)	205 (88.4)		
Ciprofloxacin	175 (57.9)	24 (7.9)	103 (34.1)	103 (44.4)	19 (8.2)	110 (47.4)		
Co-trimoxazole	160 (53)	8 (2.6)	134 (44.4)	95 (40.9)	2 (0.8)	135 (58.2)		
Ceftriaxone	180 (59.6)	12 (4)	110 (36.4)	93 (40.1)	10 (4.3)	129 (55.6)		
Cefotaxime	224 (74.2)	35 (11.6)	43 (14.2)	144 (62.1)	42 (18.1)	46 (19.8)		
Cefuroxime sodium	189 (62.6)	97 (32.1)	16 (5.3)	99 (42.7)	106 (45.7)	27 (11.6)		
Cefepime	163 (54)	45 (14.9)	94 (31.1)	83 (35.8)	44 (19)	105 (45.3)		
Gentamicin	69 (22.8)	16 (5.3)	217 (71.9)	71 (30.6)	14 (6.0)	147 (63.4)		
Imipenem	46 (15.2)	24 (7.9)	232 (76.8)	35 (15.1)	11 (4.7)	186 (80.2)		
Kanamycin	71 (23.5)	88 (29.1)	143 (47.4)	64 (27.6)	48 (20.7)	120 (51.7)		

Name of the antimicrobial agent	Resistance pattern of intestinal and extraintestinal E. coli N (%)							
	Intestinal	Intestinal <i>E. coli</i> isolates, Total=302			Extra-intestinal <i>E. coli</i> isolates, Total=232			
	Total=302							
	R	Ι	S	R	Ι	S		
Meropenem	65 (21.5)	51 (16.9)	186 (61.6)	46 (19.8)	23 (9.9)	163 (70.3)		
Nalidixic acid	228 (75.5)	16 (5.3)	58 (19.2)	147 (63.4)	7 (3.0)	78 (33.6)		
Nitrofurantoin	32 (10.6)	61 (20.2)	209 (69.2)	26 (11.2)	41 (17.7)	165 (71.7)		
Norfloxacin	188 (62.3)	8 (2.6)	106 (35.1)	98 (42.2)	11 (4.7)	123 (53.0)		
Piperacillin-tazobactum	79 (26.2)	91 (30.1)	132 (43.7)	55 (23.7)	45 (19.4)	132 (56.9)		
Trimethoprim	178 (59)	4 (1.3)	120 (39.7)	103 (44.4)	1 (0.4)	128 (55.2)		
Ceftazidime	156 (51.7)	70 (23.2)	76 (25.1)	97 (41.8)	42 (18.1)	93 (40.1)		

Out of 534 total isolates studied 60 (11.2%) were sensitive to all twenty antibiotics while the rest were resistant to one or more antibiotics. The distribution of resistant isolates among the study samples is shown below (Fig 5.10).

Twenty antimicrobials used in the present study belong to different drug classes (penicillins, cephalosporins, carbapenems, aminoglycosides, phenicols, quinolones, and sulphonamides). The level of drug resistance in study samples was found to be very high as 71% of the isolates were MDR. 11% of the isolates were found to be sensitive to all the classes of antimicrobials included in the study, 9% were resistant to two different classes of antimicrobials (TDR) and 9% were resistant to only one class of antimicrobials as shown below in figure 5.11.

A comparative evaluation of the level of drug resistance is shown in the following figure (Fig 5.12). A very high % age of MDR isolates was found in the various geographical area of the country as shown in the following figure (Fig 5.13).

Antibiotic susceptibility results of the present study show that drug resistance is a common characteristic among pathogenic *E. coli* isolates in the country and that MDR strains are in circulation throughout the country. MDR and resistance to newer-generation antibiotics are throwing challenges to the management and treatment of *E. coli* infection. The emergence of *E. coli* strains resistant to multi drugs and extensively drug-resistant strains is a matter of concern for healthcare practitioners worldwide as the treatment options remain very limited to control infections with such strains (Sheu *et al.,* 2019).

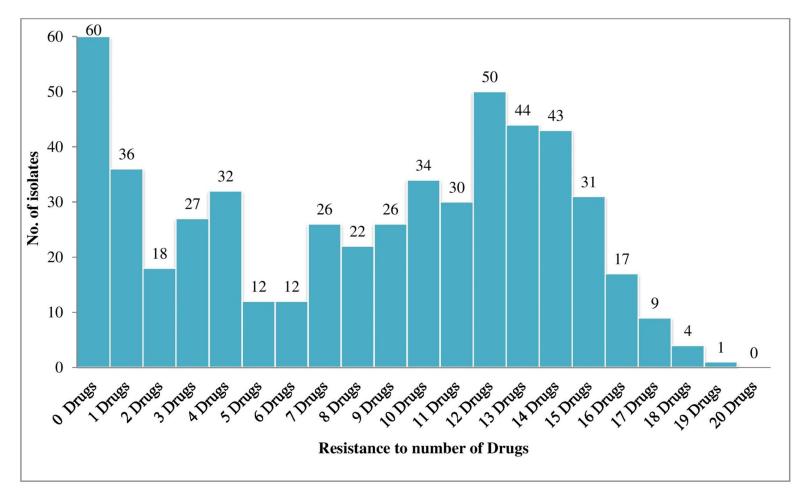


Fig 5.10: E. coli isolates resistant to number of antimicrobials

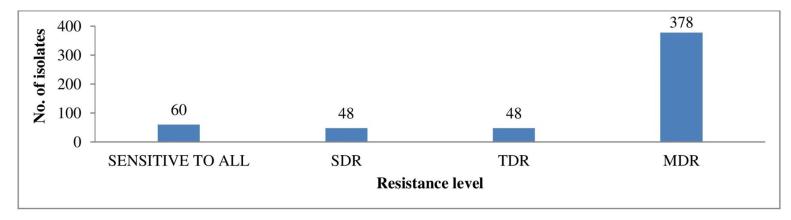


Fig 5.11: Drug resistance level in E. coli isolates

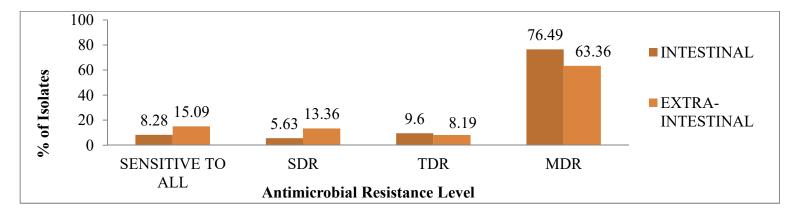


Fig 5.12: Comparative level of antimicrobial resistance among intestinal and extra-intestinal E. coli

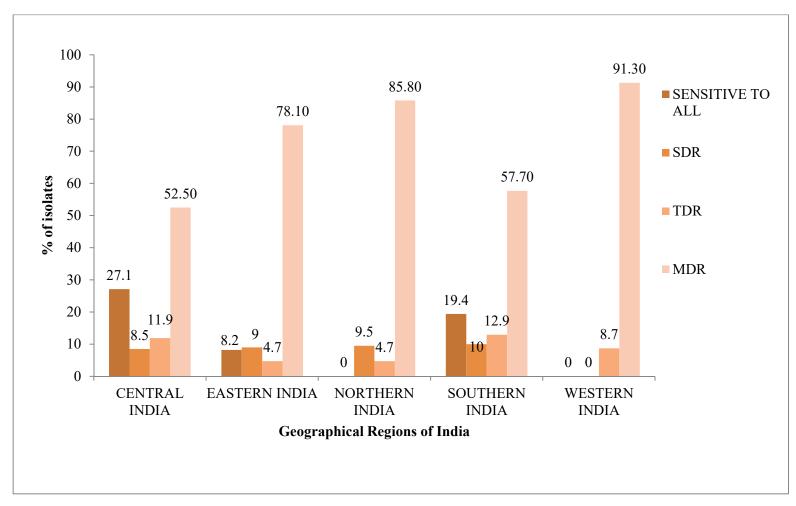


Fig 5.13: Region-wise distribution of resistant isolates

In the present study resistance to β -lactam drugs was observed in a very large percentage (82.8%), which was found high among intestinal (85.4%) as well as extra-intestinal isolates (79.3%) with no significant difference among the groups (p>0.05). Among β -lactam drugs, resistance rates to penicillins, cephalosporins, and carbapenem classes of drugs were observed in 74.2%, 75.7%, and 24.3% respectively. The resistance rate to these β -lactam classes of drugs was found high among intestinal (81.1%, 79.8%, and 23.8% respectively) as well extra-intestinal isolates (65.1%, 70.3%, and 25% respectively). The difference among groups was significant for resistance to penicillin and cephalosporin groups of drugs (p<0.05), however, there was no significant difference among the groups in resistance rates to carbapenems, (p>0.05).

 β -lactam antibiotic-resistant strains are prevalent worldwide and resistance to carbapenems is also reported frequently from all over the world (Malchione *et al.*, 2019; Abbas *et al.*, 2017). β -lactam drugs are the choice of drug for treating *E. coli* infections and contribute a major share of empirical treatment prescriptions. Overuse of β -lactam drugs is considered to have resulted in selection pressure for resistance among clinical isolates to this group of drugs. Increasing trends in resistance rate to these drugs is a matter of concern and warrant an urgent need to wisely plan and implement stewardship programs throughout the country at the level of healthcare facilities.

Penicillin is the most widely used antibiotic; however, most bacteria including *E. coli* acquire resistance to this drug through the production of the enzyme β -lactamase which hydrolyses the β -lactam ring of the antibiotic. Penicillin combination with β -lactamase inactivating compounds like clavulanic acid and tazobactam are found to be effective against some β -lactamase producing organisms; however, resistance to β -lactam- β -lactamase inactivating combination drugs are also observed routinely (Waltner-Toews *et al.*, 2011; Bonnet *et al.*, 2009, Perez-Llarena and Bou, 2009). In the present study, one pure penicillin (ampicillin) and two penicillin with β -lactamase inhibitors i.e. amoxiclav (amoxicillin + clavulanic acid) and piperacillin with tazobactam were evaluated.

Overall 74.2% of the isolates were resistant to penicillins. The resistance rate to penicillins among isolates from various geographical locations was significantly different (p<0.05) with 55.9% among isolates from Central India, 61.2% in Southern India, 77.6% in eastern India, 89.2% in Northern India, and 100% among isolates from western India. Overall 81.1% intestinal and 65.1% extra-intestinal isolates were resistant to the penicillin group of drugs, with a significant difference (p<0.05). The percentages of resistance to the penicillin group of antimicrobials in different geographical regions are shown in Figure 5.14. Individually, piperacillintazobactam was the most effective penicillin drug against intestinal as well as extraintestinal isolates while the resistance rate to ampicillin and amoxiclav was higher (Figure 5.15). Resistance to the penicillin group of drugs has been reported in various studies in different parts of the world (Edwards et al., 2020; Zhou et al., 2019; Vranic and Uzunovic, 2016). The resistance rate in intestinal isolates was significantly more to ampicillin and amoxiclav in comparison to extra-intestinal isolates (p < 0.05). However, no significant difference in resistance rate to piperacillin-tazobactam was found among the two groups of isolates (p>0.05). Resistance to penicillins and its combination with β -lactamase inactivating compounds is attributed to selective pressure due to over usage of these drugs over decades and to treatment strains resistant to other drugs (Vranic and Uzunovic, 2016; Lee et al., 2013). Comparatively low resistance to piperacillin-tazobactam than amoxiclav and ampicillin may be attributed to the inactivation of beta-lactamase by tazobactam and comparatively less usage of piperacillin-tazobactam as empirical therapy in diarrheal and UTI infections in India.

A total of 24.3% of isolates were resistant to carbapenem antimicrobials. The resistance rate to the carbapenem class of antimicrobials among intestinal and extraintestinal isolates was 23.8% and 25% respectively. The resistance rate to carbapenems was observed relatively low in isolates from Central (5.1%) and Southern India (10.6%) than from other parts of the country (Eastern India 29.1%, Northern India 36.5%, and Western India 69.6%) (p<0.05). Overall no difference in resistance rates to carbapenem among intestinal and extra-intestinal isolates was observed (p>0.05) (Figure 5.16). The resistance rate to carbapenems is high in various studies in different regions of the country (Jaggi *et al.*, 2019; Mahalingam *et al.*, 2018) This shows that Carbapenem-resistant *E. coli* is prevalent throughout India, which is a major health concern and needs to be addressed urgently. Individually resistance rate to imipenem was 15.2% overall with almost equal rates among intestinal (15.2%) as well as extra-intestinal (15.1%) isolates (p>0.05). Similarly, no significant difference in resistance rate to meropenem among intestinal and extra-intestinal isolates (21.5 % and 19.8% respectively) was observed (p>0.05) and collectively 20.8% of the isolates were resistant to meropenem ((Figure 5.17).

Carbapenems are a last resort drug for ESBL-producing isolates because of their low resistance rates reported worldwide however, escalating resistance trends have been observed more so in Asian countries (Mahalingam et al.,2018; Laxminarayan and Chaudhary, 2016; Xu et al.,2015). Keeping in view the threat due to emerging carbapenems-resistant Enterobacteriaceae (CRE-*Klebsiella* and *E. coli*) this has been listed as an "Urgent threat" at the most critical level by CDC in its 2019 antibiotic resistance threat report (CDC, 2019). Resistance to carbapenems in this study is relatively high than reported earlier (Jaggi *et al.*, 2019; Ny *et al.* 2019; Abbas *et al.* 2017; Ali *et al.*, 2016; Niranjan and Malini, 2014). Increasing usage of carbapenems for the treatment of probable ESBL infections is usually considered the most likely reason for the development of resistance to these emergency drugs (Sheu *et al.*, 2019).

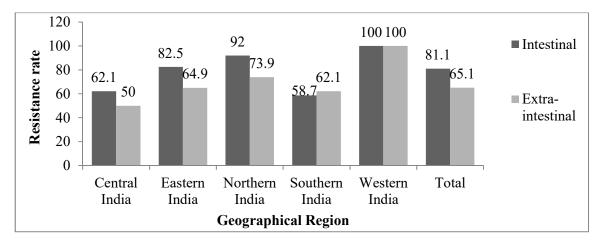


Figure 5.14: Region-wise resistance rates to the penicillin class of antimicrobials

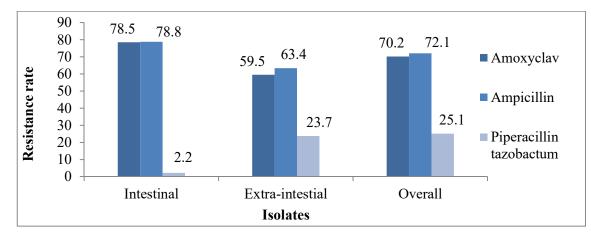


Figure 5.15: Resistance rates of E. coli isolates to individual penicillins

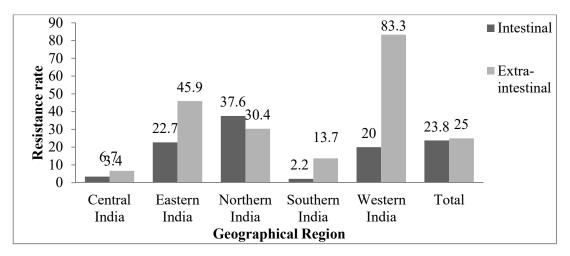


Figure 5.16: Region-wise resistance rates to carbapenems class of antimicrobials

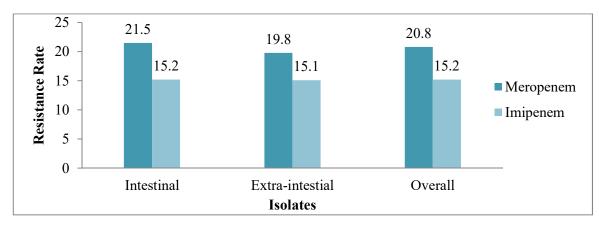


Figure 5.17: Resistance rates of E. coli isolates to individual carbapenems

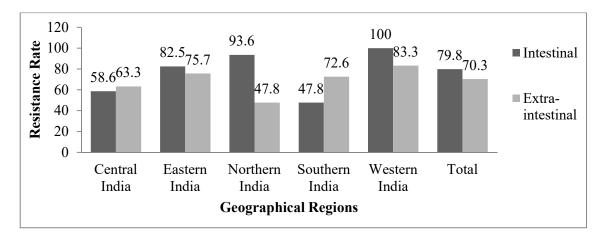


Figure 5.18: Region-wise resistance rates to the cephalosporin class of antimicrobials

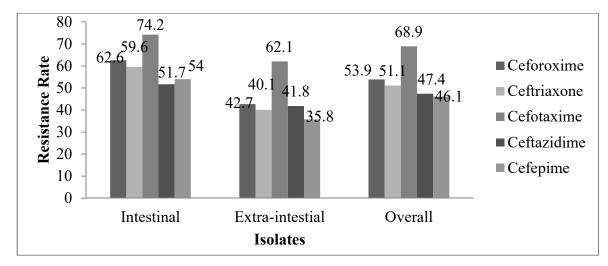


Figure 5.19: Resistance rates of E. coli isolates to individual cephalosporins

Resistance to cephalosporins in the *E. coli* isolates overall was 75.7%. It was significantly more among intestinal (79.8%) than extra-intestinal (70.3%) isolates (p<0.05). Individually, the resistance rate to drugs belonging to cephalosporins was maximum for cefotaxime (68.9%), followed by cefuroxime (53.9%), ceftriaxone (51.1%), ceftazidime (47.4%) and cefepime (46.1%). The resistance rate to all the individual drugs tested in this group was found to be significantly different (p<0.05) among intestinal and extra-intestinal isolates, with resistance rates being more among intestinal than extra-intestinal isolates. Resistance to cephalosporins was very high in *E. coli* isolates from all over the country i.e. 61% from Central India, 65.9% from Southern India, 80.6% from Eastern India, 86.5% from Northern India, and 87% from Western India (p<0.05) (Figure 5.18).

Resistance to different generations of cephalosporins among clinical *E. coli* isolates is reported routinely worldwide with rising trends (Lin *et al.*, 2019; Gashe *et al.*, 2018; Sudershan *et al.* 2014). Resistance to all generations of cephalosporins tested was observed in *E. coli* isolates with high rates in this study (Figure 5.19). A high resistance rate to two carbapenems and a fourth-generation cephalosporin (cefepime) evaluated in this study, was also observed (46.1%). Whereas carbapenems are a choice of drug to treat ESBL strains, cefepime is a reserved antimicrobial for the treatment of very severe infections with ESBL and carbapenemase-producing agents and treatment of patients with low white blood cell counts. Resistance to cefepime has also been reported among *E. coli* in the recent past (Isac *et al.*, 2021; Dona, 2019). Increasing incidences of resistance to these reserved drugs is a matter of serious concern as this not only results in persistent and recurrent infections; increasing hospital stays and associated costs of treatment choices available.

In the aminoglycoside group of drugs, three antimicrobials used were (amikacin, gentamicin, and kanamycin), collectively 39.3% of isolates (37.7% of the intestinal; 41.4% of the extra-intestinal) were resistant to one or more aminoglycosides. The resistance rate to aminoglycosides was more among extra-intestinal isolates than intestinal isolates (p<0.05). Different rates of resistance to the

aminoglycoside group of antimicrobials were observed among isolates from different geographical regions i.e. Central India-23.7%, Southern India- 33.5%, Eastern India-41%, Northern India 47.3%, and Southern India- 60.8% (p<0.05). Individually, amikacin was the most effective drug among intestinal as well as extra-intestinal isolates with a minimum drug resistance rate among the aminoglycoside group of drugs. While the resistance rate to Kanamycin and gentamicin was relatively more (Figure 5.20-5.21). The difference in resistance rates to kanamycin and amikacin was insignificant among the intestinal and extra-intestinal groups of isolates (p>0.05). On the other hand difference in resistance rate to gentamicin was significant among the two groups (p<0.05). Amikacin has also been found the most effective drug among various aminoglycosides in many other studies (Fernandez-Martinez et al, 2018; Lindemann et al., 2012; Gad et al., 2011). Resistance to aminoglycosides has been reported among clinical E. coli from India in various other studies with the least resistance to amikacin (Ranjini et al., 2015; Ray et al., 2015; Shahid et al., 2008). Better sensitivity of amikacin than other antibiotics i.e. gentamicin, kanamycin, etc. is mainly attributed to the fact that the primary resistance mechanism against aminoglycosides is through enzymatic modification by aminoglycosides-modifying enzymes (AMEs) and akimacin being a semisynthetic aminoglycoside have limited susceptibility to these enzymes. However, now amikacin-resistant gram-negative organisms are known which are acquired through acetylation by aminoglycoside 6'-N-acetyltransferase type 1b [AAC(6')-1b] enzymes (Ramirez and Tolmasky, 2017).

Quinolones are used as empirical therapy against diarrheal as well as UTI infections and the emergence of drug resistance to these drugs is widely reported (Recacha *et al.*, 2019). Increasing trends in quinolone-resistant *E. coli* infections have been observed worldwide which is closely associated with the spread of the ST131-SH30 clone of *E. coli* (Spellberg and Doi, 2015). The overall resistance rate to quinolones in this study was 71.7% with high resistance rates among intestinal (76.2%) as well as extra-intestinal (65.9%) isolates which were more among intestinal isolates in comparison to extra-intestinal isolates (p<0.05) (Figure 5.22). A resistance rate to quinolones was observed with very high rates among isolates from Western (100%), Northern (83.8%), and Eastern India (75.4%) in comparison to isolates from

Central (50.8%) and Southern India (61,8%) (p<0.05). *E. coli* with high resistance rates to quinolones have earlier been observed in various geographical locations of the country (Singh *et al.*, 2019; Banukumar et al, 2017; Arundhati *et al.*, 2016; Rath and Padhy, 2015). Individually, resistance to nalidixic acid was very high followed by norfloxacin and ciprofloxacin (Figure 5.23). Intestinal isolates were more resistant to all three quinolones in comparison to extra-intestinal isolates (p<0.05). Higher resistance to nalidixic acid in comparison to other quinolones has also been observed in other studies (Malekzadegan *et al.*, 2018; Mukherjee *et al.*, 2013).

In the sulphonamide class, trimethoprim and cotrimoxazole (trimethoprim + sulfamethoxazole) were evaluated. Out of 281 trimethoprim-resistant isolates, 11.7% were found sensitive to combination drugs (cotrimoxazole). Among 253 trimethoprim-sensitive isolates, 2.8% were resistant to cotrimoxazole (Table 5.31). The difference in the resistance pattern of trimethoprim and cotrimoxazole was found to be significant (p<0.05). Sulfonamides-resistant strains were detected in large percentages of isolates from all geographical locations of the country [Central India-35.6%, Southern India-40%, Eastern India-64.2%, Northern India- 65.5%, and Western India-69.6%), with a rate of 53.9% of the total study samples (p<0.05)] (Figures 5.24 and 5.25). The percentage of resistant isolates was more among intestinal (60.9%) than the extra-intestinal (44.8%) isolates (p<0.05). Sulfonamides-resistant *E. coli* has also been reported in India by other workers (Natrajan *et al.*, 2018; Singha *et al.*, 2015).

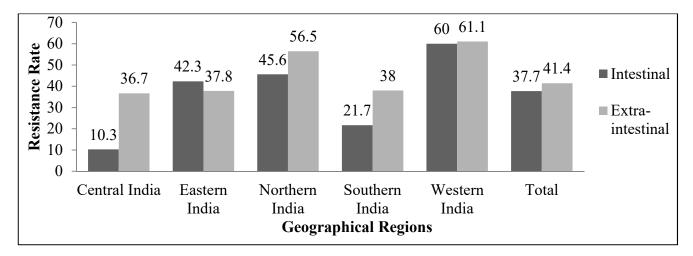


Figure 5.20: Region-wise resistance rates to aminoglycoside class of antimicrobials

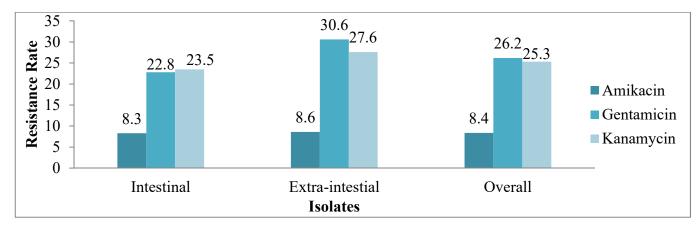


Figure 5.21: Resistance rates of E. coli isolates to individual aminoglycosides

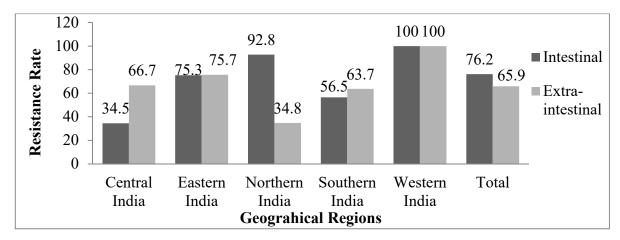


Figure 5.22: Region-wise resistance rates to quinolones class of antimicrobials

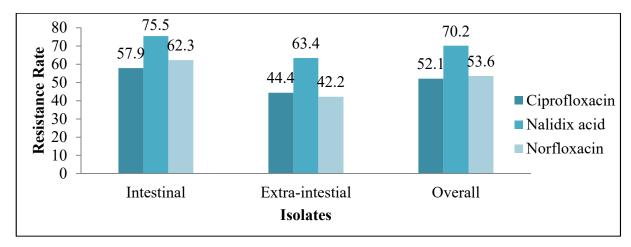


Figure 5.23: Resistance rates of *E. coli* isolates to individual quinolones

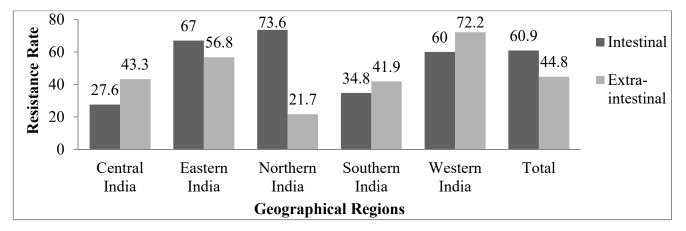


Figure 5.24: Region-wise resistance rates to sulfonamides class of antimicrobials

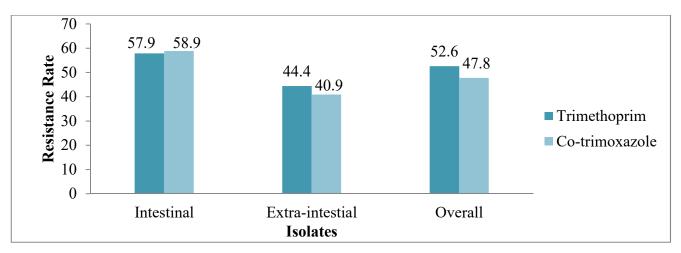


Figure 5.25: Resistance rates of *E. coli* isolates to individual sulfonamides

Trimethoprim resistance		Susceptibility	Total	
pattern		Resistant	Sensitive	
Resistant	Intestinal	154 (86.5)	24 (13.5)	178
	Extra-intestinal	94 (91.3)	9 (8.7)	103
	Total	248 (88.3)	33 (11.7)	281
Sensitive	Intestinal	6 (4.8)	118 (95.2)	124
	Extra-intestinal	1 (0.8)	128 (99.2)	129
	Total	7 (2.8)	246 (97.2)	253
Total	Intestinal	160 (53.0)	142 (47.0)	302
	Extra-intestinal	95 (40.9)	137 (59.1)	232
	Total	255 (47.8)	279 (52.2)	534

Table 5.31: Resistance pattern of cotrimoxazole to trimethoprim-resistant and sensitive isolates

Trimethoprim and sulfamethoxazole-resistant isolates are sensitive to cotrimoxazole (trimethoprim+sulfonamide) due to the synergetic effect of the two drugs (Minato *et al.*, 2018). Sensitivity to cotrimoxazole among trimethoprim-resistant strains in intestinal and extra-intestinal isolates could be observed which may either be due to sensitivity to sulfonamide or the synergetic effect of the combination drug. On the other hand, some of the trimethoprim-sensitive isolates were also found to be resistant to combination drugs. Similar observations were also made by Amyes and Brunton (1981).

Among phenicols chloramphenicol was evaluated. A very large percentage (88.2%) of isolates was found to be sensitive to chloramphenicol. The resistance rate to chloramphenicol in all geographical regions was low [Central India-3.4%, Southern India 8.8%, Eastern India 12.7%, Northern India-16.9%, and Western India-17.4% (p<0.05)]. The resistance rate among intestinal and extra-intestinal isolates to chloramphenicol in different regions and resistance to chloramphenicol overall is shown in figures 5.26 and 5.27 respectively. Resistance rates were found to be low among both intestinal (12.6%) as well as extra-intestinal (10.8%) overall isolates. (p>0.05). Chloramphenicol resistance became evident a few decades after its use among clinical isolates and later various studies have shown reversal trends of

chloramphenicol sensitivity. This could be due to low selection pressure for chloramphenicol resistance as extensive use of chloramphenicol was banned due to toxicity associated with the usage of this drug (Eliakim-Raz *et al.*, 2015). High sensitivity rates to chloramphenicol during the recent decade indicate promising therapy for infections with chloramphenicol, however, its usage is limited because of its toxic characteristics which have led to more research on synthesizing newer chloramphenicol derivatives with better pharmacological properties (Tevyashova, 2021).

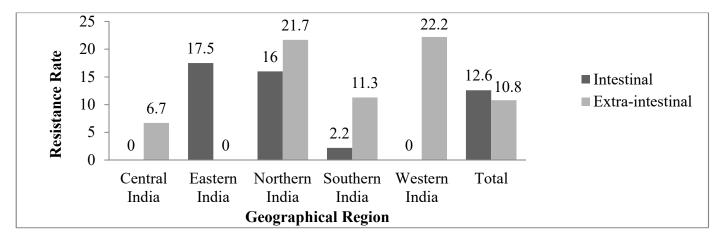


Figure 5.26: Region-wise resistance rates to chloramphenicol (phenicol class of antimicrobial)

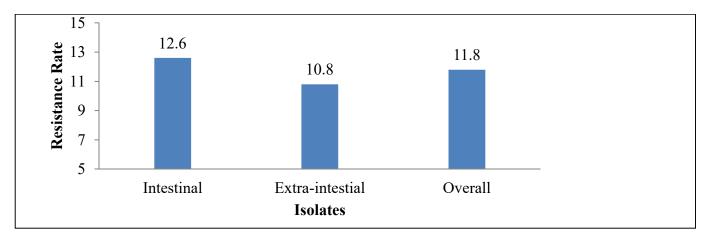


Figure 5.27: Resistance rates of E. coli isolates to chloramphenicol

Nitrofurantoin was found to be the second most sensitive drug after amikacin among the twenty antimicrobials evaluated in the present study. Overall least resistance of 10.9% was observed to this drug. Resistance among intestinal and extra-intestinal isolates was 10.6% and 11.2% respectively (p>0.05). The resistance rate to nitrofurantoin was found low among intestinal as well as extra-intestinal isolates from all the geographical locations of the country (p<0.05) (Figures 5.28 and 5.29).

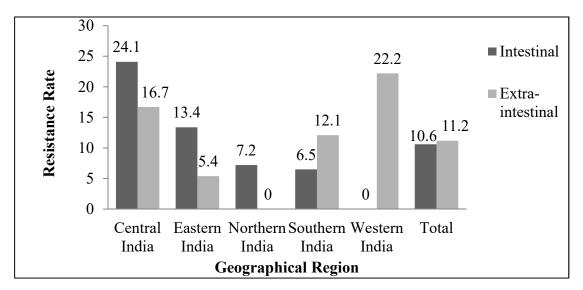


Figure 5.28: Region-wise resistance rates to nitrofurantoin (furantoin class of antimicrobial)

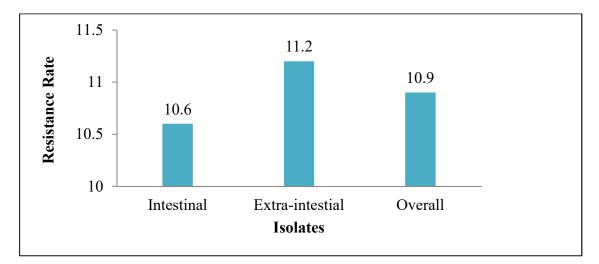


Figure 5.29: Resistance rates of E. coli isolates to nitrofurantoin

Nitrofurantoin is one of the important drugs to treat UTI as it is excreted in urine unaltered in very high concentrations making it easy to availability of the drug in the urinary tract. A low resistance rate to nitrofurantoin among *E. coli* is reported worldwide and is thus considered a promising antibiotic against MDR *E. coli* strains (Lee *et al.*, 2018; Sanchez *et al.*, 2014).

5.5.1. ESBLProduction

CDC has listed ESBL-producing Enterobacteriaceae among the "serious threats" in its latest antibiotic resistance threats report (CDC, 2019). ESBL-producing E. coli strains are becoming a global threat as these strains are resistant to different classes of β-lactam drugs including third and fourth-generation cephalosporins (Vachvanichsanong et al., 2021; Day et al., 2019; Falgenhauer et al., 2019). All 534 isolates were tested for ESBL production using a confirmatory disc diffusion assay using cefotaxime and ceftazidime alone and with clavulanic acid. ESBL using cefotaxime and cefotaxime-clavulanic acid discs was detected in 198 (37.1%) isolates, while it could be detected in only 188 (35.2%) of the isolates using ceftazidime and ceftazidime-clavulanic acid discs. Isolates were considered ESBL producers when ESBL was detected in either of the drug combination discs. ESBL in the present study was detected in 199 (37.1%) of the isolates with very large numbers in both intestinal (120; 39.7%) and extra-intestinal (79; 34.1%) isolates (p>0.05) (Table 5.32).

ESBL-producing *E. coli* were detected among isolates from all geographical regions with a range varying from 28.2% in southern India to 60.9% in western India (p<0.05) (Table 5.33). Varying rates of ESBL-producing *E. coli* have been reported from different geographical locations in India in different earlier studies (Gautam *et al.*, 2019; Ravikant *et al.*, 2016; Varkey *et al.*, 2014; Sharma *et al.*, 2013; Goyal *et al.*, 2009; Aggarwal *et al.*, 2008).

The drug used to detect	ESBL rate detected n (%)			
ESBL production	Intestinal isolates n= 302	Extra-intestinal isolates; n=232	Total N = 534	
Cefotaxime/cefotaxime- clavulanic acid	119 (39.4)	79 (34.1)	198 (37.1)	
Ceftazidime/ ceftazidime- clavulanic acid	115 (38.1)	73 (31.5)	188 (35.2)	
Overall ESBL producers	120 (39.7)	79 (34.1)	199 (37.3)	

Table 5.32: Comparison of ESBL detection rates using cefotaxime and ceftazidime.

Table 5.33: Extended-spectrum β-lactamase activity in *E. coli* isolates

Geographical location	ESBL rate detected N (%)				
	Intestinal isolates	Extra-intestinal isolates	Overall		
Central India	5 (17.2)	13 (43.3)	18 (30.5)		
Eastern India	34 (35.1)	16 (43.2)	50 (37.3)		
Northern India	67 (53.6)	2 (8.7)	69 (46.6)		
Southern India	10 (21.7)	38 (30.6)	48 (28.2)		
Western India	4 (80.0)	10 (55.6)	14 (60.9)		
Total	120 (39.7)	79 (34.1)	199 (37.3)		

Among the total 199 ESBL-producing isolates, 193 were MDR. Among ESBL producers 74 were also resistant to carbapenems while 125 were sensitive to carbapenems. Out of the total 534 isolates, a large number of isolates (73/534; 13.6%) were found to be having all three resistance characteristics i.e. MDR, ESBL

production, and carbapenem resistance. These highly resistant *E. coli* were detected among intestinal as well as extra-intestinal isolates and from all geographical locations. Virulence factors, serogroup, and DEC pathotypes (among intestinal isolates) associated with these highly drug-resistant strains are shown in figure 5.30. No significant correlation between ESBL production and carbapenem resistance could be established (p>0.05), as 125 ESBL producers but carbapenems sensitive isolates 120 were also found to be MDR (Table 5.34).

Carbapenems are used as a last resort drug for the treatment of ESBL-producing bacteria including ESBL-producing *E. coli* (Vardakas *et al.*, 2012). Resistance to carbapenems in ESBL-producing MDR (73/534; 13.6%) isolates observed in this study is thus a matter of concern. ESBL-producing MDR CRE is reported worldwide including in countries where carbapenems are not even prescribed i.e. Ethiopia, which is a serious concern regarding its spread (Tshitshi *et al.*, 2020; Legese *et al.*, 2017; Hara *et al.*, 2013; Amjad *et al.*, 2011).

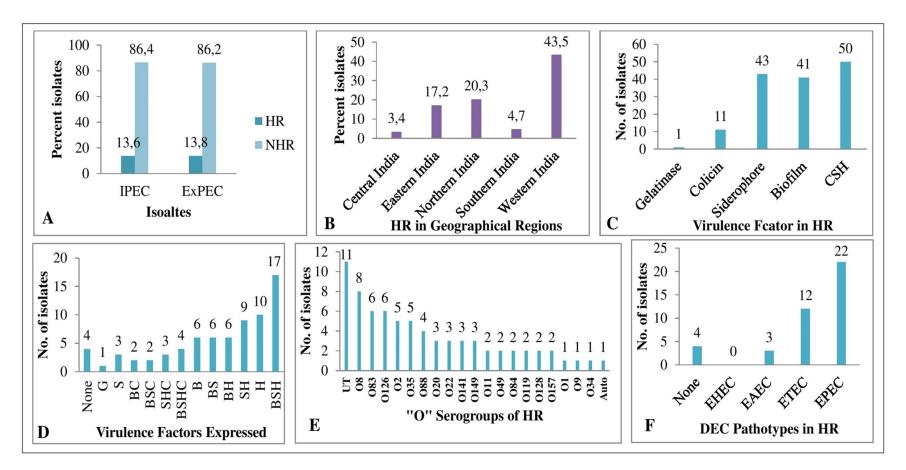


Figure 5.30: Highly drug-resistant isolates - A: Percentage in IPEC and ExPEC isolates; B: Frequency of Virulence factors expressed; C: Region-wise percentage; D: Combination of virulence factors expressed; E: Associated serogroups and F: Associated DEC pathotypes Here: HR- Highly resistant strains, NHR- Non-highly resistant strains, G-gelatinase, B- biofilm, S-siderophore, H-CSH, C- Colicin; UTuntypeable, Auto-agglutinating.

ESBL	Carbapenem resistance		Resistance to	
producers	Ν		multiple drug classes	
			MDR	Non-
				MDR
N=199	Resistant - 74	Intestinal - 41	41	0
		Extra-intestinal - 33	32	1
		Total - 74	73	1
	Sensitive -	Intestinal - 79	77	2
	125	Extra-intestinal - 46	43	3
		Total - 125	120	5
	Overall	Overall - 199	193	6
	among ESBL			
	producers			

Table 5.34: Relationship between ESBL production, MDR, and carbapenem resistance

In medicine, biofilm plays an important role because of its association with drug resistance and recurrent infections. *E. coli* biofilms have strongly and positively been found to be correlated to multiple drug resistance in different studies (Karigoudar *et al.*, 2019; Pavlickova *et al.* 2017). A large number of biofilm-producing isolates in this study were also found to be MDR, ESBL-producing, and carbapenem-resistant, however no statistically significant difference between biofilm producers and biofilm non-producers was observed in resistance rates to these drug resistance characteristics (p>0.05) as MDR, ESBL producers, and carbapenem resistance isolates were also found to be in very large numbers among biofilm non-producing isolates (Table 5.35). Though biofilm does increase drug resistance, however other factors like mutation, enzyme production (β -lactamase and extended spectrum β -lactamase), etc. seem to be more critical drug resistance mechanisms among clinical *E. coli*.

Biofilm characteristic	MDR n (%)		ESBL n (%)		Carbapenem Resistance n (%)	
	MDR	Non-	Positive	Negative	Resistant	Sensitive
		MDR				
Biofilm	96	202	112	186	65	233
forming - 298	(32.2)	(67.8)	(37.6)	(62.4)	(21.8)	(78.2)
Biofilm non-	61	175	87	149	65	171
forming - 236	(25.8)	(74.2)	(36.9)	(63.1)	(27.5)	(72.5)

Table 5.35: Biofilm and MDR, ESBL producers, and carbapenem resistance

E. coli is the common pathogen associated with hospital-acquired as well as community-associated infections. The prevalence of higher rates of drug resistance to commonly used antimicrobials i.e. beta-lactams including cephalosporins, the newer generation of cephalosporins, and emergency drugs i.e. Cefepime and carbapenems with resistance to multiple drugs is a matter of immediate concern. Two common antimicrobial resistance containment strategies i.e. rapid detection with quick containment responses to resistant cases and screening and use of transmission-based precautions seem to be the best available options in this aspect. The former is effective in low-prevalence areas, while the latter can be employed in higherprevalence areas like India (Rizzo et al., 2019; Woodworth et al., 2018). Keeping in view the importance of MDR E. coli infection in humans and animals and its spread through various sources i.e. food and water "one health" approach suggested by WHO for containment of antimicrobial resistance is critical in reference to MDR E. coli infections (McEwen and Collignon, 2018). The government of India has also stressed regular surveillance of microbes including E. coli with rapidly increasing resistance rates through the formulation of India's antimicrobial resistance action plan emphasizing on rational use of antimicrobials and strengthening of the surveillance network (National Action Plan on Antimicrobial resistance India, 2017). Antimicrobial resistance is triggered by excessive usage of antimicrobials and hospital-acquired resistance is associated with hospitals' antimicrobial regimes, thus

requiring the implementation of stewardship programs strictly at healthcare levels (Cusini et al., 2018).

Containment of infections requires a good understanding of the prevalence of the pathogen, its transmission and pathogenesis mechanisms, and local resistance patterns through extensive surveillance programs for effective interventions and the selection of appropriate treatment options (Ammenti et al., 2020; Gajdacs et al., 2019). The present study attempted to highlight the prevalence of E. coli, different DEC pathotypes, common colonization virulence factors, and drug resistance patterns in different parts of the country. Keeping in view the importance of *E. coli* infections in animals, and humans and its wide occurrence in the environment i.e. food and water sources and the spread of MDR E. coli among various sources, more such studies are required on regular basis for better understanding and generate up to date databases for better control and management of this infectious agent and reduce mortality associated with morbidity, and treatment costs it.

CHAPTER-6

SUMMARY



CONCLUSION

6. SUMMARY AND CONCLUSION

Escherichia coli is the most common etiological agent of urinary tract infection (UTI) worldwide and accounts for more than 80% of UTIs. It is also one of the commonest etiological agents of diarrhea and can result in diarrhea of variable severity and may also lead to very severe systemic infections like septicemia, neonatal meningitis, hemolytic uremic syndrome (HUS), and hemorrhagic colitis (HC). Though Escherichia coli outbreaks are very common worldwide, developing nations including India are the most affected due to poor socioeconomic conditions, poor hygiene, thick populations, and inadequate healthcare infrastructure. These factors in developing countries not only contribute to high E. coli infection rates but also aid in the rapid spread of antimicrobial-resistant pathogenic strains making treatment and management of E. coli infections a very challenging job. Due to the emergence of MDR E. coli strains with resistance to even newer and emergency antimicrobial agents i.e. carbapenems and colistin, WHO and CDC have listed CRE including E. coli among "Critical Pathogens" and "Serious Threat" pathogens respectively in their list of priority pathogens of public health that require serious urgent attention for containment strategies and development of newer antimicrobials against them.

The prevalence and characterization of *E. coli* isolate are of prime importance for better understanding and better planning of control and management strategies for containing this common infectious agent. Such studies generate important epidemiological data regarding prevalent serotypes, prevalent pathotypes, most important virulence mechanisms and drug resistance profiles, etc. which serves as important factors in designing containment and control strategies.

E. coli clinical isolates from different geographical regions of India were characterized in the present study. To incorporate clinical *E. coli* isolates from all geographical regions of the country, the study samples were taken from National Salmonella and Escherichia Center (NSEC) a reference laboratory in India, which receives *Salmonella* and *E. coli* isolates from all parts of the country. In this study, a

total of 783 suspected *E. coli* isolates that were received at National Salmonella and Escherichia Center (NSEC) during the study period were taken for the study. All the samples were first revived by sub culturing on MacConkey agar and nutrient broth then the stock culture of all revived samples was taken on nutrient agar slants. All viable isolates were characterized by biotyping for confirmation as *E. coli*. Isolates identified as *E. coli* on biotyping were then further characterized by serotyping, virulence characteristics, and antimicrobial profiles. All intestinal isolates were also characterized genotypically to identify prevalent diarrhea-genic pathotypes.

6.1. Biotyping

Cultural characteristics of the test isolates were examined on Nutrient agar, MacConkey agar, and nutrient broth media. The morphology of the isolates was examined microscopically after Gram staining. Study isolates were identified using standard microbiological techniques using a set of biochemical tests. Isolates that had morphological and biochemical profiles consistent with E. coli (i.e. Gram-negative rods, IMViC: +ve +ve, -ve, -ve, Glucose: AG, Lactose: A, TSI: A/A with or without gas, ONPG: +ve, NR: +ve, Urease: -ve, Catalase: +ve, Oxidase: -ve) were designated as confirmed E. coli isolates. A total of 534 isolates out of 783 taken for the study were confirmed as E. coli. Geographically the distribution of the confirmed E. coli isolates was not uniform but representative samples were obtained from all geographical regions. These 534 confirmed E. coli isolates were from different regions of India (Northern India: 170 isolates, Eastern India: 134 isolates, Central India: 59 isolates, Western India: 23 isolates, and Southern India: 170 isolates). These 534 isolates consisted of both intestinal as well as extra-intestinal isolates i.e. 302 were intestinal isolates from human diarrhea (DEC) and the rest 232 were extraintestinal isolates from human UTI (UPEC).

All 534 confirmed clinical *E. coli* isolates in the present study were further characterized to see the prevalence of different epidemiological, virulence, and resistance characteristics in different geographical locations of the country.

6.2 Serotyping

E. coli were typed using specific anti "O" E. coli antisera to evaluate the prevalent "O" serogroups and geographical variability of "O" serogroups if any among study isolates from different parts of the country. E. coli isolates in the present study were found to be diverse in terms of "O" serogroups as these strains were clustered over 36 different "O" serogroups including 96 isolates that could not be typed using the serotyping and were designated as UT (untypeable). The ten most frequent "O" serogroups detected were O8 (46 isolates; 8.6%), O11 (36 isolates; 6.7%), O22 (33 isolates; 6.2%), O88 (33 isolates; 6.2%), O126 (28 isolates; 5.2%), O83 (25 isolates; 4.7%), O35 (25 isolates; 4.7%), O141 (23 isolates; 4.3%), O149 (22 isolates; 4.1%) and O7 (21 isolates; 3.9%). In the present study serogroup O157 which is associated with the most severe type of *E. coli* infection that leads to bloody diarrhea and HUC was detected in only 7 (1.3%) isolates (6 intestinal and 1 extra-intestinal). Serotypes frequently isolated during E. coli outbreaks in developed countries including i.e., O104, O145, O121, O111, O103, O45, and O26, and those described under severe infections associated categories of the five STEC seropathotypes A to E described by Karmali et al., were not detected in this study except serotype O157 and O5 placed with very insignificant percentage i.e. 1.3% and 0.2% respectively. While few serogroups associated with class D (low incidence rate, rarely involved in outbreaks, not associated with HUS/HC) were detected i.e. O7; 21/534 (3.9%), O119; 10/534 (1.9%), O117;1/534 (0.2%). "O" serogroups among E. coli isolates from different regions were not uniform. The majority of serogroups were detected in both intestinal as well as extra-intestinal isolates except O4, O89, and O117 which were detected only in extra-intestinal isolates, and O5, O84, O86, O98, O114, O126, O128, and auto-agglutinating which were detected only in intestinal isolates. Some of the "O" serogroups were found to be associated with its source i.e. intestinal: O2, O22, O119, O149, O157, or extra-intestinal: O7, O11, O35.

6.3. Pathotypes

In the present study intestinal isolates from various geographical regions of India were characterized using multiplex PCR targeting virulence genes of four prevalent DEC [genes: eae, bfpA (for EPEC), est, elt (for ETEC), hlyA (for EHEC), and CVD432 (for EAEC). DEC virulence genes targeted in the present study could be detected in 297 (98.3%) out of 302 confirmed intestinal *E. coli* isolates. The most prevalent DEC pathotypes in the study samples were enterotoxigenic *E. coli* (ETEC) and enteropathogenic *Escherichia coli* (EPEC) with the frequency of 137/302; 45.4% and 126/302 i.e. 41.7% respectively. Among the EPEC isolates atypical EPEC strains (eae gene only-34.8%) were found to be more prevalent than the typical EPEC strains (both eae and bfpA genes) throughout the country. Among ETEC ST strains (heat-tabile ETEC-elt gene) in the present study. In this study all ETEC strains were found to possess marker genes either for heat-tabile (elt) or heat-stable (est) enterotoxin, no isolate was expressing both genes simultaneously. None of the pathotypes were found uniformly distributed throughout the country.

EHEC pathotype was found to be very scanty in this study as the hlyA gene could be detected in very few (9 out of 302; 3 % only) study samples. The marker gene for hemorrhagic *E. coli* (hlyA) was detected only among isolates from eastern and northern India with very low frequencies.

All isolates possessing the hlyA gene were non-O157 serotypes in the present study but did not belong to any serious seropathotype category.

The virulence gene marker for EAEC (CVD432) was also detected in the present study only in 8.3% of the isolates (25/302).

No hybrid pathotype was detected in the present study. No significant association between pathotype and serotype was found in the present study.

6.4. Cell surface hydrophobicity

In the present study Salt aggregation test (SAT) was used to study the hydrophobicity of the pathogenic *E. coli* under evaluation. In this study, this virulence characteristic among study samples with a 69.1% positivity rate was detected. More percentage of intestinal isolates (75.2%) were found to be hydrophobic in comparison to extra-intestinal isolates (61.2%). Cell surface hydrophobicity characteristic was found in large percentages among both intestinal as well as extra-intestinal isolates irrespective of geographical location.

A wide range of "O" serogroups were found to be associated with intestinal and extra-intestinal hydrophobic isolates. No preference among different serotypes for cell surface hydrophobicity was observed among intestinal as well as extraintestinal isolates. All six O157 intestinal isolates were found to be hydrophobic. Cell surface hydrophobicity was detected in a very high percentage in *E. coli* isolates irrespective of the seasons.

6.5 Biofilm

To detect a biofilm-forming property of the isolates standard tissue culture plate technique was used and two simple techniques i.e. the tube method and congo red agar were also performed to evaluate these techniques against the standard TCP method. A large percentage (53.6% to 59%) of isolates were found to form biofilm as detected using three different methods. Irrespective of the geographical regions from where the samples were isolated biofilm-forming characteristic was observed in the majority of *E. coli* isolates among intestinal as well as extra-intestinal isolates. TCP and TM methods detected biofilms in more of the extra-intestinal isolates than intestinal isolates. The strength of biofilm detected in the majority of the biofilm-forming isolates was moderate. *E. coli* isolates were found to form biofilms irrespective of serogroup. Isolates of serotype O157 were also found to form moderate to strong biofilms.

Large percentages of isolates from summer (70.1-71.1%), monsoon (49.7-58.4%), and post-monsoon (36-84%) were found to produce biofilms in comparison to isolates from winter (48%-55.9%). This seasonal trend was also observed among intestinal isolates however among extra-intestinal *E. coli* isolates biofilm was detected in a very high (77.8%-94.4%) percentage of isolates in the winter season also.

TM and CRA methods were found to be having good test characteristics i.e. accuracy, sensitivity and specificity, and predictive values, especially the tube method which was found more accurate (70.79) and specific (68.07) than CRA (64.98 and 56.78 respectively) and having better positive and negative predictive values (73.61 and 65.85 respectively).

6.6. Siderophores

In this study, the prevalence of this virulence factor and its type among *E. coli* isolates was also evaluated. Initially, siderophore screening was carried out by Chrome azurol S (CAS) agar culture and then by chemical characterization to detect the two most widely associated siderophores with pathogenic *E. coli* viz. hydroxamate and catechol-types by Arnow's and Csaky assays respectively.

Culture on CAS agar could detect siderophores in 45.1% of the isolates with more percentages in extra-intestinal than the intestinal *E. coli* isolates. Taking into consideration of results of CAS agar and chemical tests siderophores could be detected in 56.7% of the isolates overall with larger percentages of hydroxamate-type siderophores in all geographical regions. Hydroxamate-type siderophore (36.1%) was also detected more frequently than catechol-type siderophore (3.3%) in intestinal isolates. Both hydroxamate and catechol-types of siderophores were detected with high rates in extra-intestinal isolates i.e. 34.5% and 24.6% respectively. Catechol-type siderophore was detected more frequently among extra-intestinal isolates than intestinal isolates. Both types of siderophores were expressed simultaneously in 6 isolates (1 intestinal and 5 extra-intestinal). *E. coli* isolates could be typed on basis of siderophore characterization into five categories viz. 1) Isolates producing hydroxamate only 2) isolates producing catechol only 3) isolates producing both catechol and hydroxamate 4) isolates producing siderophores other than hydroxamate and catechol-types and 5) siderophore non-producers.

A significant association between the "O" serogroup and the type of siderophore was not observed. Very high percentages of isolates among some of the serogroups i.e. O7, O11, and O141 were siderophores producers. Isolates (4/7) of serotype O157 were also found to produce.

Isolates from summer (84.5%) and monsoon/post-monsoon (51.6%/88%) seasons were found to produce siderophores more frequently than from the winter season (38.2%).

6.7. Colicin

Colicin production was detected in *E. coli* isolates by phenotypic soft agar overlay method using colicin-sensitive strain *E. coli* K12. In the present study, 20.2% of the total isolates were found to be colicin producers which were comparatively less than other virulence factors i.e. CSH, biofilm, and siderophores. Colicin producers were detected in more percentage among extra-intestinal isolates (31%) than intestinal isolates (11.9%). The percentage of colicin-producing isolates was low among isolates from all the geographical areas ranging from 4 to 30%. No intestinal isolate from western India was found to produce colicin and no extra-intestinal isolate from northern India produced colicin. Different "O" serogroups were found to produce colicin. The colicin production rate among isolates from the post-monsoon season was comparatively more than among isolates from other seasons.

6.8. Gelatin Hydrolysis

Gelatin hydrolysis was detected by studying gelatinase activity on gelatin agar. Gelatinase activity was shown by only 4.5% of the total isolates. Though the frequency of gelatinase-producing *E. coli* was more among intestinal isolates than the extra-intestinal isolates, however in overall gelatin hydrolysis was the least expressed virulence factor of intestinal as well as extra-intestinal *E. coli* isolates in all geographical locations. None of the isolates from Western India was found to hydrolyze gelatin. Similarly, none of the extra-intestinal isolates from northern India was found to hydrolyze gelatin. Twenty-four gelatin hydrolyzing isolates in the present study belonged to eight different "O" serogroups. Among intestinal isolates, 57% of gelatin hydrolyzing isolates were associated with O22 and UT isolates. None of the O157 isolates were found to hydrolyze gelatin. The rate of gelatin hydrolyzing isolates was low during all seasons. All three gelatin hydrolyzing, extra-intestinal isolates were from the monsoon season.

6.9. Co-expression of virulence factors

38.6% of the isolates were found to express multiple virulence factors (more than three virulence factors). Among intestinal isolates 31.7% and extra-intestinal isolates, 47.4% of the isolates expressed multiple virulence factors. Co-expression of cell surface hydrophobicity (CSH) and siderophore production with biofilm formation was observed as the most commonly expressed combination of the multi-virulent trait.

6.10. Antibiogram

In the present study, 20 antibiotics representing seven different antimicrobial classes were tested for susceptibility. Isolates were assigned as resistant, intermediate, and sensitive as per CLSI guidelines High resistance rates to ampicillin, nalidixic acid, cefotaxime, and amoxiclav were found and this was observed in isolates from all geographical locations of the country. On the other hand amikacin, nitrofurantoin, chloramphenicol, imipenem, and meropenem were found to be the most effective drugs. The level of drug resistance in study samples was found to be very high as only 11% of the isolates were found to be sensitive to all the classes of antimicrobials, 9% were resistant to only one class of antimicrobials, 9% were resistant to two different classes of antimicrobials (TDR) and71% of the isolates were MDR. MDR isolates were found from the various geographical area of the country in large percentages.

Resistance to β -lactam drugs was observed in a very large percentage (82.8%) among intestinal (85.4%) as well as extra-intestinal isolates (79.3%). Resistance was observed to all types of β -lactam drugs i.e. penicillins (74.2%), cephalosporins (75.7%), and carbapenem (24.3%). The resistance rate to these β -lactam classes of drugs was found high among intestinal (81.1%, 79.8%, and 23.8% respectively) as well extra-intestinal isolates (65.1%, 70.3%, and 25% respectively).

6.10.1. Penicillin class of drugs

Among the penicillin class of a β -lactam group of drugs three antimicrobials, i.e. one pure penicillin (ampicillin) and two penicillins with β -lactamase inactivators i.e. amoxiclav (amoxicillin + clavulanic acid) and piperacillin with tazobactam were evaluated.

Overall 74.2% of the isolates were resistant to penicillins. The resistance rate to penicillins among isolates from various geographical locations was significantly different ranging from 55.9% among isolates from Central India to 100% among isolates from western India.

Individually, piperacillin-tazobactam was the most effective penicillin drug against intestinal as well as extra-intestinal isolates while the resistance rate to ampicillin and amoxiclav was higher. The resistance rate in intestinal isolates was significantly more for ampicillin and amoxiclav in comparison to extra-intestinal isolates however, no significant difference in resistance rate to piperacillin-tazobactam was found among the two groups of isolates.

6.10.2 Carbapenem class of drugs

The resistance rate to carbapenems was observed relatively low in isolates from Central and Southern India than from other parts of the country in the present study. Individual resistance rate to imipenem was 15.2% overall with almost equal rates among intestinal (15.2%) as well as extra-intestinal (15.1%) isolates. Similarly, no significant difference in resistance rate to meropenem among intestinal and extra-intestinal isolates (21.5 % and 19.8% respectively) was observed. Collectively 20.8% of the isolates were resistant to meropenem.

6.10.3. Cephalosporin class of drugs

Resistance to cephalosporins in the *E. coli* isolates overall was 75.7%. It was significantly more among intestinal (79.8%) than extra-intestinal (70.3%) isolates. Individually, the resistance rate to drugs belonging to cephalosporins was maximum for cefotaxime (68.9%), followed by cefuroxime (53.9%), ceftriaxone (51.1%), ceftazidime (47.4%), and cefepime (46.1%). The resistance rate to all the individual drugs was more among intestinal than extra-intestinal isolates. Resistance to cephalosporins was very high in *E. coli* isolates from all over the country ranging from 61% to 87% with maximum resistance among isolates from Western, Northern, and Eastern India.

High resistance rates to all generations of cephalosporins evaluated, including Cefepime (46.1%) a fourth-generation cephalosporin, was observed among the *E. coli* isolates in this study.

6.10.4 Aminoglycoside class of drugs

In the aminoglycoside group of drugs, three antimicrobials were used (amikacin, gentamicin, and kanamycin), collectively 39.3% of isolates (37.7% intestinal; 41.4% extra-intestinal) were resistant to one or more aminoglycosides.

Individually, amikacin was the most effective drug among intestinal as well as extra-intestinal isolates. While the resistance rate to Kanamycin and gentamicin was relatively more. The difference in resistance rate to gentamicin was significant among the intestinal and extra-intestinal isolates.

6.10.5 Quinolones class of drugs

In the quinolone class nalidixic acid, ciprofloxacin and norfloxacin were used. The overall resistance rate to quinolones in this study was 71.7% with high resistance rates among intestinal as well as extra-intestinal isolates which were more among intestinal isolates in comparison to extra-intestinal isolates. A resistance rate of 50.8% to 100% was observed in various parts of the country, with very high rates among isolates from Western, Northern, and Eastern India.

Individually, resistance to nalidixic acid was very high followed by norfloxacin and ciprofloxacin. Intestinal isolates were more resistant to all three quinolones in comparison to extra-intestinal isolates.

6.10.6. Sulfonamide class of drugs

In the sulphonamide class, trimethoprim and cotrimoxazole (trimethoprim + sulfamethoxazole) were evaluated. Sulfonamide-resistant strains were detected in large percentages (53.9%) of isolates and resistant isolates were detected from all geographical locations of the country.

Out of 281 trimethoprim-resistant isolates, 11.7% were found sensitive to combination drugs (cotrimoxazole). Among 253 trimethoprim-sensitive isolates, 2.8% were resistant to cotrimoxazole. The difference in the resistance pattern of trimethoprim and cotrimoxazole was found to be significant (p<0.05).

6.10.7. Phenicol class of drugs

Among phenicols chloramphenicol was evaluated. A very large percentage (88.2%) of isolates was found to be sensitive to chloramphenicol. Resistance rates were found to be low among both intestinal as well as extra-intestinal isolates in all geographical locations.

6.10.8. Nitrofurantoin class of drugs

Nitrofurantoin was found to be the second most sensitive drug after amikacin among the twenty antimicrobials evaluated in the present study. Overall least resistance of 10.9% was observed to this drug. The resistance rate to nitrofurantoin was found low among intestinal as well as extra-intestinal isolates from all the geographical locations of the country.

6.10.9. ESBLProduction

All 534 isolates were tested for ESBL production using a confirmatory disc diffusion assay using cefotaxime and ceftazidime alone and with clavulanic acid. ESBL in the present study was detected in 199/534 (37.1%) of the isolates with very large numbers in both intestinal (120/302; 39.7%) and extra-intestinal (79232; 34.1%) isolates. ESBL-producing *E. coli* were detected among isolates from all geographical regions with a range varying from 28.2% in southern India to 60.9% in western India.

Out of 199 ESBL-producing isolates, 193 were MDR, and 74 were resistant to carbapenems. Seventy-three isolates (73/534;13.6%) were having all three resistance characteristics i.e. MDR, ESBL production, and carbapenem resistance.

A large number of biofilm-producing isolates in this study were also found to be MDR, ESBL-producing, and carbapenem-resistant however no statistically significant difference between biofilm producers and biofilm non-producers was observed in resistance rates to either of these drug resistance characteristics.

The present study highlights that pathogenic E. coli in circulation in India are very diverse in terms of epidemiological markers i.e. serotypes, DEC pathotypes, and virulence factors. The most common DEC pathotypes are EPEC and ETEC in the country. Virulence factors aiding colonization of pathogenic E. coli i.e. Cell surface hydrophobicity, biofilms, and siderophore release seem to play a very important role in the pathogenesis of E. coli infections. The results in this study also reflect the grieved situation of the severe level of antimicrobial resistance in E. coli strains in India as a large number of strains were resistant to commonly used first and second-line antimicrobials. MDR, ESBL-producing, and resistance to carbapenems and Cefepime were also detected in a large number of isolates. Keeping in view the importance of E. coli infections in animals, and humans and its wide occurrence in the environment i.e. food and water sources and the spread of MDR E. coli among various sources, concrete steps need to be taken to alleviate this problem like:

1. Conducting surveillance studies on regular basis for better understanding and to generate up-to-date databases at national, state, and district levels for better control and management of this infectious agent and reduce morbidity, mortality, and treatment costs associated with it and to formulate effective stewardship plans at local levels for better treatment of drug-resistant infections; 2. formulation of guidelines on rationale use of antimicrobials in treatment and animal feed and strictly implementing these guidelines; 3. Implementing appropriate intervention strategies for containment of the spread of such infections i.e. practicing proper hygiene, availability of clean drinking water, development of effective laboratory diagnosis and treatment facility network; 4. Discovering newer antimicrobials and exploring alternative treatment options i.e. phage therapy, phytochemicals, vaccines, probiotics, stem cell antimicrobial peptides, etc.

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Appendix 1

List of major media and reagents

Material	Manufacturer
Antibiotic discs	Tulip diagnostic Ltd.
Anti-O E. coli antisera	National Salmonella and Escherichia
	Center
Catalase reagent	SD Fine Chem Ltd.
Chrome azurol-S	HiMedia Pvt Ltd.
Congo Red	Loba Chemie Pvt. Ltd.
Diarrheagenic E. coli detection Kit	HiMedia Pvt Ltd.
ESBL Kit	HiMedia Pvt Ltd.
Extra-pure gelatin	HiMedia Pvt Ltd.
Glucose	HiMedia Pvt Ltd.
Glucose phosphate medium	HiMedia Pvt Ltd.
Lactose	Loba Chemie Pvt. Ltd.
Luria Bertani Broth	SRL Pvt. Ltd.
McConkey agar	Microxpres Pvt. Ltd.
Muller Hinton agar	HiMedia Pvt Ltd.
Nutrient agar	Microxpres Pvt. Ltd.
Nutrient broth	HiMedia Pvt Ltd.
ONPG Discs	HiMedia Pvt Ltd.
Oxidase reagent	HiMedia Pvt Ltd.
Peptone Water	Micromaster Pvt. Ltd.
Simmon Citrate Medium	HiMedia Pvt Ltd.
Sucrose	HiMedia Pvt Ltd.
Triple Sugar Iron Medium	Merck Pvt. Ltd.
Trypticase soy broth	Titan Biotech Ltd.
Urea medium base	Micromaster Pvt. Ltd.
Urea solution	HiMedia Pvt Ltd.

Piprazine-N,N-bis (2-ethansulfonic	HiMedia Pvt Ltd.
acid) (PIPES)	
N-Cetyl -N,N,N -	HiMedia Pvt Ltd.
trimethylammonium bromide	
(HDTMA)	
Brain Heart Infusion Agar	Difco Laboratories
Agarose	HiMedia Pvt Ltd.
Ethidium bromide	HiMedia Pvt Ltd.
Formalin	Gen Chem Pvt. Ltd.
Casamino acid	Difco
Cetrimide	HiMedia Pvt Ltd.
α-naphthol	HiMedia Pvt Ltd.
Ferric chloride	HiMedia Pvt Ltd.
MR reagent	HiMedia Pvt. Ltd.
Nitrate broth	HiMedia Pvt. Ltd.

Appendix 2

List of Equipment used

Equipment	Model No.	Make
Analytical balance	-	Eagle
Autoclave	GTA-001	Genist
Biosafety Cabinet	OL-114-A	Ocean Life Sciences
Electrophoresis unit	GNA-100	Pharmacia
ELISA Reader	Sunrise	Tecan
Hot air oven	-	New India Ltd.
Incubator	DL 2267	Scientific electronics
Laminar air flow	1554-ST	Klenzaid
Microscope	PZB-22	Quasmo
Orbital shaking	OLSC-109	Ocean Life Sciences
Incubator		
PCR Cycler	5333 45234	Eppendorf
pH meter	CP-901	Century
UV Trans-illuminator	BioVis	Expert Vision
Vortex mixer	-	Arihant International

Appendix 3

Composition of Media and reagents

Media:

 Composition per L:
 Quantity (gm/l)

 Ingredients
 Quantity (gm/l)

 1. Nutrient broth:
 5.00gm

 Peptone
 5.00gm

 Beef Extract
 1.50 gm

 Yeast Extract
 1.50 gm

 Sodium Chloride
 5.00 gm

 $pH=7.4\pm0.2$ $pH=7.4\pm0.2$

2. Nutrient agar

Nutrient broth	1 L
Agar	15.00 gm

 $pH=7.4{\pm}0.2$

3. Peptone water:

Peptone	10.00 gm
Sodium Chloride	5.00 gm
Distilled water	1 L
pH = 7.4	

4. Glucose phosphate medium:

Peptone	7.00 gm
Dipotassium Hydrogen Phosphate	5.00 gm
Glucose	5.00 gm
Distilled Water	1 L
$pH = 6.9 \pm 0.2$	

5. MacConkey agar:

Peptone	20.00 gm
Sodium Taurocholate	5.00 gm
Agar	20.00 gm
Neutral Red	0.4 gm
Lactose	15.00gm
Distilled Water	1 L
$pH = 7.1 \pm 0.2$	

6. Fermentation media:

Sugar (Glucose/lactose/sucrose)	1.00g/100ml
---------------------------------	-------------

7. Simmon's citrate media:

Sodium Chloride	5.00 gm
Magnesium Sulphate	0.20 gm
Ammonium Dihydrogen Phosphate	1.00 gm
Dipotassium phosphate	1.00 gm
Sodium Citrate	2.00gm
Agar	15.00gm
Bromothymol blue	0.08gm
Distilled water	1 L
$pH=6.8\pm0.2$	

8. Triple sugar iron medium:

Peptone	20.00 gm
Yeast extract	3.00 gm
Beef extract	3.00 gm
Glucose	1.00 gm

Lactose	10.00 gm
Sucrose	10.00 gm
Ferrous Ammonium Sulphate	0.20 gm
Sodium Chloride	5.00 gm
Sodium Thiosulphate	0.30gm
Agar	15.00gm
Phenol Red	0.025 gm
Distilled water	1 L
$pH=7.4\pm0.2$	

9. Christenson's urease medium:

Ureas Base:	
Peptone	1.00 gm
Agar	15.00 gm
Distilled water	1 L
Autoclaved at 121°C for 15	
minutes.	
Urea solution:	
Sodium Chloride	5.00 gm
Potassium Dihydrogen Phosphate	2.00 gm
Phenol Red	1.20 gm
Glucose	1.00 gm
Urea	20.00 gm

 $p\mathrm{H}=7.4\pm0.2$

10. Nitrate Reduction medium:

Peptic digest of animal tissue	5.00 gm
Meat Extract	3.00 gm
Potassium Nitrate	1.00 gm
Sodium Chloride	30.00 gm
Distilled water	1 L

11. Mueller Hinton agar:

Meat Infusion Solids	2.00 gm
Casein Acid Hydrolysate	17.50 gm
Starch	1.50 gm
Agar	17.00 gm
Distilled water	1 L

$pH=7.3\pm0.1$

12. Mueller Hinton broth:	
Meat Infusion Solids	300.00 gm
Casein Acid Hydrolysate	17.50 gm
Starch	1.50 gm
Distilled water	1 L

 $pH=7.3{\pm}0.1$

13. Luria Bertani broth:

Tryptone	10.00 gm
Yeast Extract	5.00 gm
Sodium Chloride	10.00 gm
Distilled water	1 L
pH= 7.5	

14. Congo Red Agar:

Brain heart infusion broth	37.00 gm
Sucrose	50.00 gm
Agar	10.00 gm
Congo Red indicator	0.8 g
Distilled water	1 L
pH=7.5	

15. Chrome Azurol S Agar:	
Blue Dye:	
Solution 1 (CAS Solution):	
CAS	0.06 gm
WFI	50 ml
Solution 2 (FeCl2 Solution):	
FeCl ₂ .6H ₂ O	0.0027 g
10mM HCl	10 ml
Solution 3 (HDTMA Solution):	
HDTMA	0.073g
WFI	40 ml
Final blue dye mixture:	
Solution 1	1 ml
Solution 2	9 ml
Solution 3	40 ml
Mixture Solution:	
Minimal Medium 9 (MM9) salt	
solution stock :	
Potassium dihydrogen phosphate	15.00 g
(KH ₂ PO ₄)	
Sodium hydroxide (NaOH)	25.00 g
Ammonium chloride (NH ₄ Cl)	50.00 g
WFI	500 ml
20% Glucose stock:	
Glucose	20.00 g
WFI	100 ml
Sodium hydroxide solution:	
Sodium hydroxide (NaOH)	25.00 g
WFI	150 ml
Casamino acid solution:	
Casamino acid	3.0g

WFI	27 ml	
Filter sterilized using a 0.2um syringe	e filter.	
Final CAS agar Media composition:		
MM9	100 ml	
Piprazine-N,N-bis (2-ethansulfonic	32.24 g	
acid) PIPES		
Casino acid	30 ml	
Glucose (20%)	10 ml	
Blue dye	100 ml	
Agar	15.00 g	
WFI	750 ml	

16. Fiss Minimum Medium:

Solution 1 (L-asparagine solution):	
Dipotassium hydrogen phosphate	5.00 gm
(K ₂ HPO ₄)	
L-asparagine	5.00 gm
WFI	954 ml
рН 6.8	
Solution 2 (50% glucose):	
Glucose	10.00 g
WFI	20 ml
Filter sterilized using a 0.22μ syringe	filter
Solution 3 (0.005% ZnCl ₂):	
ZnCl ₂	0.005g
WFI	100 ml
Filter sterilized using a 0.22µ syringe filter	
Solution 4 (0.001% MnSO ₄):	
Manganese sulphate	0.001 g
WFI	100 ml

Filter sterilized using a 0.22μ syringe filter	
Solution 5 (0.4%MgSO ₄):	
Magnesium sulphate	0.04 g
WFI	10 ml
Filter sterilized using a 0.22µ syringe	filter
Final MM media (mixed aseptically):	
Solution 1	954 ml
Solution 2	9.94 ml
Solution 3	9.94 ml
Solution 4	9.94 ml
Solution 5	9.94 ml

Solutions and reagents:

a. 0.5 M EDTA solution:	
Disodium EDTA	186.1 gm
NaOH	50.00 gm
Distilled water	To make 1L
pH= 8.0	

b. 1 M tris HCl solution:

Tris base	121 gm
Concentrated HCl	42 ml
Distilled water	To make 1 L
pH= 8.0	

c. mM EDTA solution (pH=8.0):

0.5 M EDTA	0.2 ml
Distilled water	100 ml

d. 10 mM Tris-HCl solution (pH=8.0):

1 M Tris-HCl	1.0 ml
Distilled water	99 ml
e. TBE buffer (10X):	
Tris base	1.0 ml
Boric acid	99 ml
0.5 M EDTA	
Distilled water	To make 1 L
pH= 8.0	
f. TE buffer :	
10 mM Tris-HCL (pH=8.0)	
1 mM EDTA (pH=8.0)	
g. 2% agarose gel:	
Agarose	2.00 gm
TBE Buffer	100 ml
h. Ethidium bromide:	
Stock solution:	
Ethidium Bromide	10.00 mg
Distilled water	10 ml
Working solution:	
Stock solution	100 µl
Distilled water	100 ml
i. Kovac's reagent for indole:	
p-dimethylaminobenzaldehyde	10.00 gm
Isoamyl alcohol	50 ml
Conc. HCl	50 ml

j. Methyl red reagent:

Methyl red	0.1 gm
Ethanol	300 ml

Distilled Water	200 ml
k. Voges proskauer reagent:	
Solution A:	
Potassium Hydroxide	40.00 gm
Distilled water	1000 ml
Solution B:	
α-naphthol	5 ml
Absolute alcohol	95 ml
1. Catalase reagent:	
Hydrogen peroxide	3 ml
Distilled Water	97 ml
m. Oxidase reagent:	
Tetramethyl-p-phenylene-	1.00 gm
diaminedihydrochloride	
Distilled Water	10 ml
n. Nitrate reduction reagent:	
NR solution I:	
Sulphanilic acid	8.00 gm
5N Acetic acid	1000 ml
NR solution II:	1000
N,N-dimethyl-1-naphthyamine	5 ml
5N Acetic acid	1000 ml
o. Crystal violet:	
Methyl violet	10.00 gm
Absolute alcohol	100 ml
Distilled Water	1000 ml

p. Gram's iodine:

Iodine	10.00 gm
Potassium Chloride	20.00 gm
Distilled Water	1000 ml

q. Decolorizer:

Absolute ethyl alcohol	70 ml
Distilled Water	30 ml

r. Dilute carbol fuschin:

Carbol fuschin conc.	1 ml
Distilled Water	9 ml

Appendix -4

List of Antimicrobials used

Antimicrobial agent	Concentration (µg/disc)
Amoxyclav	30
Ampicillin	10
Amikacin	30
Chloramphenicol	30
Ciprofloxacin	5
Co-trimoxazole	25
Cefepime	30
Ceftriaxone	30
Cefotaxime	30
Cefuroxime sodium	30
Gentamicin	10
Imipenem	10
Kanamycin	30
Meropenem	10
Nalidixic acid	30
Nitrofurantoin	300
Norfloxacin	10
Piperacillin-Tazobactam	100/10
Trimethoprim	5
Ceftazidime	30

Appendix -5

List of DEC pathotypes primers used

Diarrheagenic E. coli Detection Kit (multiplex): Kit No. MBPCR039-Himedia Pvt. Ltd, India was used containing the following primers:

Primer	Marker for pathotype	Size
eae	EPEC	482bp
bfpA	EPEC	300bp
Elt	ETEC	322bp
Est	ETEC	170bp
hlyA	EHEC	534bp
CVD432	EAEC	194bp

Appendix -6

Abbreviations

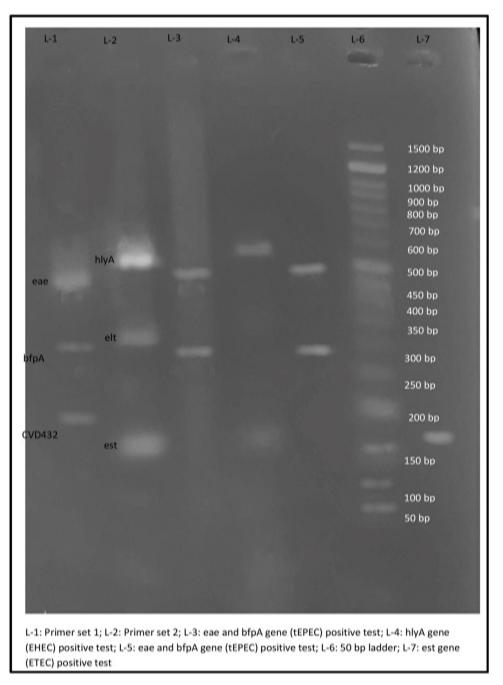
A. baumannii	Acinetobacter baumannii
AA	Aggregative adherence
aEPEC	Atypical Enteropathogenic Escherichia coli
AIEC	Adherent invasive Escherichia coli
AMR	Antimicrobial resistance
APEC	Avian pathogenic Escherichia coli
AST	Antibiotic Susceptibility Testing
ATP	Adenosine tri-phosphate
Вр	Base pair
C. defficile	Clostridium defficile
CAS	Chrome Azurol S agar
CD	Crohn's Disease
CDC	Centre for disease control
CDCP	Centre for Disease Control and Prevention
CEACAM-6	carcino-embryonic antigen-related cell adhesion
	molecule-6
CFs	Colonization factors
CLSI	Clinical laboratory standard institute
CNS	Central nervous system
COVID-19	Corona virus disease-2019
CR	Carbapenem resistant
CRA	Congo red agar
CSF	cerebro spinal fluid
CSH	Cell surface hydrophobicity
СТ	Cholera enterotoxin
DA	Diffuse adherence
DAEC	Diffusely adherent Escherichia coli

DBT	Department of biotechnology
DEC	Diarrhea-genic E. coli
DEPs	Diarrheagenic E.coli pathotypes
DNA	Deoxyribose nucleic acid
DRI	Drug resistance index
E. coli	Escherichia coli
E. faecalis	Enterococcus faecalis
EAEC	Enteroadherent Escherichia coli
EAF	E. coli adherence factor
ECDC	European centre for disease control
EHEC	Enterohaemorrhagic Escherichia coli
EIEC	Enteroinvessive Escherichia coli
ELISA	enzyme-linked immunosorbent assay
EPEC	Enteropathogenic Escherichia coli
EPS	exo-polysaccharide
ESBL	Extended spectrum β-lactamase
ETEC	Enterotoxigenic Escherichia coli
ExPEC	Extra-intestinal pathogenic Escherichia coli
FAO	Food and agriculture Organization
FUR	Ferric uptake regulation
GI	Gastro Intestinal
GPIU	Global the prevalence of infections in urology
НС	hemorrhagic colitis
HIV	human immunodeficiency virus
HlyA	Enterohemorrhagic Escherichia coli haemolysin
HUS	hemolytic uremic syndrome
IAP	Intra amniotic prophylaxis
IBD	inflammatory bowel disease
IbeA	invasion of brain endothelium
IMViC	Indole, Methyl red, Voges Praskauer, Citrate
IPEC	Intestinal pathogenic Escherichia coli

IQR	Inter quartile range
K. pneumoniae	Klebsiella pneumoniae
Kb	Killo base pair
Kd	Killo Dalton
LA	localized adherence
LAL	localized-like
LB	Luria bertani medium
LPS	Lipopolysachharides
LT	Labile toxin
MDR	Multiple drug resistance
MR	Methyl red
NAG	N-acetyl glucosamine
NAM	N-acetyl muramic acid
NAP-AMR	National Action Plan on Anti-Microbial Resistance
NBM	neonatal bacterial meningitis
NMEC	Neonatal meningitis Escherichia coli
NR	Nitrate reduction
OD	Optical density
OMPs	Outer membrane proteins
ONPG	Ortho-nitrophenyl-β-D-galactopyranoside
P. aeruginosa	Pseudomonas aeruginosa
P. mirabilis	Proteus mirabilis
PAI	pathogenicity islands
PCR	Polymerase chain reaction
RNA	Ribose nucleic acid
S. aureus	Staphylococcus aureus
S. entiritidis	Salmonella entiritidis
S. epidermidis	Staphylococcus epidermidis
S. typhimurium	Salmonella typhimurium
SAT	Salt aggregation test
Spp	Species

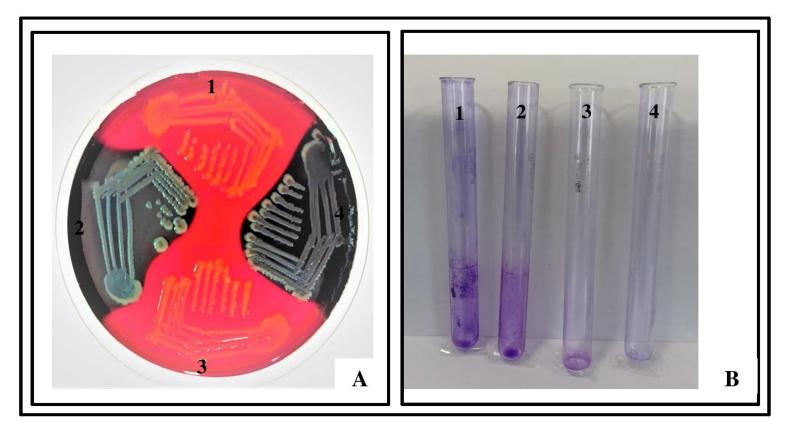
ST	Stable toxin
STEC	Shiga toxin producing Escherichia coli
Stx	Shiga like toxin
ТСР	Tissue culture plate method
tEPEC	Typical Enteropathogenic Escherichia coli
ТМ	Tube Adherence Method
TNF-α	Tissue necrosis factor- α
TSB	Trypticase soy broth
TSI	Triple sugar iron
UPEC	Uropathogenic Escherichia coli
UT	Untypeable
UTI	Urinary tract infection
VAT	Vacuolating autotransporter toxins
VP	Voges Praskauer
VTEC	Vero toxin producing Escherichia coli
WHO	World Health Organization

Appendix-7



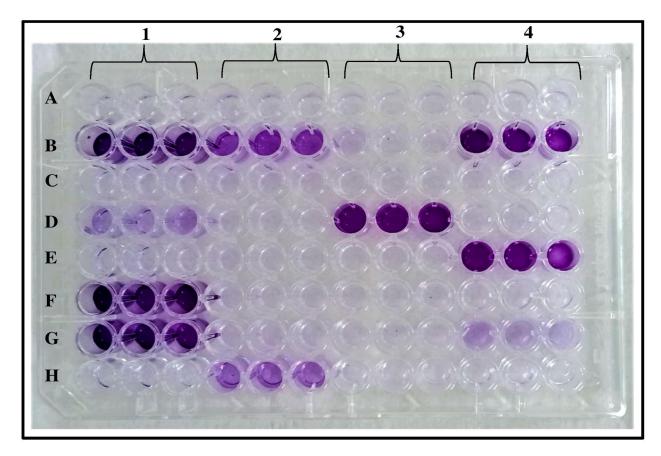
Photographs

P-A7.1: Detection of DEC pathotype genetic markers using multiplex PCR



P 7.2: Biofilm detection by Congo red agar and Tube methods

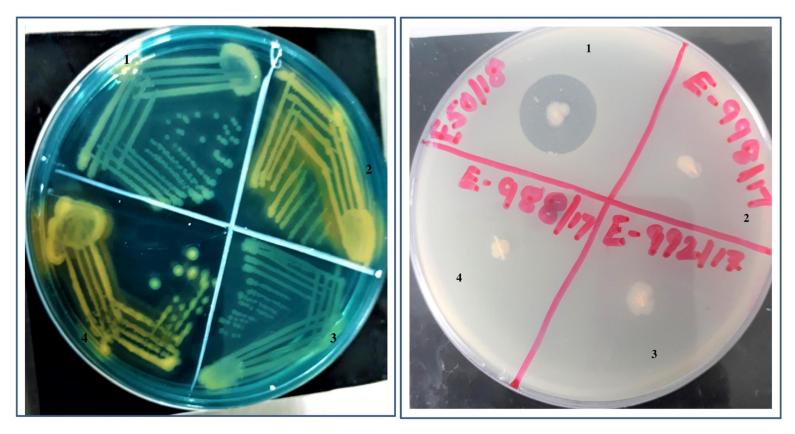
A: Biofilm Detection on Congo red agar; A1- Negative control, A2- Positive Control, A3- Negative test, A4- Positive test B: Biofilm Detection by Tube Method; B1- Positive control, A2- Positive Test, A3- Negative Control, A4- Negative test



P 7.3: Biofilm Detection by Tissue Culture Plate method

Here:

A-1: Negative ControlB-1: Positive ControlC-1 to H-4: Test samplesF-1, G-1, D-3, B-4 and E-4: Strong positive testsB-2 and H-2: Moderately positive tests

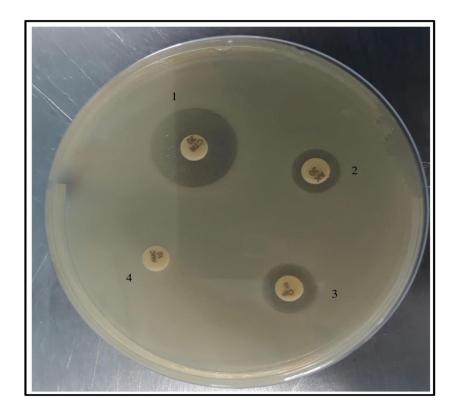


P 7.4: Screening for siderophores production on CAS agar

Here; 1 & 3 - Negative tests, 2 & 4- Postive tests tests

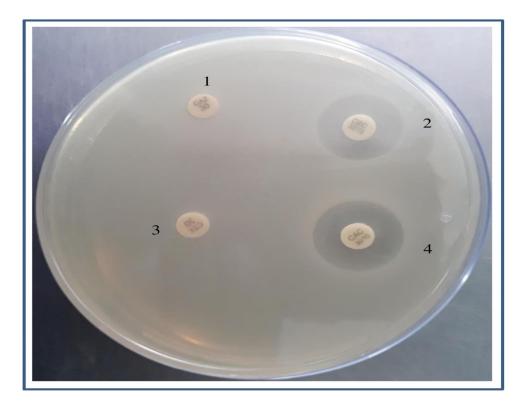
P 7.5: Colicin detection using soft agar overlay technique

Here; 1 - Colicin positive test; and 2, 3 & 4 - Colicin negative tests



P 7.6: Antibiotic Susceptibility Test by Kirby-baur Disk Diffusion Assay

1- CTR: Ceftriaxone- Sensitive, 2-AK: Amikacin- resistant, 3-CIP-Ciprofloxaci-Resistant, 4-AMC: Amoxyclav- Resistant



P 7.7: ESBL-production detection by confirmatory phenotypic assay

2-CAZ: Ceftazidime 30μg	4-CAC: Ceftazidime 30μg+ clauvulanic acid 10 μg
3-CTX: Cefotaxime 30µg	2-CEC: Cefotaxime 30μg+ clauvulanic acid 10 μg

List of Publications from the Thesis Work

S	TITLE OF PAPER WITH AUTHOR	NAME OF	PUBLISHE	ISSN NO/	Indexed in
NO.	NAMES	JOURNAL	D DATE	VOL NO,	
				ISSUE NO	
1	Title: Selection and characterization of	Open Agriculture	April, 2021	Volume:6	Scopus indexed
	siderophores of pathogenic Escherichia coli:				
	Intestinal and extra-intestinal isolates.				
	Authors: Gulshan Kumar, Yashwant				
	Kumar,				
	Gaurav Kumar, Ajay Kumar Tahlan				
2	Title: Sero-characterization of intestinal and	Research Journal of	November,	Volume 15,	Scopus indexed
	extra-intestinal <i>Escherichia coli</i> (<i>E. coli</i>) isolates from different geographical	Pharmacy and	2022	issue 11.	
	locations in India.	Technology			
	Authors: Gulshan Kumar, Yashwant				
	Kumar,				
	Gaurav Kumar, Ajay Kumar Tahlan				